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# **ANALYSIS OF MICRORNA EXPRESSION PATTERN IN SMALL INTESTINE OF CELIAC PATIENTS**

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## **Regolazione microRNA–dipendente dell'espressione genica nella mucosa intestinale del paziente celiaco**

### **❖ Premesse scientifiche**

#### La celiachia

La celiachia (CD) è una patologia infiammatoria che si sviluppa in soggetti geneticamente predisposti, caratterizzata da una risposta immunitaria indotta dall'ingestione di glutine. La prevalenza stimata della CD è circa 1% nella popolazione Europea ed Americana; in particolare, in Italia è di 0.55%<sup>1,2</sup>.

La presenza del glutine nella dieta dei celiaci, determina una lesione a livello della mucosa duodeno-digiunale caratterizzata da atrofia dei villi intestinali, iperplasia delle cripte ed incremento dell'infiltrato linfocitario.

La diagnosi di CD, secondo i criteri ESPGHAN (European Society for Paediatric Gastroenterology, Hepatology and Nutrition), si basa sulla dimostrazione di una chiara alterazione istologica della mucosa del piccolo intestino mediante biopsia; a rafforzare la diagnosi, in presenza di tale specifico quadro istologico, si valuta la storia e la presentazione clinica compatibile, la presenza nel siero degli auto-anticorpi IgA anti-gliadina (AGA), e/o anti-endomisio (EMA) e/o antitransglutaminasi (tTG) al momento della diagnosi, la loro normalizzazione, parallelamente alla risposta clinica, quando viene intrapresa dieta senza glutine e la genetica compatibile (alleli HLA tipici della malattia). La sintomatologia clinica tipica prevede diarrea, dolori addominali, vomito e significativa perdita di peso. Tuttavia possono esserci anche forme atipiche, silenti e latenti, più difficili da diagnosticare: le forme atipiche sono caratterizzate da una compresenza di sintomi extraintestinali (anemia sideropenica, osteoporosi, dermatite erpetiforme, bassa statura, anoressia, comparsa recidiva di afte, alterazioni dello smalto dentale, stipsi, alopecia); quelle silenti presentano le lesioni tipiche della mucosa intestinale in assenza di un'evidente sintomatologia clinica; infine, le forme latenti sono caratterizzate da una sierologia positiva per CD, ma da negatività alla biopsia intestinale. La CD è un'enteropatia multifattoriale in cui il largo spettro di alterazioni cliniche, istologiche e sierologiche osservate nei differenti stadi e nelle diverse forme della malattia è spiegato dai fattori genetici ed ambientali. Studi di popolazione hanno dimostrato una concordanza tra gemelli monozigoti del 75%, mentre tra gemelli dizigoti e parenti di I grado è rispettivamente del 20% e 10%<sup>3</sup>. Ciò indica che esiste una forte componente genetica alla base di questa patologia. Ad oggi, la più forte associazione alla celiachia è data dai geni del sistema HLA di classe II, codificanti per gli eterodimeri DQ2 e DQ8<sup>4</sup>. E' stato dimostrato un aumento nella frequenza delle molecole DQ2/8 nelle donne (94%) rispetto agli uomini (85%) affetti da celiachia ( $p=1.6 \times 10^{-3}$ ) in Italia<sup>5</sup>. Inoltre, circa il 90-95% dei celiaci esprime l'eterodimero DQ2, mentre il restante 5-10% l'eterodimero DQ8<sup>4</sup>; bisogna considerare però, che circa 1% dei celiaci non possiede né il DQ2, né il DQ8, così come esse sono presenti anche nel 35% della popolazione sana; ciò fa intendere che la presenza delle molecole DQ2/8 è una condizione necessaria ma non sufficiente per sviluppare la malattia e quindi ci sono altri fattori che contribuiscono all'insorgere della CD; in tal senso il test genetico di tipizzazione HLA per la ricerca degli aplotipi DQ2/DQ8, ha un alto valore predittivo negativo, fornendo così un valido supporto sia nello screening dei gruppi a rischio (i.e. familiari di primo grado di celiaci), sia nei casi dubbi (i.e. forme latenti). I loci HLA sono parte del complesso MHC (Complesso Maggiore di Istocompatibilità), che mappa sul braccio corto del cromosoma 6 ed è un sistema altamente polimorfico e complesso. Le molecole HLA di classe II, comprendono i geni DR, DQ e DP, sono espresse a



livello di cellule immunocompetenti (APC o Antigen Presenting Cell) e cioè macrofagi, cellule dendritiche (DCs) e linfociti B e T; la loro funzione è quella di legare patogeni extracellulari o parte di essi e presentarli ai linfociti T helper CD4<sup>+</sup> attivandoli ed innescando così la risposta immunitaria. In particolare, il fattore ambientale in grado di suscitare la risposta immunitaria adattativa ed innata nel piccolo intestino è rappresentato dalla gliadina, una frazione proteica del glutine, che nel tratto gastrico viene deaminata dall'enzima tTG e trasformata in peptidi ricchi di acido glutammico ad alta affinità per le molecole DQ2. Tra i peptidi gliadinici identificati, sono presenti il peptide 31-43 mer, in grado di attivare l'immunità innata, ed il peptide 33mer capace di scatenare la risposta adattativa. Infatti, l'attivazione di cellule T glutine specifiche, determina produzione di anticorpi da parte dei linfociti B sia per la gliadina che per la tTG; quest'ultimi attivano una reazione immunitaria distruttiva sia verso la mucosa intestinale (con infiammazione, iperplasia delle cripte e atrofia dei villi), sia verso altri tessuti in cui è espressa la tTG come sistema nervoso, cute, denti, fegato e pancreas. In particolare, la risposta immunitaria innata è caratterizzata dalla produzione di interleuchina-15 da parte delle cellule dendritiche epiteliali e della lamina propria, che aumenta la permeabilità intestinale ed induce l'apoptosi degli enterociti dopo la riprogrammazione dei linfociti intraepiteliali in natural killer (NK); la risposta adattativa, guida anche una risposta pro infiammatoria, caratterizzata soprattutto dalla produzione di interferone-gamma (IFN $\gamma$ ), che si conclude nell'enteropatia immuno-mediata. Oltre al locus HLA, numerosi studi di associazione genica hanno permesso di identificare 13 loci non-HLA, in cui sono stati individuati geni coinvolti nella risposta adattativa immune (i.e. citochine, chemochine e loro recettori), nell'attivazione delle cellule T, nella motilità e nell'adesione cellulare<sup>6</sup>. Ad esempio è stata dimostrata un'associazione significativa con MICA 5.1 (major histocompatibility complex class I chain-related gene A 5.1), che codifica per una proteina espressa sulla superficie delle cellule epiteliali che lega NKG2D, recettore delle NK, e può funzionare da attivatore stress-inducibile della risposta immune innata; infatti, è stato dimostrato che individui omozigoti per MICA 5.1 hanno un rischio di sviluppare sintomi gastrointestinali associati alla celiachia statisticamente superiore ( $p < 0.05$ ) a quello di individui eterozigoti (OR=2.79, 95% Intervallo di Confidenza 1.15-6.79)<sup>7</sup>. In generale, tutti i loci non-HLA concorrono solo per il 3% alla genetica della celiachia, mentre il locus HLA ne spiega circa il 40%; è possibile ipotizzare quindi che altri meccanismi, ad esempio di tipo epigenetico, possano contribuire a spiegare il restante 57% ancora sconosciuto, fornendo così nuovi chiarimenti alla patogenesi della malattia.

### microRNA

I microRNAs (miRNAs) sono piccoli RNA non codificanti di circa 21 nucleotidi prodotti da trascritti che formano strutture stem-and-loop; sono processati da differenti enzimi quali una RNAasi III Drosha e una proteina Pasha in Drosophila o DGC8 nei Mammiferi<sup>8</sup>. E' noto nell'uomo che, dal 30% al 50% dei geni è regolato da meccanismi microRNA-dipendenti; studi iniziali suggeriscono che un dato miRNA può regolare tanti mRNA differenti e che, al contrario, uno specifico mRNA può essere regolato da più di un miRNA. In generale, dati in letteratura supportano l'idea che i miRNA siano repressori della trascrizione, ma recentemente è emerso che possano essere anche attivatori della trascrizione in certe condizioni, come ad esempio in specifici momenti del ciclo cellulare. Il ruolo dei microRNA è stato confermato in molti processi fisiologici, tra i quali la proliferazione, il differenziamento, l'apoptosi e la tumorigenesi; ad esempio, è stato dimostrato un coinvolgimento dei

miR-155, miR-146, miR-223 e miR181a nella regolazione della risposta immune, facendo supporre così, che una loro alterata espressione possa compromettere il sistema immunitario, determinando lo sviluppo di cancro e patologie autoimmunitarie come la CD. Infine recentemente è stato identificato il pattern di espressione dei miRNA nell'intestino del topo, suggerendo un ruolo chiave per queste molecole anche nell'omeostasi intestinale.

#### Cenni sulla fisiologia del piccolo intestino e sul pathway di Notch

L'epitelio intestinale consiste di cellule in rapida proliferazione ed in continuo differenziamento, specializzate in specifiche funzioni, che permettono a tale tessuto di assorbire nutrienti ed acqua dal cibo ingerito e fornire una barriera tra il lume intestinale e la mucosa sottostante. L'unità funzionale del piccolo intestino è rappresentata dall'asse cripta-villo; le cellule staminali che risiedono alla base della cripta, sono in continua proliferazione e originano progenitori che differenzieranno in 4 tipi cellulari: gli enterociti con funzione assorbitiva, le cellule di goblet secernenti muco, quelle enteroendocrine secernenti ormoni (come la serotonina, la sostanza P e la secretina) e quelle di Paneth secernenti peptidi antimicrobici ed enzimi come criptidine e lisozimi. Mentre il differenziamento di enterociti, cellule enteroendocrine e cellule di goblet avviene durante la migrazione dalla cripta all'apice del villo, le cellule di Paneth completano il loro differenziamento alla base della cripta. L'omeostasi intestinale è regolata dall'interazione di diversi pathways, tra cui WNT, BMP e Notch<sup>9</sup>. I geni Wnt codificano per glicoproteine secrete ricche in cisteina, che si legano a specifici recettori attivando una via di trasduzione del segnale in cui la  $\beta$ -catenina agisce regolando l'attività del fattore trascrizionale Tcf. Il complesso  $\beta$ -catenina-Tcf si lega al DNA e attiva la trascrizione dei geni target come il recettore per l'efrina B2 che controlla il corretto posizionamento delle cellule lungo l'asse cripta-villo, e la Bone Morphogenetic Protein 4 (BMP4), che regola, tramite un feedback negativo, il signaling di Wnt. Questo meccanismo risulta dunque essenziale per una proliferazione corretta e per il posizionamento delle cellule staminali o differenziate nelle cripte intestinali o nel villo. Anche Notch è coinvolto nell'omeostasi dell'intestino poiché regola il destino delle cellule staminali nelle cripte promuovendo il loro differenziamento in senso assorbitivo. Nei Mammiferi sono state identificate 4 isoforme del gene Notch (Notch 1-4), che appartiene ad una famiglia di recettori transmembrana; dopo interazione con specifici ligandi (DLL1,3,4 e Jagged 1-2), Notch subisce tagli proteolitici che permettono la traslocazione nel nucleo del suo dominio catalitico dove attiva la trascrizione di specifici geni, interagendo con vari regolatori trascrizionali come Hes. La prima evidenza che il pathway di Notch ha un ruolo nel differenziamento intestinale, si è avuto in esperimenti con topi knockout per Hes1, in cui si ha un differenziamento solo di cellule secretive; inoltre è stato dimostrato che Math1, gene represso da Hes1 è necessario per il differenziamento verso le linee secretive, poiché topi con Math1 mutato presentano un epitelio popolato solo da enterociti. Questi dati nel complesso suggeriscono che il pathway di Notch ha un ruolo chiave nel destino delle cellule intestinali, indirizzando il loro differenziamento in enterociti, e che Hes1 e Math1 svolgono un'azione opposta nella scelta in senso assorbitivo o secretorio.

#### **❖ Scopo del progetto**

I miRNA sono regolatori dell'espressione genica, ed è perciò plausibile pensare che alterazioni nella loro regolazione e/o nell'espressione di specifici mRNA da essi

regolati, possano compromettere la normale funzione cellulare e contribuire alla patogenesi di numerose malattie, tra cui anche la celiachia. In letteratura, non sono stati descritti fino ad oggi, studi sui cambiamenti nell'espressione genica correlati a miRNA nella malattia celiachia; recentemente, però è stato identificato il pattern di espressione dei miRNA nell'intestino del topo. Lo scopo di questo progetto è proprio quello di identificare il profilo di espressione dei miRNA nella mucosa intestinale di celiaci e di controlli, per individuare quelli differentemente espressi nella CD. Si potranno così selezionare i geni target di questi miRNA e le vie metaboliche in cui sono implicati, al fine di individuare quelli correlati all'insorgenza della patologia. In particolare, i miRNA espressi differentemente solo nei celiaci in fase attiva permetteranno di valutare quelli più strettamente correlati con l'infiammazione; quelli invece differentemente espressi sia in fase attiva che in remissione, forniranno utili indicazioni per comprendere le alterazioni nella regolazione trascrizionale globale dei geni associati alla CD.

### **❖ Risultati e metodologie impiegate**

#### **Pazienti**

Tutti i soggetti inclusi in questo studio (età compresa tra 2 e 10 anni), nell'ambito del previsto iter diagnostico, sono stati sottoposti a endoscopia gastrointestinale durante la quale è stato prelevato un campione della mucosa della seconda/terza porzione del piccolo intestino; il tessuto è stato immediatamente diviso in aliquote: un'aliquota è stata utilizzata per l'esame istologico richiesto per la conferma diagnostica di CD, un'altra aliquota è stata congelata in azoto liquido per la successiva estrazione dell'RNA. Inoltre, ad ogni paziente è stato prelevato un campione di sangue periferico in EDTA per valutare i parametri biochimici e la suscettibilità alle molecole HLA-DQ2/DQ8. Ad ogni partecipante al progetto è stato richiesto il consenso informato e lo studio è stato approvato dal Comitato etico della Facoltà di Medicina e Chirurgia della Federico II di Napoli. Sono stati selezionati 3 gruppi di pazienti:

- 17 pazienti con celiachia in fase attiva

sintomatologia suggestiva di celiachia, positività per i marcatori genetici (HLA-DQ2 e/o DQ8) e sierologici tipici della CD (tTG), biopsia intestinale con iperplasia delle cripte, atrofia dei villi ed infiltrazione linfocitaria.

- 9 pazienti in remissione

a dieta senza glutine da almeno 2 anni, negatività per i marcatori anticorpali, biopsia intestinale senza iperplasia delle cripte ed atrofia dei villi.

- 11 controlli

negatività ai marcatori sierologici tipici della celiachia, biopsia intestinale senza iperplasia delle cripte, atrofia dei villi ed infiltrazione linfocitaria.

#### **Analisi dell'espressione dei miRNA nella mucosa intestinale dei pazienti selezionati**

L'RNA totale comprendente anche i miRNA è stato estratto dalle biopsie di pazienti e controlli utilizzando il Kit mirVana (Ambion). La qualità e la concentrazione dell'RNA sono state valutate rispettivamente mediante elettroforesi e spettrofotometria. L'RNA totale è stato retro trascritto per valutare il profilo di espressione dei miRNA mediante tecnologia TaqMan Low Density Array (TLDA). E' una nuova metodologia costituita da micro fluidic cards in cui sono depositati differenti saggi di real time per 365 miRNA umani; la TLDA utilizza un nuovo step di retrotrascrizione (RT) sfruttando hairpin-loop RT primers che sono specifici solamente per le specie mature dei miRNA. I dati di fluorescenza così generati, sono stati analizzati dal software SDS

2.3 (Applied Biosystems) usando il metodo comparativo  $\Delta C_T$ ; tale metodo ha permesso di confrontare il profilo di espressione dei miRNA dei celiaci in fase attiva e in remissione, rispetto ai controlli, al fine di individuare quelli differientemente regolati nella celiachia. I risultati sono stati espressi come RQ (Relative Quantification), che indica il grado di espressione del target (miRNA) nel campione da analizzare (celiaco) rispetto allo stesso target in un campione calibratore (controllo). Sono stati considerati sotto- e sovra- espressi quei miRNA rispettivamente con  $RQ \leq 0.5$  ed  $RQ \geq 2.0$  in almeno 15/17 pazienti con CD in fase attiva (82%) ed in almeno 7/9 pazienti in remissione (78%). Il controllo endogeno scelto per normalizzare i dati, cioè per rendere minime le differenze di espressione relative alla quantità di RNA, è l'RNU48.

#### Identificazione dei miRNA regolati differientemente nei celiaci rispetto ai controlli

L'analisi del profilo di espressione dei miRNA rivela che 90 dei 365 miRNA testati (25%) non sono espressi nel piccolo intestino; oltre il 50% dei miRNA è espresso in modo simile tra celiaci e controlli, mentre circa il 20% (22% nei celiaci attivi e 23% nei celiaci in remissione) è differientemente espresso tra celiaci e controlli; in particolare, 27 e 55 miRNA sono rispettivamente sovra- e sotto- espressi nei celiaci attivi; 22 e 62 miRNA invece sono rispettivamente sovra- e sotto- espressi nei celiaci in remissione. Tra questi miRNA differientemente espressi, ne sono stati identificati 30, di cui 9 sovraespressi e 21 sottoespressi, con simili livelli di espressione sia nei celiaci in fase attiva che in quelli in remissione. Tra questi miRNA sovraespressi, è stato individuato il miR-449a poiché presenta i livelli di RQ più alti:  $55.18 \pm 16.45$  e  $15.43 \pm 7.69$  ( $RQ \pm SEM$ ) rispettivamente nei celiaci attivi ed in quelli in remissione.

#### PCR Real Time quantitativa per il miR-449a

Per confermare la sovra-espressione del miR-449a nei celiaci rispetto ai controlli, è stato scelto come metodo di validazione la PCR Real Time quantitativa (qRT-PCR) perché è rapido, sensibile, ad alto rendimento e richiede minori quantità di RNA, rispetto ad altre tecniche di analisi dell'mRNA (i.e. Northern blot). Il risultato ottenuto mediante qRT-PCR nei celiaci attivi ( $RQ \pm SEM = 2.8 \pm 0.9$ ) ha confermato quello conseguito con la TLDA.

#### Identificazione dei geni target del miR-449a e dei pathways ad essi correlati

Diversi programmi bioinformatici sono stati usati per predire i geni target del miR-449a; tali algoritmi, valutando diversi parametri (i.e. l'appaiamento e la stabilità termica miRNA-mRNA, la conservazione ed il numero di siti di legame), predicono i geni target con più alta probabilità di legame del miRNA. Per tale scopo è stato usato il programma Mirecords che integra 11 algoritmi di predizione diversi; la correlazione tra il miRNA e il gene target è stata considerata significativa sulla base della predizione di almeno 2 algoritmi. La lista dei geni selezionati in questo modo è stata elaborata con GOTM (Gene Ontology Tree Machine) ed in particolare con KEGG database per identificare i pathways in cui tali target sono implicati. I pathways sono stati raggruppati nei seguenti gruppi funzionali:

- Organizzazione e funzione cellulare
- Ciclo cellulare e cancro
- Biosintesi di macromolecole
- Metabolismo

E' interessante notare che, tra i target più ridondanti, in tali gruppi funzionali ci sono geni appartenenti al pathway di Notch, come NOTCH1 (neurogenic locus notch

homolog protein 1), KLF-4 (Krueppel-like factor 4), DLL (delta-like 1), LEF1 (lymphoid enhancer-binding factor 1) e NUMBL (numb homolog-like). Poiché Notch ha una funzione importante nel differenziamento delle cellule staminali intestinali, abbiamo investigato tale pathway al fine di valutarne l'eventuale ruolo nella patogenesi della celiachia.

#### Saggio della luciferasi

Il saggio della luciferasi ha permesso di confermare che l'interazione del miR-449a con la 3'UTR di NOTCH1 è specifica e funzionale, suggerendo così che cambiamenti nell'espressione di tale miRNA potrebbero effettivamente modulare l'espressione di NOTCH1.

#### qRT-PCR per NOTCH1 ed HES1

Per confermare l'espressione del messaggero di NOTCH1 e di HES1 (noto gene bersaglio di NOTCH1) nel piccolo intestino, è stata allestita una qRT-PCR; nei celiaci attivi i livelli di NOTCH1 ed HES1 sono rispettivamente  $3.4 \pm 1.3$  e  $2.2 \pm 0.6$  (RQ $\pm$ SEM); nei celiaci in remissione sono rispettivamente  $6.5 \pm 4.7$  e  $4.2 \pm 2.9$  (RQ $\pm$ SEM). Tale risultato conferma che NOTCH1 ed HES1 sono espressi nel piccolo intestino ed i loro livelli sono sovra-espressi nei celiaci rispetto ai controlli.

#### Analisi immunoistochimica per NOTCH1 ed HES1

E' stata poi effettuata un'analisi immunoistochimica, mediante anticorpi specifici per NOTCH1 ed HES1 al fine di valutare la loro espressione proteica nel piccolo intestino; in particolare i vetrini colorati sono stati sottoposti a scannerizzazione ed i files ottenuti sono stati elaborati con Definiens Analyst LS5.0 system (Definiens AG, Germany), che permette di contare il numero di cellule positive e di quantificarne il segnale. Tale analisi ha evidenziato che le proteine NOTCH1 ed HES1 sono significativamente meno presenti ( $p=0.02$ ) nelle cripte dei celiaci (sia in fase attiva che in remissione) rispetto ai controlli.

#### Analisi immunoistochimica per la $\beta$ -catenina e MUC-2

Dati in letteratura suggeriscono che il destino delle cellule staminali intestinali, sia controllato non solo da Notch, ma anche dal signaling di Wnt<sup>10</sup>; a tal fine, per valutare la possibile alterazione del pathway di Wnt, è stata eseguita un'analisi immunoistochimica usando anticorpi per la  $\beta$ -catenina (proteina essenziale di questo signaling) e per MUC-2 (mucina-2, glicoproteina espressa dalle cellule di goblet e associata quindi al loro differenziamento). Non ci sono differenze statisticamente significative tra celiaci e controlli per la  $\beta$ -catenina e ciò suggerisce che probabilmente tale pathway non è alterato nella celiachia; inoltre, la localizzazione nucleare della  $\beta$ -catenina è più evidente nelle cripte dei celiaci rispetto ai controlli, indicando che il signaling di Wnt è attivo. Invece, il numero di cellule di goblet nelle cripte è statisticamente ridotto ( $p<0.04$ ) sia nei celiaci attivi, che in quelli in remissione (numero medio di cellule  $\pm$  deviazione standard:  $18 \pm 1.6$  e  $15 \pm 3$ , rispettivamente) rispetto ai controlli (numero medio di cellule  $\pm$  deviazione standard:  $35.0 \pm 7.7$ ). A conferma di tale dato, il numero di cellule di goblet è statisticamente ridotto ( $p=0.04$ ) anche nei villi dei celiaci in remissione rispetto ai controlli (numero medio di cellule  $\pm$  deviazione standard:  $7.0 \pm 1.8$  e  $20.0 \pm 4.9$ ).

## ❖ Discussione

Lo studio del profilo di espressione dei miRNA nella mucosa intestinale del piccolo intestino, ha permesso di identificare quelli differentemente espressi nei celiaci rispetto ai controlli. In particolare, tra i miRNA upregolati nei celiaci, quello più espresso sia nei pazienti in fase attiva che in quelli in remissione, è il miR-449a. L'analisi bioinformatica ha permesso di identificare come target del miR-449a, geni appartenenti al pathway di Notch, noto signaling coinvolto nel differenziamento delle cellule staminali intestinali. Dopo aver confermato in vitro, che il miR-449a interagisce a livello della 3'UTR di NOTCH1, abbiamo valutato la sua espressione proteica mediante immunostochimica; nei celiaci, sia in fase attiva che in remissione, c'è una diminuzione dell'espressione di NOTCH1 rispetto ai controlli solo a livello proteico e non del messaggero, e ciò contribuisce a sostenere l'ipotesi che questo recettore possa essere regolato a livello post-trascrizionale. Non ci sono differenze statisticamente significative tra celiaci e controlli per la  $\beta$ -catenina e ciò suggerisce che probabilmente il pathway di Wnt non è alterato nella celiachia; inoltre, la localizzazione nucleare della  $\beta$ -catenina è più evidente nelle cripte dei celiaci rispetto ai controlli, indicando che tale signaling è attivo. Tale risultato è in accordo con dati di western blot ottenuti da Ciccocioppo<sup>11</sup> e Juuti-Uusitalo<sup>12</sup> in pazienti celiaci e supporta l'ipotesi che il pathway di Notch possa agire in modo separato da quello di Wnt, come dimostrato da uno studio sui topi<sup>8</sup>. Invece, il numero di cellule di goblet nelle cripte è statisticamente ridotto sia nei celiaci in fase attiva che in quelli in remissione, rispetto ai controlli. In accordo con questi dati, anche Ciacci<sup>12</sup> e colleghi riportano una riduzione di tali cellule nei celiaci, anche se, nel loro studio, la differenza è statisticamente significativa solo tra pazienti in fase attiva e controlli ( $p < 0.02$ ). Nel topo invece, è stato dimostrato che la riduzione delle cellule di goblet si osserva attivando Notch<sup>8</sup>; questo apparente risultato discordante si può spiegare considerando le differenze tra uomo e topo, ma soprattutto l'effetto più complesso esercitato dai miRNA su diversi geni, rispetto all'effetto ottenuto "spegnendo" e/o "accendendo" un singolo gene. Il minor numero di cellule di goblet determina quindi nei celiaci, una diminuita secrezione di muco e quindi un'alterata funzione protettiva della superficie dell'epitelio intestinale a contatto con il lume. Ciò determina un aumento della permeabilità intestinale, come già osservato sia nei celiaci in fase attiva che in quelli in remissione<sup>13,14</sup>. Da notare che, tra i target del miR-449a, c'è anche KLF-4, descritto in letteratura come importante regolatore del differenziamento delle cellule di goblet nel colon<sup>15</sup>. Infatti, in topi KLF-4<sup>-/-</sup> si osserva una diminuzione delle cellule di goblet del 90% ed un'espressione di MUC-2 non omogenea nell'epitelio, ad indicare che tale proteina è indispensabile per la corretta maturazione di queste cellule<sup>16</sup>. Abbiamo così ipotizzato, che anche nel piccolo intestino, l'inibizione di KLF-4, mediata dal miR-449a, potrebbe determinare la deplezione di cellule di goblet osservata nei celiaci; tale ipotesi è ovviamente da dimostrare sperimentalmente. In conclusione, tali risultati potrebbero indicare un nuovo evento patogenetico nella CD, contribuendo a spiegare parte del 60% della componente genetica ancora sconosciuta.

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### **Analysis of microRNAs expression pattern in small intestine of celiac patients**

Celiac disease (CD) is a chronic inflammatory disease characterized by small intestinal mucosal injury and nutrient malabsorption in genetically susceptible individuals following the dietary ingestion of gluten<sup>1</sup>. The disease is characterized by villous atrophy, intraepithelial lymphocyte infiltration, chronic inflammation and activation of lamina propria T cells. The common genetic background in CD is the presence of heterodimeric HLA class II molecules DQ2 or DQ8 that account for ~40% of the genetic predisposition in CD<sup>2</sup>; several susceptibility loci not related HLA have been identified, but their contribution is only 3-4%<sup>3</sup>. Further, in addition to DNA variations, also gene transcription regulation, such as microRNAs (miRNAs) mechanism, could be involved in CD pathogenesis. MiRNAs are small non coding RNAs regulating basic cellular functions including proliferation, differentiation and death<sup>4</sup>. Indeed, it is easy to conceive that a defective miRNA-based mRNA regulation may compromise normal cell function and cause genetic diseases. The aim of this project is to perform an extensive study of the different miRNAs expression pattern in intestinal mucosa from CD patients at different stages of the disease [17 with active CD and 9 at gluten free diet (GFD)] and from 11 controls, to investigate the role of these molecules in transcriptional regulation in CD. We detect and quantify the expression profiling of 365 mature miRNAs using TaqMan Low Density Array methodology and Comparative C<sub>T</sub> method. Expression profiling revealed that the 25% of miRNAs tested are not expressed in jejunal intestine. Among the large set of expressed microRNAs over 50% of miRNAs are expressed at similar levels in CD and in control patients whereas respectively, the 22% and 23% of miRNAs were differently expressed in active CD and in GFD patients vs controls. Among these miRNAs upregulated, miR-449a shows very high levels: 55.18±16.45 and 15.43±7.69 (RQ±SEM) in active CD and in GFD children respectively. The quantitative RT-PCR confirmed the high level of miR-449a in active CD patients vs controls (mean RQ±SEM: 2.8±0.9). The bioinformatic analysis identified several target genes of miR-449a, belonging to Notch pathway such as NOTCH1, KLF-4, DLL, LEF1 and NUMBL. Notch signaling is a gatekeeper of the progenitor/stem cell compartment of the intestine<sup>5</sup>. The luciferase reporter assay confirmed that the effective, specific and functional interaction between miR-449a and NOTCH1. The quantitative RT-PCR confirmed the expression of NOTCH1 and HES1 (a target gene of NOTCH1) mRNAs in active CD patients (RQ±SEM: 3.4±1.3 and 2.2±0.6, respectively) and in GFD patients (RQ±SEM: 6.5±4.7 and 4.2±2.9, respectively). In addition, immunohistochemistry showed that NOTCH1- and HES1- positive cells were significantly fewer in CD patients vs controls. Because NOTCH1 signals interact with the Wnt pathway to influence the intestinal stem cell fate, we investigated both the Wnt pathway and secretory goblet cells. We showed a similar β-catenin expression in CD and in control children, suggesting that the Wnt pathway is not altered in CD, and a reduced number of goblet cells indicating an altered differentiation in celiac small intestine. In conclusion, we investigated miRNA expression in celiac small intestine and detected very high miR-449a expression levels in both active CD and GFD patients. These data demonstrate an altered miRNA gene regulation in CD patients than in controls, in association with a reduced NOTCH1 pathway and with a decrease differentiation of intestinal cells towards the secretory goblet cell lineage. Our findings suggest a novel pathogenic event in CD.

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## **INTRODUCTION**

### **CELIAC DISEASE**

#### ***Epidemiology***

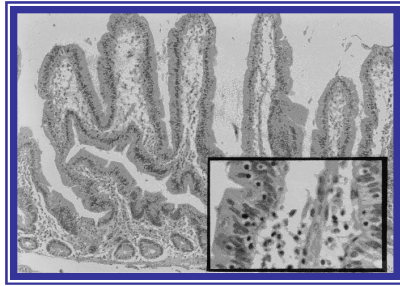
Celiac disease (CD) is a chronic inflammatory disease characterised by small intestinal mucosal injury and nutrient malabsorption in genetically susceptible individuals following the dietary ingestion of “gluten”. Environmental factors have also been reported to exert an important role. This pathology is characterized by the presence of anti-tissue transglutaminase antibodies in the serum and by damage at the level of the small intestine with villous atrophy, intraepithelial lymphocyte infiltration, chronic inflammation and activation of lamina propria T cells. The currently estimated prevalence is 1%, with a statistical range of probability of 0.5-1.26% in the general population in Europe and USA<sup>1-2</sup>. The prevalence of biopsy-proven celiac disease in Italian school children was reported to be 1:106<sup>3</sup>. The true prevalence of CD is difficult to estimate because of its different presentation, particularly in presence of few or no symptoms (underestimated cases); moreover, advances in diagnostic methods and improvement in screening have played a part in the increase observed. The prevalence of CD is increased in subjects with elevated aminotransferase levels, autoimmune diseases and chromosomal aberrations. For example, the prevalence of autoimmune thyroiditis (AT) in CD is about 4 times greater than that found in the age matched Sardinian schoolchildren background population, indicating that CD is a risk factor predisposing to AT<sup>4</sup>. A large multicenter study in the United States showed an increased CD prevalence in high-risk groups, including patients with autoimmune insulin-dependent diabetes mellitus (AIDDM), Sjogren's syndrome, osteoporosis, Down syndrome<sup>5</sup> and first-degree relatives of CD patients. These findings raise interesting questions as to whether abnormal immune responses at the level of the gut mucosa, when exposed to environmental antigens, have a role in systemic autoimmune disease, or whether these associations reflect more an underlying genetic predisposition. Proposed mechanisms of association include abnormal regulation of intestinal permeability and increased autoantibody production in the setting of chronic gut inflammation<sup>6</sup>.

#### ***Clinical features and Diagnosis***

The first case of CD was described by Aretaeus of Cappadocia, living in the second century, which recorded a malabsorptive syndrome with chronic diarrhoea<sup>7</sup>.

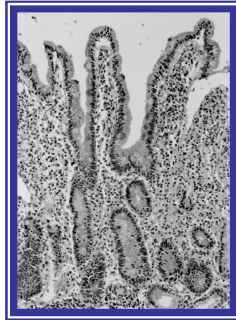
The typical jejunal damage associated with active celiac disease, showing villous atrophy, crypt hypertrophy and increased intraepithelial lymphocyte count, was first described in 1957 by John Paulley in the UK. The clinical spectrum of celiac disease is wide, including cases with either typical intestinal or “atypical” extraintestinal features, or silent forms that are occasionally discovered because of serological screening<sup>8</sup>. The variability in the age of onset of symptoms may be dependent on the amount of gluten in the diet and other environmental factors such as for example, duration of breast-feeding. The classic form of CD in children consists of gastrointestinal symptoms starting between 6 and 24 months of age, after the introduction of gluten in the diet. Infants and young children typically present with chronic diarrhea, anorexia, abdominal distension, abdominal pain, poor weight gain or weight loss and vomiting. Severe malnutrition and even cachexia can occur if the diagnosis is delayed<sup>9</sup>.

According to the revised criteria of the European Society for Paediatric Gastroenterology, Hepatology and Nutrition (ESPGHAN) and European Society for Paediatric Gastroenterology and Nutrition (ESPGN), the diagnosis of celiac disease is firstly based on the characteristic small intestinal mucosal abnormalities on histological examination of a biopsy specimen<sup>10</sup>. The lesion in CD is localized in the proximal part of the small intestine; the duodenal histology showed intraepithelial lymphocytosis, crypt hyperplasia and various degree of villous atrophy. The small intestinal mucosal abnormalities are classified according to Marsh criteria in 3 groups. Marsh I comprises normal mucosal architecture in which the villous epithelium is markedly infiltrated by lymphocytes only when the lymphocytosis score is >30 (30 lymphocytes per 100 enterocytes); a hyperplastic lesion comprising enlarged crypts in which immature epithelial cells are being generated at an increased rate, accompanied by an influx of inflammatory cells, characterizes Marsh II. Marsh III comprised a large spectrum ranging from minor to severe destructive lesion and for this reason is classified into three subgroups as follows: Marsh IIIA, in which shortened blunt villi are associated with a mild infiltration of lymphocytes in epithelial cells, accompanied by enlarged and hyperplastic crypts; Marsh IIIB, clearly atrophic but still recognizable villi, with the addition of enlarged crypts whose immature epithelial cells are being generated at an more increased rate, accompanied by an influx of inflammatory cells; and Marsh IIIC, nearly total absence of villi, with severe atrophic, hyperplastic, and infiltrative lesions<sup>11</sup> (Figure 1). Secondly a clear cut clinical remission has to be observed on a strict gluten free diet (GFD) with relief of all symptoms of the disease. In asymptomatic patients, however, a control biopsy is needed to prove mucosal recovery when the patient is taking a gluten free diet. Although an intestinal biopsy is still considered necessary to confirm the diagnosis of CD, serological tests are frequently used as screening method; they include anti-gliadin IgA and IgG (AGA), anti-endomysium IgA (EMA) and anti-tissue transglutaminase IgA (tTG or TG2) antibodies. The single most sensitive and specific serologic marker of celiac disease is the IgA anti-tTG or anti-EMA<sup>11</sup>. These antibodies are present at diagnosis in a child with typical abnormalities in small intestinal mucosa, and they disappear in parallel to a clinical response to a gluten free diet<sup>12</sup>. Measurement of serum concentration of tTG-IgA is often recommended for initial testing because of its high sensitivity and specificity for celiac disease. In symptomatic individuals, the positive predictive value of EMA and tTG assays for finding biopsy evidence of CD approaches 1.00 and the probability of identifying a celiac enteropathy at the small intestinal biopsy is almost 100%<sup>13</sup>. In screening-identified individuals, AGA+EMA, EMA alone and tTG alone have positive predictive values for biopsy evidence of CD ranging from 0.6 to 1.00<sup>12</sup>. Recently a new test detects antibodies binding synthetic deamidated gliadin-related peptides (DGPs)<sup>14</sup>. Although their sensitivity for CD is lower than that of EMA and tTG, DGP-AGA IgG has a very high specificity (98.9%) for CD<sup>14</sup>. In addition, a novel non-invasive method [sensitive fluid-phase radioimmunoassay (RIA)] can detect tTG autoantibodies (tTG-Ab) in saliva; the correlation between saliva and serum tTG-Ab titers was  $r=0.826$ ,  $p=0.014$ <sup>2</sup>. Patients with so called “potential” celiac disease show positivity of serum celiac autoantibodies despite a (nearly) normal histological picture at the small intestinal biopsy. In many of these cases, the deterioration of jejunal architecture takes place over time. Implementation of the gluten-free diet is indicated in potential celiac disease, both for treating symptoms and for preventing late-onset complications.



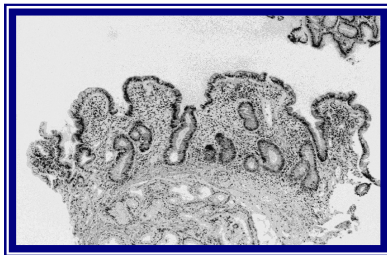
### **Marsh I**

A normal mucosal architecture in which villous epithelium is infiltrated by lymphocytes. (25X; inset, 100X).



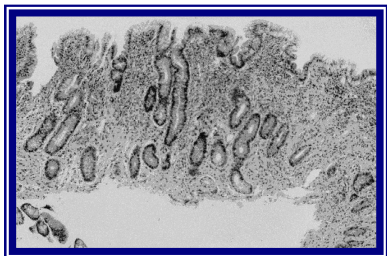
### **Marsh II**

Near-normal villi. A hyperplastic lesion comprising enlarged crypts and infiltrated by lymphocytes. (50X).



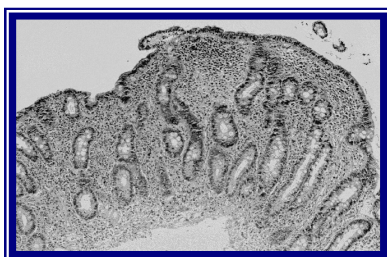
### **Marsh IIIA**

Partial villous atrophy with shortened blunt villi infiltrated by lymphocytes and hyperplastic enlarged crypts. (25X).



### **Marsh IIIB**

Subtotal villous atrophy, clearly atrophic villi but as such still recognizable, and elongated hyperplastic crypts in which immature epithelial cells are being generated at an more increased rate, accompanied by an influx of inflammatory cells. (25X).



### **Marsh IIIC**

Total villous atrophy, nearly total absence of villi, and severe atrophic, hyperplastic, and infiltrative lesion. (25X).

**Figure 1.** Marsh classification used to identify small intestinal mucosal abnormalities in celiac patients' diagnosis.

Conversely, so-called “seronegative celiac disease” is characterized by clinical, genetic, and histological data indicating celiac disease in a patient lacking serum tTG and EMA antibodies. Seronegative celiac disease is likely to be underestimated due to the tendency to perform small intestinal biopsy only in patients with positive CD serum markers (so called self-fulfilling prophecy). A peculiar type of seronegative celiac disease is found in patients that also have IgA deficiency, who usually lack IgA but often show IgG class celiac autoantibodies. In these cases, the detection of IgG anti-tTG deposits strongly suggests celiac disease. For unknown reasons the prevalence of selective IgA deficiency occurs in 1.7% to 2.6% of patients with celiac disease, which is a 10- to 16-fold increase over that in the general population<sup>15-16</sup>. Finally, a family history of celiac disease adds evidence to the diagnosis.

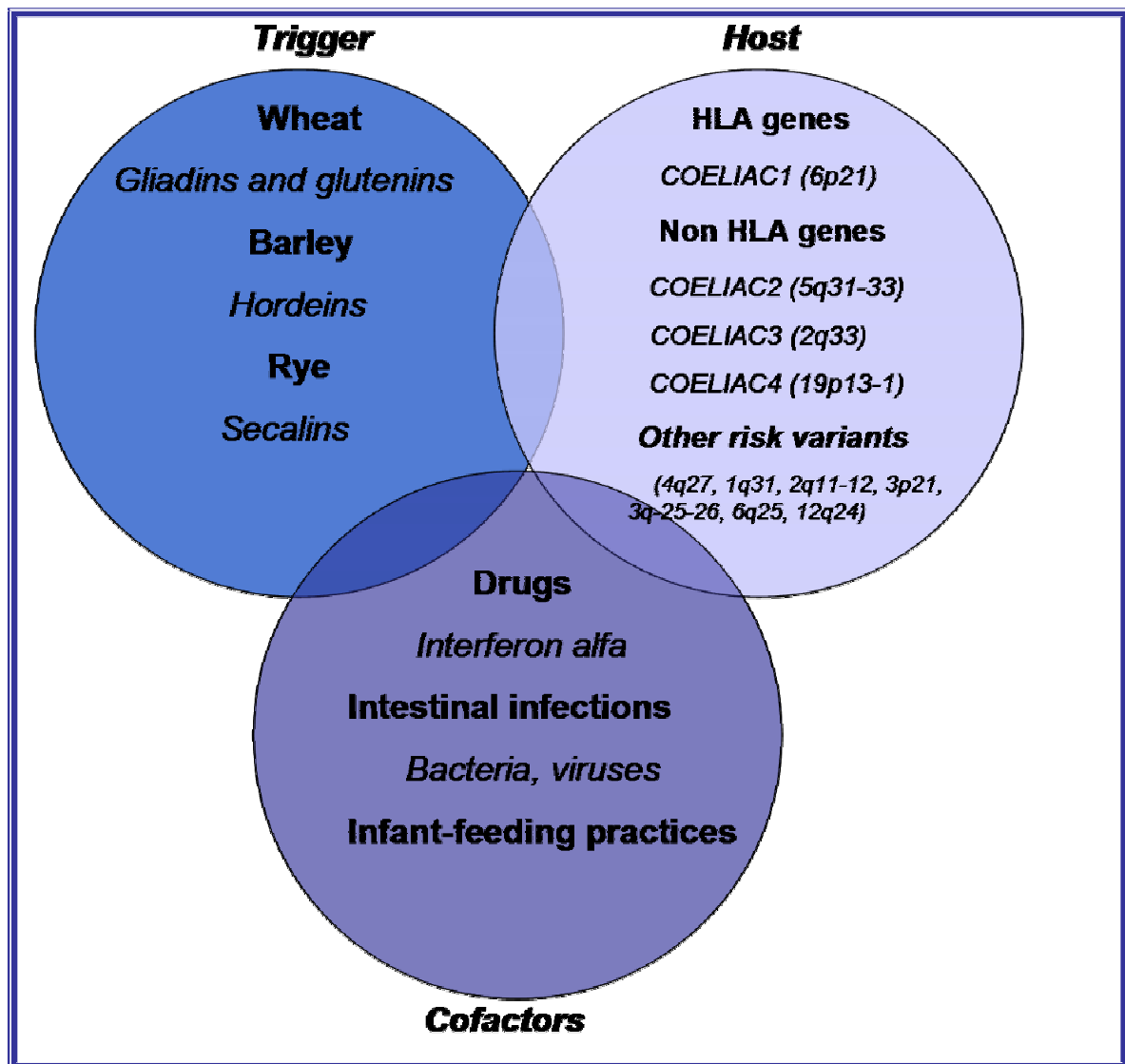
### ***Pathogenesis of Celiac Disease***

The pathogenesis of CD is only partly understood but involves a clear interaction between environmental (trigger and cofactors) and genetic factors (Figure 2).

### ***Environmental factors***

#### ***- Environmental trigger***

Among the chronic inflammatory HLA-associated diseases, CD is the unique in that a critical environmental factor has been identified. Infact, the major known environmental factors required for disease activation are proteins present in the dietary cereal grains wheat, rye, and barley. Harmful molecules, collectively called “gluten”, are composed of two fractions called prolamines and glutelin. The term prolamine and glutelin are generic terms applicable to similarly extracted protein fractions from all cereals, whereas the terms gliadin and glutenin describe those two groups specifically in wheat. The gliadin fraction is defined on the basis of its extractability in aqueous alcohol solution and its very high contents of proline (~15%) and glutamine (~30%); glutenin is defined by its insolubility in alcohol solution and by its extractability in diluted acid or alkali. The main toxic components of gluten are gliadins. More than a hundred components have been identified; they can be grouped into four main types ( $\omega$ 5-,  $\omega$ 1.2-,  $\alpha/\beta$ -,  $\gamma$ -gliadins). Glutenins can be divided into groups of high molecular weight and low molecular weight, but immunogenicity and toxicity have been shown only in the high-weight group. Prolamines with a similar aminoacid composition to the gliadin fractions of wheat have been identified in barley (hordeins) and rye (secalines), and show a close relation to the taxonomy and toxic properties of wheat cereal that affect people with celiac disease. Toxicity of related proteins in oats remains debated. Although several gluten epitopes are immunostimulatory, some are more active than others. An immuno dominant peptide of 33 aminoacids (residues 57-89)<sup>17</sup> and a 25-mer (p31-43)<sup>18</sup>, identified from an  $\alpha$ -gliadin fraction, have functional properties attributable to many proline and glutamine residues. Particularly, the 33-mer peptide is of notable interest due to the presence of three distinct T-cell epitopes that were identified previously in T-cell proliferation assays, namely, PFPQPQLPY, PQPQLPYYPQ (three copies), and PYPQPQLPY (two copies), all recognized by DQ2 molecules<sup>19</sup>. Proline gives the peptide increased resistance to gastrointestinal proteolysis (in people with and without CD) and causes a left-handed helical conformation, which strengthens binding with HLA-DQ2 and HLA-DQ8 molecules on antigen-presenting cells (APC); the result is a stable complex that can be efficiently recognized by T cells. Additionally, glutamine residues are a preferred substrate for tTG-mediated deamidation, which confers an enhanced



**Figure 2.** Causative factors in celiac disease.  
(From The Lancet, 2009).



immunogenicity; tTG is constitutively expressed in the intestinal lamina propria and transiently activated upon tissue damage. It plays a physiological role in tissue repair by promoting protein cross-linking via the formation of isopeptide bonds between lysine and glutamine residues. In active celiac disease, the expression of tTG is increased and it is considered to be the predominant autoantigen for this pathology. It has been suggested that tTG cross-linked to gluten can be recognized as a hapten and stimulate the production of specific IgA. This attractive hypothesis to explain the appearance of CD specific autoantibodies, however, could not be demonstrated even though the disappearance of autoantibodies occurs with a gluten-free diet. So, CD is the result of the “civilized” human diet becoming rich in gluten-containing grains and the coincidence that protease-resistant peptides derived from partially digested gluten contain motifs that favour their deamidation by intestinal tTG and enhance their binding to DQ2 and DQ8 molecules<sup>20</sup>. In addition, gluten can trigger CD8<sup>+</sup> T cell responses in the lamina propria and may expand the intraepithelial lymphocyte population independently of HLA presentation. How the immunogenic gluten peptides reach the lamina propria from the intestinal lumen remains controversial. There is evidence of a paracellular pathway through defective tight junctions (TJ), but other studies showed that much of the transport occurs via epithelial transcytosis, especially in the inflamed mucosa of patients with celiac disease. A third but yet unproven possibility is the sampling of gluten peptides by lamina propria dendritic cells (DCs). This latter was shown in mice but not in humans; DCs can in fact project protrusions between intestinal epithelial cells reaching the intestinal lumen. For the transcellular pathway, macromolecule uptake occurs by endocytosis, followed by fusion with lysosomes (phagolysosomes) with possible degradation of the macromolecules before being delivered in the submucosa. Conversely, macromolecules crossing through the paracellular pathway reach the submucosa unmodified<sup>21</sup>. Particularly, the paracellular passage of gluten is not proven, but under physiologic conditions, access of gliadin to gut associated lymphoid tissue is prevented by competent intercellular TJ that limit passage of macromolecules (including gliadin peptides) across the intestinal epithelial barrier. Instead, in susceptible individuals, the interplay between the initiating stimulus (i.e. gliadin) and intestinal cells triggers TJ disassembly. It has been demonstrated an amplified expression of zonulin (a protein implicated in the opening of tight junctions) and Th1-induced changes in the expression, localisation, or phosphorylation of epithelial junctional proteins in active disease<sup>22</sup>. Wapenaar and co-workers identified variants in genes coding for tight junctional proteins such as PARD3, MAGI2 and MYO9B20, suggesting that heritable factors might contribute to intestinal barrier impairment<sup>23</sup>.

#### - ***Environmental cofactors***

Recent observational studies suggest that the introduction of small amounts of gluten while the infant is still breast-fed may reduce the risk of CD. A metaanalysis showed that the risk of CD was significantly reduced in infants who were breast-feeding at the time of gluten introduction (pooled odds ratio 0.48, 95% CI 0.40-0.59) compared with infants who were not breastfeeding during this period. Both breast-feeding during the introduction of dietary gluten, and increasing duration of breast-feeding, were associated with reduced risk for the development of CD<sup>24</sup>. In fact, breast milk is not only a source of nutrition for the infant during this time, but it also provides antigens for the developing immune system to learn and develop appropriate immune regulatory mechanisms for maintenance of intestinal homeostasis; milk provides bioactive factors that directly modulate immune response development as well as

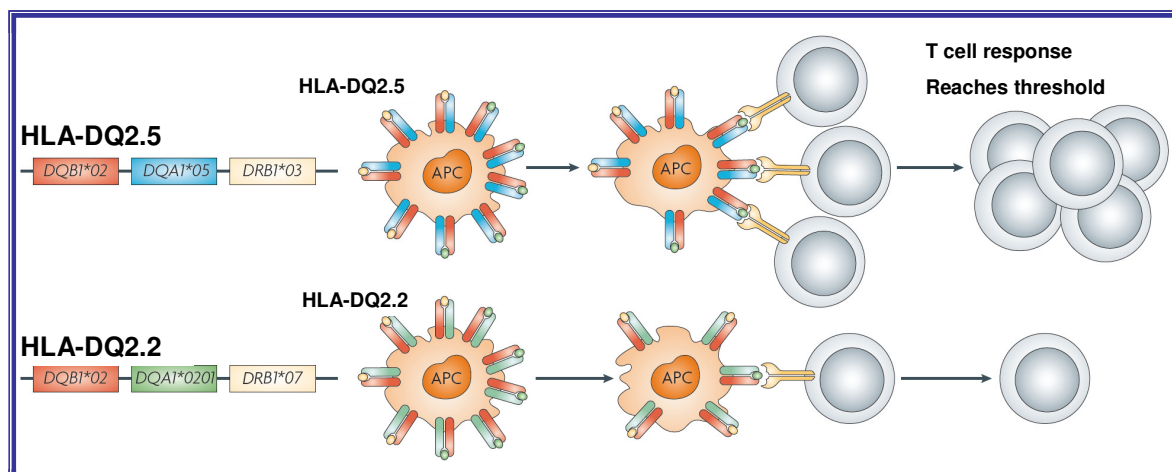
factors that promote colonization of the intestine by bacterial flora, which in turn influence immune response development. Particularly, the breast milk cytokines present in mammalian milks have the potential to regulate the immune response to food antigens in infants because they are capable of inhibiting excess inflammation and modulating epithelial proliferation<sup>25</sup>. The timing of gluten introduction in the diet seems also important in the pathogenesis of CD: children exposed to gluten containing foods in the first 3 months of life had a 5-fold increased risk of CD compared with children exposed to gluten containing foods at 4 to 6 months<sup>26</sup>. So, an optimal window (between 4 and 7 months) for dietary gluten introduction, when tolerogenic responses may be promoted, has been suggested by ESPGHAN. Other factors such as socioeconomic conditions must contribute to the CD incidence in the population because inferior prosperity, standard of hygiene, variation in gut flora, infections (such as hepatitis A virus, *Helicobacter pylori* and *Toxoplasma gondii*) and differences in diet, which are factors involved in the maturation of immunoregulatory functions, may in turn precipitate CD development<sup>27</sup>. Infact, among the environmental factors investigated in the development of celiac disease are specific infectious agents. A prospective study showed that frequent rotavirus infections, the most common cause of childhood gastroenteritis, represent an independent risk factor for CD in genetically susceptible individuals<sup>28</sup>. Most children had an infection with rotavirus by 3 years of age. Rotavirus infection changes the cytokine balance and the permeability in the intestinal mucosa, potentially enhancing penetration of gluten peptides and may initiate the immune process leading to celiac disease. If this is the case, worldwide implementation of a rotavirus vaccine might diminish the occurrence of this pathology. In addition, it is well known that the intestinal microbiota impacts the integrity and maturation of the gut immune system and may therefore modulate immune host responses to dietary antigens<sup>29</sup>. Infact, probiotic strains can differently modulate both the innate and adaptive immune responses in DQ8 transgenic mice, a model of gluten sensitivity; for example, *L. Fermentum* and *L. plantarum* were able to stimulate the lipopolysaccharide (LPS)-inducible interleukin-12 (IL-12), a critical Th1-skewing cytokine that elicits interferon- $\gamma$  (IFN- $\gamma$ ) production by T cells and by natural killer (NK) cells; *B. lactis*, *L. plantarum* and *L. paracasei* were able to induce high levels of tumor necrosis factor- $\alpha$ 8 (TNF- $\alpha$ ) in DCs<sup>30</sup>.

## **Genetic Factors**

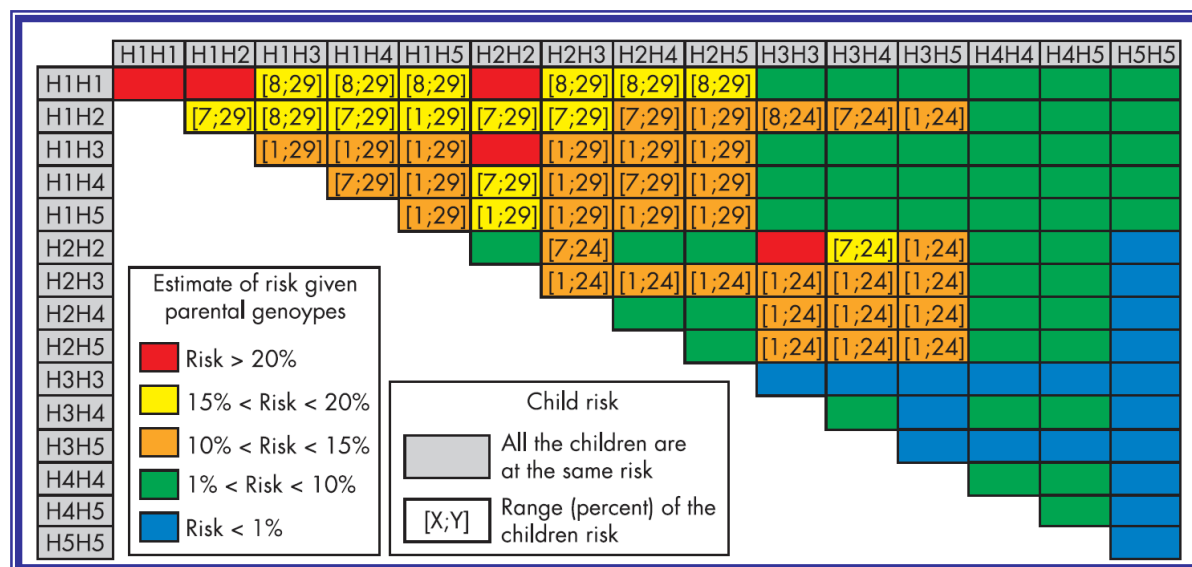
### **- HLA Class II Genes**

The strong genetic influence in CD is apparent, as the concordance rate in monozygotic twins is ~75%, whereas in dizygotic twins is 20% and in first degree relatives is 10%<sup>31</sup>. The common genetic background in CD is the presence of heterodimeric HLA class II genes HLA-DQ2 or HLA-DQ8. It is currently estimated that HLA genes account for ~40% of the genetic predisposition in CD<sup>32</sup> and there is an increased frequency in females (94%) compared with males (85%) of celiac disease ( $p=1.6 \times 10^{-3}$ ) in Italy<sup>33</sup>. The class II molecules, encoded on CELIAC 1 locus (Chr. 6p21.31), are expressed on APC, mainly macrophages, DCs and B cells. These molecules conferred CD susceptibility by promoting the presentation of gluten peptides to the intestinal adaptive immune system; this can lead to activation of gluten-specific CD4<sup>+</sup> T-helper 1 (Th1) cells in the lamina propria that are central effector cells of the intestinal inflammation resulting in crypt hyperplasia and villus atrophy. Infact, the derivation of DQ2/8-restricted gluten specific CD4<sup>+</sup> T cell clones from duodenal lamina propria of CD patients, was the first evidence that these molecules conferred susceptibility to CD. Approximately 5-10% CD subjects express

the HLA-DQ8 heterodimer and the 90-95% of patients express HLA-DQ2 heterodimers<sup>34</sup>. The DQ8 molecule is encoded by an  $\alpha$ - and a  $\beta$ -chain encoded by HLA-DQA1\*0301 and HLA-DQB1\*0302, respectively; unlike some other HLA-DQ alleles, DQ8 does not have an aspartate residue at position 57 of the  $\beta$ -chain (Asp $\beta$ 57). This polymorphism has been shown to be important in determining susceptibility to type 1 diabetes and may also be important in CD. The lack of Asp $\beta$ 57 in DQ8 creates a large, positively charged P9 pocket in the peptide binding groove and also seems to select T cell populations, responding to non-charged native (not deamidated) peptides, that express T cell receptors (TCRs); the TCRs' CDR3 $\beta$  loop (with negatively charged residues) interacts with positively charged structures of DQ8, thereby stabilizing the weak interaction between the native gluten peptide and DQ8. TCRs were shown to cross-react with the deamidated gluten peptide and can therefore also be involved in T cell responses after tTG becomes activated. Once tTG is activated, the T cell response becomes directed towards the deamidated version of the peptide, which binds with higher affinity to DQ8 and does not require TCRs with CDR3 $\beta$  loop. Together, these findings led us to propose a model in which DQ8 association with CD can be explained by its ability to select distinct but cross reactive TCRs in response to native and deamidated peptides and thereby amplify the gluten-specific T cell response. There are two DQ2 isoforms, termed DQ2.5, (the  $\alpha$ -chain is encoded by HLA-DQA1\*0501 and the  $\beta$ -chain is encoded by HLA-DQB1\*0201) and DQ2.2 (encoded by HLA-DQA1\*0201, HLA-DQB1\*0202). Particularly, DQ2.2 molecule, is highly homologous to DQ2.5 but has on its own a very low risk for celiac disease<sup>35</sup>. Yet these molecules DQ2 have very similar peptide-binding motifs and both present gluten T cell epitopes. The DQ2.5-APCs had greater stability of bound peptides and protracted gluten presentation relative to that of DQ2.2-cells (Figure 3). The improved ability of DQ2.5 to retain its peptide cargo can be ascribed to the presence of phenylalanine (in DQ2.2) instead of tyrosine (in DQ2.5) at DQ $\alpha$ 22, which led to a lower binding stability for most peptide ligands. Koning and colleagues proposed a quantitative model for CD development involving a threshold effect, in which the number of gluten peptide-HLA (DQ2 or DQ8) complexes expressed on the surface of APCs, is a limiting factor that defines the magnitude of the gluten-specific CD4<sup>+</sup> T cell response and the consequent induction of intestinal tissue damage<sup>36</sup>. This model was based on the finding that susceptibility to CD is higher in individuals who are homozygous for DQ2 or DQ8 than in individuals who are heterozygous for these alleles, suggesting gene-dose effects of the HLA-DQ molecules. Newly, it was estimate the risk associated with different DQ genotypes, to recognize individuals will develop CD; the study was conducted in four European populations and the genetic risk could be stratified into five classes (G1 to G5) according to their HLA-DQ genotype. Briefly, in all populations, the risk is highest for group G1 (people that have two copies of DQB1\*02 alleles) and the relative risks for the other genotypes vary between European countries<sup>37</sup>. Another study in Italy, evaluated the CD risk in sibs of celiac children; for example, sibs of CD probands have an average recurrence risk of 10%, but this average can be broken down according to HLA DQ information from the proband (Figure 4). Depending on this information, the risk estimate for the sib ranges from 2% to 14%<sup>38</sup>. Generally, it suggests that the risk estimate ranged from 0.1% to 29% when HLA-DQ information of the proband, parents and sib was considered. Only part of the familial aggregation observed for celiac disease seems to be explained by the *HLA* genes; in addition, not all DQ2/8 individuals develop CD, indicating that these HLA genotypes are necessary, but not sufficient for CD



**Figure 3.** The two types of HLA-DQ2 molecule: HLA-DQ2.5 (encoded by *HLA-DQA1\*05* and *HLA-DQB1\*02*) and HLA-DQ2.2 (encoded by *HLA-DQA1\*0201* and *HLA-DQB1\*02*). Only HLA-DQ2.5 is a strong risk factor for celiac disease because binds gluten peptides with higher kinetic stability and allows longer gluten presentation than HLA-DQ2.2. (Modified from Nature Review Immunology, 2009).



**Figure 4.** Risk for a sib of a proband according to the DQ genotype of the parents. (From Gut, 2007).

development. Infact, DQ2 or DQ8 are expressed in 30%-35% of the populations where celiac disease is prevalent, with only 2%-5% of gene carriers developing celiac disease<sup>12</sup>. Therefore, additional risk factors probably play a role.

#### - **Non-HLA Genes**

The identification of HLA heterodimers as the major predisposing factor and of its role in the development of an intestinal inflammatory CD4<sup>+</sup> T cell response to gluten established a decisive link between the triggering environmental factor and the major predisposing gene; however, the puzzle remains incomplete because it's still unclear why only a subset of individuals with at risk HLA develop CD, and why some so do very early in infancy closely after their first exposure to gluten, whereas others develop the disease much later in adulthood. So, additional susceptibility loci not related to HLA have been identified by genome-wide association studies [large-scale case control-based association studies using single nucleotide polymorphisms (SNP)], genetic linkage study (identification of chromosomal regions that likely contain disease-causing genes in families with a high prevalence of CD) and candidate gene association study (candidate genes, selected on the basis of current understanding of CD immunopathology, tested for association with CD); each of these loci was estimated to be associated with only a small risk of developing celiac disease. The susceptibility loci that have been identified are 12<sup>39</sup> and are summarized in Table 1. Most of these loci contain immune-related genes, in particular genes implicated in the control of the adaptive immune response [integrin (i.e. *ITGA4* at 2q31), chemokines, cytokines and their receptors (*IL2* and *IL21* at 4q27<sup>40</sup>, *IL18RAP* at 2q11-2q12, *IL12A* at 3q25–3q26, *CCR1* and *CCR3* cluster locus at 3p21<sup>41</sup>), in T-cell activation (i.e. *TAGAP* at 6q23.3)<sup>41-42</sup>, and in maintaining cell adhesion and motility (i.e. *LPP* at 3q28)<sup>43</sup>. Furthermore, researchers report that additional susceptibility might be conferred by *CELIAC3*<sup>44-45</sup> that encodes the negative costimulatory molecule CTLA4, and *CELIAC4*<sup>46</sup>, which contains the myosin IXB gene variant encoding an unconventional myosin that alters epithelial actin remodelling. A recent study demostred that the major histocompatibility complex class I chain-related gene A 5.1 allele (MICA 5.1, ligands for NKG2D) occurred more frequently in CD patients than in controls (p<0.05), and that the 5.1/5.1 homozygous genotype increased the risk of gastrointestinal symptoms associated with celiac disease (OR=2.79, 95% CI 1.15-6.79). The notice that most of these genes encode proteins involved in immunity<sup>47</sup> supports the notion that CD is an immune-related disorder and possibly provides clues on the immunopathogenesis of CD. However, the overall genetic contribution of these polymorphisms combined was estimated at only 3%-4%<sup>12</sup>.

#### **The innate and the adaptive immunity**

The immune system, built up progressively during evolution to fight pathogens, involves both innate and adaptive mechanisms. The innate system has a dual function in mammals: a role of immediate barrier albeit with low specificity and no memory, and a second role of antigen-presentation to the adaptive immune system via MHC molecules. The adaptive immune system relies on B and T lymphocytes to permit a delayed but highly specific response endowed with long-term memory. While the immune system has evolved to allow efficacious

**Table 1.** Loci non related to HLA in Celiac Disease Susceptibility.

<b>Loci identified</b>	<b>Type of study used for identification</b>	<b>Origin of the cohort(s)</b>	<b>Candidate genes (function)</b>
<b>CELIAC 2</b> 5q31-q33	Linkage analysis	Italy, Finland, Scandinavia, Europe (metaanalysis)	Unknown
<b>CELIAC 3</b> 2q33	Candidate gene approach	France, The Netherlands, Sweden, Norway	CTLA4 (T cell response)
<b>CELIAC 4</b> 19p13.1	Linkage analysis	Netherland	Myosin IXB (Rho family Guanosine triphosphatase)
<b>CELIAC 5</b> 15q11-q13	Linkage analysis (microsatellite)	Finland	Unknown
<b>CELIAC 6</b> 4q27	GWAS (SNPs)*	United Kingdom, Netherland, Ireland, Italy, United States, Scandinavia	KIAA1109, TENR (ADAD1) IL2, IL21
<b>CELIAC 7</b> 1q31	GWAS (SNPs)	United Kingdom, Netherland, Ireland, Italy, United States	RGS1 (B-cell activation)
<b>CELIAC 8</b> 2q11-q12	GWAS (SNPs)	United Kingdom, Netherland, Ireland	IL18RAP IL18R1
<b>CELIAC 9</b> 3p21	GWAS (SNPs)	United Kingdom, Netherland, Ireland, Spain	CCR1 (chemokines), CCR2, CCRL2, CCR3, CCR5, XCR1
<b>CELIAC 10</b> 3q25-q26	GWAS (SNPs)	United Kingdom, Netherland, Ireland, Italy, United States	IL12A
<b>CELIAC 11</b> 3q28	GWAS (SNPs)	United Kingdom, Netherland, Ireland, Italy, United States	LPP (zinc binding protein)
<b>CELIAC 12</b> 6q25.3	GWAS (SNPs)	United Kingdom, Netherland, Ireland, Italy	TAGAP (T cell activation)

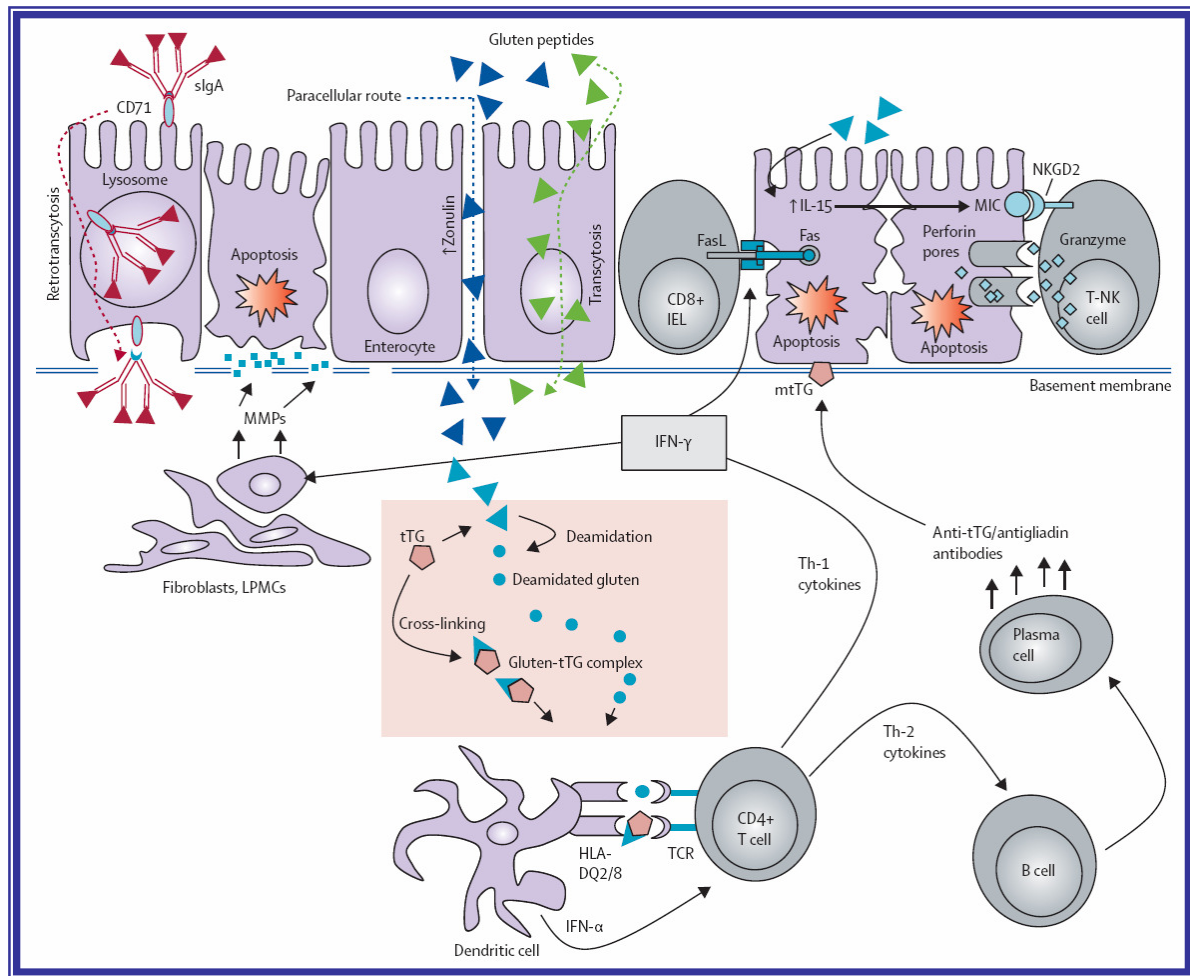
\* GWAS: genome-wide association studies; SNP: single nucleotide polymorphism.  
(Modified from Gastroenterology, 2009).

protection against pathogens of increasing sophistication, the counterpart has been the appearance of detrimental immune responses against self antigen or harmless antigens derived from the environment. CD is a well example in which an environmental factor, cereal derived-gluten, can induce an inappropriate immune reaction in genetically predisposed individuals and simultaneously promote immune reactivity against self antigens (Figure 5). The adaptive immunity plays a central role in intestinal inflammation and provides an undisputable link between the two main genetic and environmental factors. Infact, in the small intestinal mucosa, pathogenic gluten-specific CD4<sup>+</sup> T cells are central effectors of the intestinal inflammation<sup>3,48</sup>. Activated CD4<sup>+</sup> T-cells produce high levels of pro-inflammatory cytokines (i.e. TNF- $\alpha$ , IL-6, IL-18, IL-12 and IL-21) thus inducing a Th1 pattern dominated by IFN- $\gamma$ . TNF- $\alpha$  triggers intestinal fibroblasts to secrete matrix metalloproteinases (MMPs), wich leads to mucosal destruction by dissolution of connective tissue. *In vivo*, expression of MMP-1 and MMP-3 mRNA is increased in fibroblasts of celiac small bowel mucosa. Additionally, through the production of Th-2 cytokines (i.e. IL-4), activated CD4<sup>+</sup> T-cells drive the activation and clonal expansion of B cells, which differentiate into plasma cells and produce antigliadin and anti-tTG antibodies. By interacting with the extracellular membrane-bound tTG (mtTG), tTG-autoantibody deposits in the basement-membrane region might induce enterocyte cytoskeleton changes with actin redistribution and consequent epithelial damage. IFN- $\gamma$  will in turn lead to higher expression of the HLA-DQ molecules and thereby, to increased gluten peptide presentation. Key factors that influence the efficiency of gluten presentation include:

- the level of gluten intake
- the enzyme tTG which modifies gluten into high affinity binding peptides for DQ2 and DQ8
- the HLA-DQ type, as DQ2 binds a wider range of gluten peptides than DQ8
- the gene dose of DQ2 and DQ8
- additional genetic polymorphisms that may influence T cell reactivity<sup>49</sup>.

The current idea is that gluten could become a self-amplifying loop that could cause limited tissue damage locally. This tissue damage would lead to the release of tTG that will modify native gluten peptides into high affinity ligands for DQ2 and/or DQ8, thereby expanding the gluten-specific CD4<sup>+</sup> T cell responses enhancing the role of adaptive immunity; the consequence is an additional tissue damage: the initiation of a second self-amplifying loop. Alternatively, it's possible that infections occurring in the gastrointestinal tract would generate a pro-inflammatory milieu that might lead to loss of tolerance to native gluten peptides and generate tissue damage simultaneously and thus, initiate deamidation by tTG. Once activated, the CD4<sup>+</sup> T cells drive a Th1 response that leads to the development of typical mucosal celiac lesions. Yet, it has become increasingly clear that a highly specific adaptive response against gluten is not sufficient to trigger intestinal inflammation; thus, only a subset of individuals bearing DQ2/8 develops CD. A complementary mechanism is innate immunity, with both a massive increase of intraepithelial lymphocytes (IELs) and gluten peptides toxic for patients despite the fact that they are not recognized by lamina propria CD4<sup>+</sup> T cells. Proteins from wheat, rye, or barley (particularly,  $\alpha$ 2-gliadin peptide p31-43/49) which are distinct from peptides that elicit adaptive immunity, were shown to trigger innate immunity in intestinal epithelia and intestinal organ cultures<sup>12</sup>. IELs are localized between intestinal epithelial cells at the basolateral side of the epithelium and are thought to play an important role in immunosurveillance of the epithelium. Innate immune activation of IELs by gluten,





**Figure 5.** Mechanisms of mucosal damage in celiac disease.  
(From The Lancet, 2009).



induces up-regulation on the intestinal epithelium, of MICA and HLA-E the ligands for NKG2D and CD94/NKG2C, respectively. Interaction of NKG2D and CD94/NKG2C with their ligands will enhance IFN- $\gamma$  production and cytotoxicity, leading to tissue damage; IFN- $\gamma$  and secreted mediators may cause activation of macrophages which, in turn, produce pro-inflammatory cytokines contributing to the damage of the mucosal matrix<sup>50-51</sup>. Particularly, NKG2D also links innate and adaptive immunity, because it both triggers antigen-specific lymphocyte-mediated cytotoxicity and induces a direct cytolytic function independent of TCR specificity in effector CD8<sup>+</sup> T cells. Similarly, the NKG2C receptor stimulated IEL proliferation and cytokine secretion in patients with CD. IELs can also have an immunoregulatory capacity through the secretion of transforming growth factor TGF- $\beta$ , a negative regulator of the immune response. Interleukin-15 (IL-15) has a central role at the interface between innate and adaptive immunity in CD in synergy with interleukin-21 (IL-21), a cytokine expressed on CD4<sup>+</sup> T cells; IL-15 is synthesized both by epithelial and lamina propria mononuclear cells and can act on multiple targets: in the epithelium, IL-15 has a direct action on IEL that promotes their survival and accumulation, stimulates their production of IFN- $\gamma$  and their cytotoxicity via innate immune NK receptor; IL-15 may also directly or indirectly promote the expression of epithelial ligands for these NK receptors. These combined effects of IL-15 result in an autoimmune attack of the epithelium and promote the emergence of lymphomas. Lymphomas in fact, develop as a consequence of uncontrolled activation of IELs and provoke a severe enteropathy refractory to the GFD. Moreover, IL-15 can act directly on DCs and stimulate their maturation and antigen presentation. This effect of IL-15 is thought to bolster the activation of gluten-specific CD4<sup>+</sup> LPL. Finally, IL-15 can hamper local immunoregulation by blocking the Smad-3 pathway of TGF- $\beta$  in both IEL and LPL. IL-15 can thus indirectly promote the release of Th1 cytokines and the cytotoxicity of intestinal lymphocytes<sup>52</sup>. In conclusion, while gluten-specific CD4<sup>+</sup> T cells elicit an inflammatory response in the lamina propria, IELs in the epithelium acquire activating NK receptors and the ability to lyse stressed epithelial cells independent of T cell receptor signaling, which likely contributes to the typical tissue damage in CD<sup>49</sup>. As a result, the gluten-specific CD4<sup>+</sup> T cell repertoire is substantially expanded, which enhances the inflammation and disease development<sup>12,49</sup>.

### ***Therapy in celiac disease***

The cornerstone treatment of CD remains a lifelong GFD and supportive nutritional care in case of iron, calcium and vitamin deficiencies<sup>53</sup>. A life-long GFD is a well tolerated therapy that improves health and quality of life in the vast majority of patients with celiac disease, even in those with minimal symptoms. This treatment is, however, difficult to sustain, owing to small levels of gluten contamination in food products, high costs and restricted availability of gluten-free food alternatives, and cultural practices leading to a substantial social burden. Restriction of the GFD may cause anger, sadness and despair. In a general population-based cohort study, it was found that CD was associated with an increased risk of subsequent depression (hazard ratio=1.8)<sup>54</sup>. Allowing patients to occasionally consume small amounts of gluten would greatly improve their quality of life. Owing to recent advances in the understanding of the pathogenesis of CD, different targets have been identified and have motivated the development of several experimental therapeutic strategies. These therapies focus on alteration of dietary food products, decrease of gluten exposure by rapid enzymatic degradation, inhibition of small intestinal permeability or

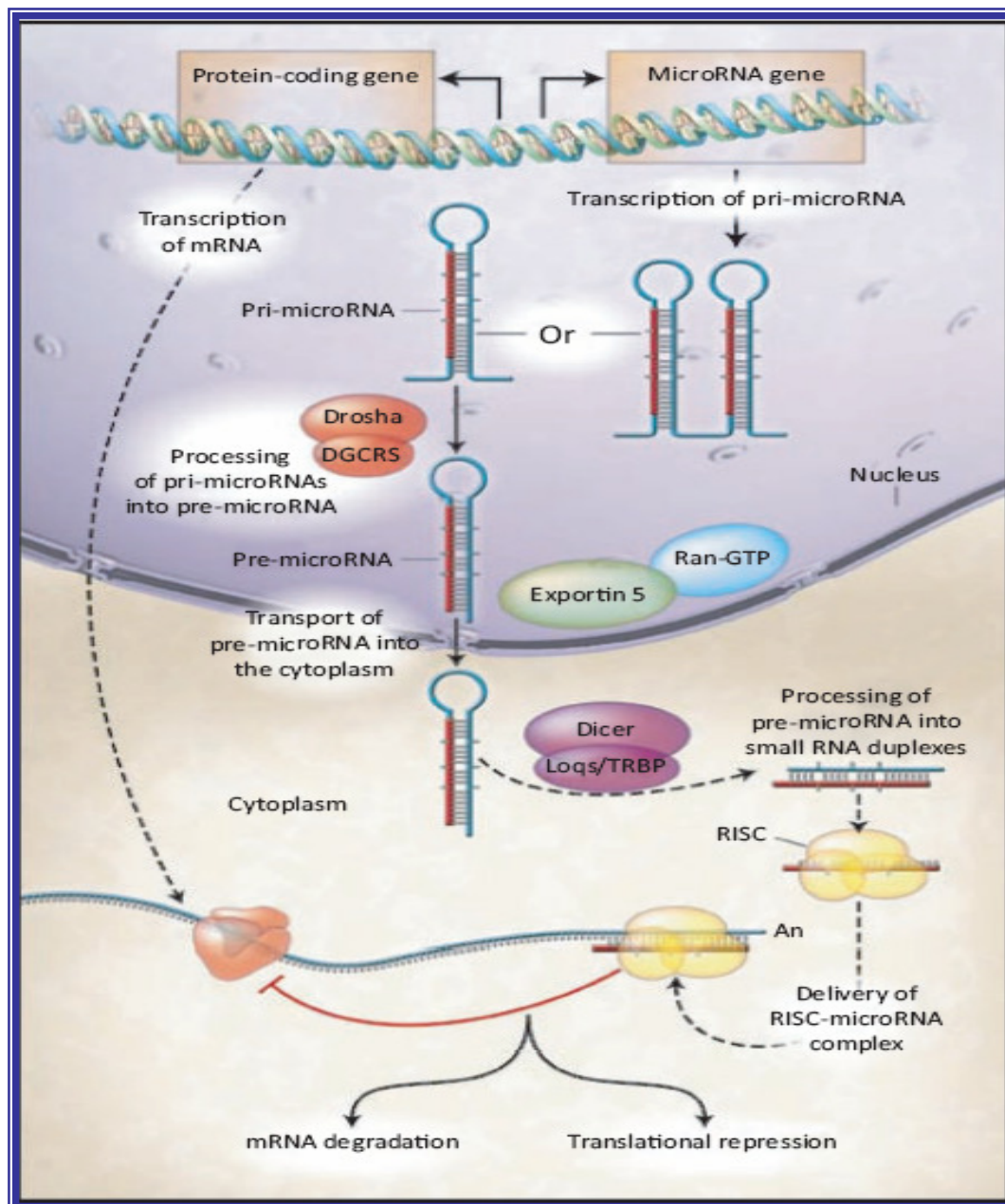
modulation of the immune response<sup>55</sup>. Attractive therapeutical targets, but in progress, were:

- The selection of grains with low or absent immunogenic sequences, but with reasonable baking properties (detoxification of wheat via genetic alteration to remove or scramble the toxic peptides of gluten).
- The enzymatic degradation of gluten as an attractive alternative strategy for oral therapy in CD to abolish its immunogenic and toxigenic activities; for this scope, several enzymes, such as prolyl endopeptidase (a gliadin detoxifier), were studied following different approaches.
- The gluten hydrolysis using probiotic bacteria to decrease gluten toxicity *ex vivo*<sup>56-58</sup> and gluten intolerance in humans<sup>59</sup>; in the same way as probiotic preparation was capable to hydrolyze gliadin peptides responsible for CD<sup>60</sup>.
- The inhibition of the permeability using molecules such as AT-1001 that is an inhibitor of paracellular permeability; its structure derived from a protein secreted by *Vibrio cholerae*; it was well tolerated and reduced intestinal permeability, proinflammatory cytokines production and gastrointestinal symptoms in celiacs following gluten exposure<sup>61</sup>.
- Inhibition of tTG with molecules such as KCC009 that inhibits intestinal tTG when given orally; it's well tolerated by rodents and it has short serum half-life.
- The use of anti-inflammatory cytokines to suppress gluten-dependent T-cell activation, antibodies neutralizing INF- $\gamma$  and humanized anti-IL-15<sup>56</sup>.
- The improvement of peptide vaccines using  $\alpha$ - and  $\omega$ -gliadin 17-mer peptides<sup>62</sup>.
- The improvement of integrin- $\alpha 4$  antagonist and humanized anti integrin- $\alpha 4\beta 7$  as potential candidates for CD inflammatory modulation<sup>56</sup>.

Therefore, early prevention of CD may represent a cost-effective strategy, as the disease is highly prevalent. As pharmacological treatments of CD are not yet available, preventive measures (i.e. breast-feeding at the time of gluten introduction and dietary gluten introduction at 4-7 months) are regarded as potential options to reduce the incidence of the disease.

## **MICRORNAs**

MicroRNAs (miRNAs) belong to RNA-mediated silencing pathways that, with DNA methylation and modification of core histones, constitute epigenetic machinery. Epigenetic mechanisms regulate gene expression and operate at the transcriptional and post-transcriptional level of gene activity as well as at the level of protein translation and post-translational modifications, in order to determine the phenotype without changes in genotype. MicroRNAs are endogenous, non-transcribed but functional RNAs of approximately 22 nucleotides, occurring in both plants and animals; the first small RNA, *lin-4*, was discovered in 1993 by genetic screens in nematode worms<sup>63</sup>. MiRNAs are expressed in a tissue-specific manner and function as endogenous silencers of numerous target genes; infact, a rapidly growing number of publications demonstrate the great importance of these molecules by repressing translation or inducing cleavage of mRNA, with implications in cell differentiation, metabolism and diseases such as cancer. In mammals, miRNAs comprise 2-3% of genes, with ~700 different sequences so far identified in humans (miRBase 14.0 at [www.mirbase.org/](http://www.mirbase.org/)); they are predicted to control the activity of more than 60% of all protein-coding genes and participate in the regulation of almost every cellular process investigated to date<sup>64</sup>. MiRNAs are processed from precursor molecules (pri-miRNAs), which are either transcribed by RNA polymerase II from independent genes or represent introns of protein-coding genes. The pri-miRNAs fold into hairpins, which act as substrates for two members of the RNase III family of enzymes, Drosha and Dicer. The product of Drosha cleavage, an ~70-nucleotide pre-miRNA, is exported to the cytoplasm where Dicer processes it to an ~20-bp miRNA/miRNA duplex. One strand of this duplex, representing a mature miRNA, is then incorporated into the miRNA-induced silencing complex (miRISC or microRNP) (Figure 6). In this complex, the mature miRNA is able to regulate gene expression, binding through partial complementary generally, for the most part to the 3'-untranslated region (3'-UTR) of target mRNAs, and leading at the same time to some degree of mRNA degradation and translation inhibition<sup>65</sup>. The most stringent requirement for this interaction is a contiguous and perfect Watson-Crick base-pairing of the miRNA 5'nucleotides 2-8, representing the "seed region" nucleating the interaction. In addition an A residue across position 1 of the miRNA and A or U across position 9 improve miRNA activity, although they do not need to base-pair with mRNA nucleotides. Usually, miRNA-mRNA duplexes contain mismatches and bulges in the central region (miRNA positions 10-12) that prevent endonucleolytic cleavage of mRNA by an RNAi mechanism. AU-rich sequence context and structural accessibility of the sites may improve their efficacy<sup>66</sup>. MiRISC functions as a highly modifiable scaffold that associates with specific mRNAs via the bound miRNA and facilitates the localized activity of a variety of accessory proteins<sup>67</sup>. MiRISC contains several proteins such as Dicer, TRBP, PACT, GW182 and Gemin3, but the components directly associated with miRNAs are Argonaute proteins<sup>68</sup>. Argonaute proteins (AGO) contain four domains: the N-terminal, PAZ, middle, and Piwi domains<sup>69</sup>; the PAZ domain is implicated in the binding of single-stranded RNA while the PIWI domain confers the endonucleolytic activity of Argonaute proteins and requires coordination of divalent cations by Aspartate-Aspartate-Histidine (DDH) motif for catalysis. In animals, some Argonaute proteins can cleave RNA molecules while others have lost their catalytic activity and participate in a gene regulatory mechanism that does not require RNA cleavage.



**Figure 6.** Biogenesis of microRNAs.  
(From FEBS Journal, 2009).

In mammalian cells, four AGO proteins have been identified, all of which can form a functional RISC but only Ago2 displays an endonuclease activity to slice complementary RNA sequences between positions 10 and 11 from the 5' end of guide strand RNA<sup>70</sup>. Therefore, human Ago2 is a component not only of miRISC but also of RISC assembled with exogenously introduced siRNA (siRNA-induced silencing complex, siRISC). GW182 proteins [glycine-tryptophan (GW) repeat-containing protein of 182 kDa] represent another group of proteins crucial for miRNA-induced repression<sup>71</sup>. They interact directly with and act downstream of AGOs and, with other components of miRNPs, repressed mRNAs; they are found enriched in processing bodies (P-bodies, also known as GW-bodies), which are cytoplasmic structures involved in the degradation and storage of translationally repressed mRNAs<sup>72</sup>. MiRNA triggers gene silencing by at least three possible mechanisms<sup>73</sup>:

(1) Post-initiation repression

MiRNA blocks translation after it is initiated and inhibits completion of protein synthesis; after translating ribosomes are removed or lost, miRISC-containing transcripts are located to processing P-bodies for storage or RNA destruction.

(2) Inhibition of translation at the initiation step

In this model, 5' cap structures of target mRNA are required for miRISC function; binding of Ago2 to m7G-cap prevents the recruitment of eIF4E, an essential translation initiation factor in eukaryotic cells. MiRISC could also block the assembly of 80S ribosomes by recruiting eIF6, which binds to 60S ribosomal subunits and prevents their association with 40S subunits, thus preventing translation initiation<sup>74-75</sup>.

(3) Direct target mRNA destabilization by inducing its deadenylation and decay<sup>76</sup>.

Increased turnover of mRNA results in reduced protein synthesis; target mRNA whose deadenylation and degradation have been started by miRNA may relocate to P-bodies for accelerated mRNA decay.

In all three possible pathways, miRISC-targeted mRNAs are sequestered in P-bodies for storage or decay. Although the mRNA degradation machinery is predominantly concentrated in P-bodies, it is not clear if this process is restricted to P bodies. Recently, multivesicular bodies (MVBs) and endosomes were also identified as cellular organelles contributing to miRNA function or miRISC turnover<sup>77,63</sup>. The mechanistic details of miRNA's function in repressing protein synthesis are not well understood. Mature miRNAs generated from different miRNA genes may differ in length (miRNA length diversity)<sup>78-79</sup>, and individual miRNA genes may give rise to several miRNA species that differ in length (miRNA length heterogeneity)<sup>79</sup>. Thus, the accumulation of structural imperfections in pre-miRNA hairpins may result in the higher plasticity of the precursor structures and this may be considered another successful strategy for the enrichment of miRNA diversity and increased complexity of miRNA regulatory networks. Usually, multiple sites, either for the same or different miRNAs, are required for effective repression, and when the sites are close to each other, they tend to act cooperatively<sup>80</sup>. The level of repression achieved is dependent on both the amount of mRNA and the amount of available miRNA complexes<sup>81</sup>. Generally, the data in literature supports the idea that miRNAs repress translational initiation, but recent advances do not exclude the possibility that the mechanisms of miRNA-directed mRNA regulation differ among organisms, among miRNAs, or even at different developmental stages. Alternatively, the location of miRNA-binding sites within an mRNA or the position of mismatches and bulges within the miRNA-binding sites may influence the mechanism by which productive translation is repressed. Clearly, much remains to be explained before the molecular basis of miRNA-directed translational repression is clear<sup>82</sup>.

During the last years, several groups have reported that miRNAs can activate rather than repress their targets under certain conditions<sup>71,83-84</sup>. Infact, for example, Vasudevan and colleagues propose that miRNAs oscillate between repression and activation in coordination with the cell cycle: in proliferating cells they repress translation, whereas in G1/G0 arrest (which often precedes differentiation), they mediate activation. This regulation occurs on at least two levels: first, recruitment of the microRNP reflects both its expression level and its ability to productively interact with mRNA target sites; second, the AGO2 complex must be subject to modification because tethered AGO2 differentially regulates translation according to cell growth conditions<sup>83</sup>. MiRNAs expression can be regulated by several mechanisms such as inherited mutations and polymorphisms in the primary transcripts of miRNAs and/or defects in their biogenesis machinery; also epigenetic mechanisms, such as promoter methylation or histone acetylation, can modulate their expression.

### ***Role of miRNAs in the intestine***

As a consequence of their ability to regulate gene expression, miRNAs are involved in the most crucial cellular processes, spanning from development, differentiation, cell cycle regulation to senescence and metabolism. As with other complex gene regulatory networks, aberrant expression or processing of miRNAs could have profound effects on cellular function and contribute to diseases. Whereas the importance of miRNAs for the development of several tissues is well established, their role in the intestine and particularly both in human and in celiac gut, is unknown. Recently, McKenna has quantified the complete miRNAs expression profile of the mouse intestinal mucosa to determine the contribution of these molecules to gut homeostasis<sup>85</sup>. Particularly, in *Dicer1*-deficient mice (mice lacking of functional miRNAs), intestinal barrier function is impaired, resulting both in intestinal inflammation with lymphocyte and neutrophil infiltration, and in disorganization of epithelium with a decrease of goblet cell. Another miRNAs expression profile was performed in the active ulcerative colitis in mice; particularly, among 11 miRNAs differently expressed, miR-192 was downregulated and regulated colonic epithelial cell-derived chemokine expression<sup>86</sup>. Chassin and colleagues demonstrate that miR-146a regulates the responsiveness of intestinal epithelial cells during microbial colonization of the neonatal intestine of mice: the upregulation of miR-146a in the developing gut is essential to protect the neonatal intestine from mucosal damage after introduction of bacteria<sup>87</sup>. Patients with irritable bowel syndrome (IBS) have increased intestinal permeability (such as in celiac disease) that is associated with upregulation of miR-29a in blood microvesicles, small bowel and colon tissue<sup>88</sup>. The most studies about miRNAs dysregulation in human, were performed in intestinal cancer tissues, such as colorectal tumor samples (in which it was observed the down-regulation of miR-143 and miR-145) and gastrointestinal cancers samples (in which it was observed the up-regulation of miR-21)<sup>89</sup>.

### ***MicroRNA-449a***

Human miRNA 449 family (a, b and c) is encoded on chromosome 5q11.2. The mature miR-449a (accession number miRBase: MIMAT0001648) sequence is evolutionarily conserved across a variety of species (monkey, horse, rodents and dogs) and therefore it probably exerts an important function<sup>90</sup>. It structurally resembles the p53-inducible miRNA 34 family mediating cell cycle arrest and tumor suppression. Infact, the majority of studies about miR-449 was performed in cancer tissue and revealed a proapoptotic role for it; for example it has demonstrate that

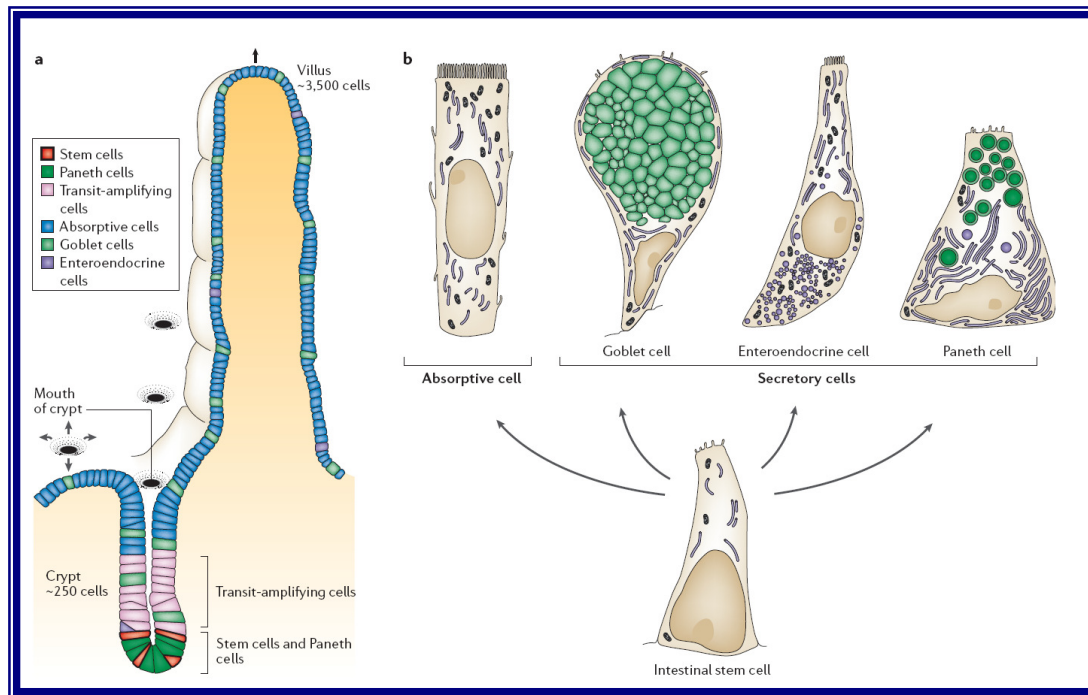
miR-449 is upregulated in multiple myeloma with t(14;16)<sup>91</sup> and it's one of the highest downregulated microRNAs in primary pigmented nodular adrenocortical disease (PPNAD)<sup>92</sup>. In agreement with a putative tumor-suppressive role, miR-449a as well as miR-34a reduced proliferation and strongly promoted apoptosis by at least partially p53-independent mechanisms<sup>93</sup>. Infact, miR-449 (both a and b) is a E2F1-inducible target and negatively regulates E2F activity through a feedback loop mechanism. E2F1 is a potent inducer of apoptosis essential for cell proliferation and its uncontrolled activity is one of the most widely acknowledged reasons for malignant growth. MiR-449 contributes to the due control in two ways, by antagonizing E2F1 and also by eliminating the cell when necessary. As both mechanisms can occur independently of p53, miR-449 may represent an essential barrier to cancer progression in cells that have already lost p53 function through mutations. Infact, functional analysis indicates that this inhibitory effect was found to be conveyed by directly targeting CDK6 and CDC25A, two oncoproteins that positively regulate retinoblastoma protein (pRb) phosphorylation; reduced level of CDK6 and CDC25A leads to dephosphorylation and consequently inhibition of E2F1 activity and cell cycle progression. This negative feedback effect of miR-449 on E2F1 is distinguishable from other miRNA targets of E2F1 that feedback E2F1 by directly decreasing E2F1 expression. Interestingly, the genomic region encoding both miR-449a and miR-449b is embedded into an intronic sequence of the mRNA-encoding gene CDC20B, a paralog of CDC20. Mir-449 thus seems to be regulated through the activation of its host gene. Infact, although little is known about the function of this gene, its paralog CDC20 is an essential component of the cellular machinery that enables the anaphase-promoting complex to destruct its targets in a timely manner, allowing progression through mitosis. It is to be noted that several mitosis-promoting genes, for example, Polo-like kinase and others, have previously been identified as E2F1 targets, implying E2F1 in mitotic progression. It is tempting to speculate that E2F1 may further promote mitosis through CDC20B, whereas uncontrolled E2F1 activity is avoided by building a negative feedback into the same gene. In addition, miR-449 gene locus was characterized with bivalent histone methylations, H3K4me3 and H3K27me3, a chromatin marker associated with gene repression. So, epigenetic control of this miRNA in cancer cells could make it more applicable as therapeutic targets, because miRNA can be delivered to tumor tissue on site to suppress cancer cell growth due to its pleiotropic nature. Thus, restoration of miR-449 expression through epigenetic drug treatment may result in inhibition of multiple oncogenic signaling in cancer cells<sup>94-95</sup>. Recently, for its proapoptotic function as well as its ability to block cell cycle progression, Lizè and colleagues propose miR-449a as a sensitive and specific biomarker for the differentiation of bronchial epithelia; infact, miR-449a may actively promote also mucociliary differentiation and it may contribute to a first line of defence against genotoxic stress<sup>96</sup>.



## **PHYSIOLOGY OF THE SMALL INTESTINE**

The small intestine can be subdivided anatomically from anterior to posterior into duodenum, jejunum and ileum, whereas the large intestine is subdivided into colon and rectum. The predominant function of the small intestine is absorption of nutrients whereas the large intestine compacts the stools. The small intestine is much longer in length than the large intestine, and contains villi that dramatically increase the cell surface area to more efficiently absorb nutrients<sup>97</sup>. The epithelium of the small intestine is composed of four different cell types: enterocytes, enteroendocrine, Paneth cells and Goblet cells (Figure 7). The most abundant cells are enterocytes (absorptive cells), which absorb nutrients from the food and secrete hydrolases into the lumen. Enteroendocrine cells represent less than 1% of all cell types and secrete hormones such as serotonin, substance P and secretin. Paneth cells, which reside at the bottom of the crypts of the small intestine, can be seen as the “innate immune system” of the intestine as they secrete antimicrobial peptides and enzymes such as cryptidins and lysozyme in order to control the microbial flora of the intestine<sup>98</sup>. Finally, goblet cells are mucus-producing cells and are most abundant particularly in the large intestine in order to protect the intestinal epithelium as compaction of stools increases. Infact, intestinal epithelial cell surface is covered by two mucus layers (inner, firmly adherent layer and outer, loosely adherent layer) consisting largely of MUC2 mucin network produced by the goblet cells, and other host defense molecules produced by goblet cells, Paneth cells and absorptive enterocytes. Microbes are associated with the outer, loosely adherent mucus layer, but are absent in the inner, firmly adherent mucus layer. Epithelial cell surface is covered by glycocalyx, which consists of membrane-bound mucins (MUC3 and MUC17 in the small intestine) and other membrane glycoproteins. All cell types are formed in crypts, which are bottle-shaped invaginations of the small intestine interspersed between finger-like villi; the stem cells reside near the base of the crypt. As the gut epithelium is replenished every few days, the cycle of production of new cells continues throughout life. For this reason, the average life span of an epithelial cell is less then a week, with the exception of Paneth cells, which survive approximately 20 days. Pluripotent stem cells, localized near the base of the crypt, are characterized by rapid proliferation and ascension along the crypt-villus axis, with cessation of proliferation and subsequent differentiation into a specific primary cell types. Lifelong maintenance of self-renewal requires the presence of long-term stem cells within the gut. In the small intestine, at the bottom of the crypts, stem cells give rise to a transient population of undifferentiated cells that vigorously proliferate as they migrate toward the lumen of the intestine. Substantial evidence suggests two populations of stem cells: long-term quiescent (reserved) and actively cycling (primed) stem cells. The idea is that baseline regeneration is accomplished by a “primed subpopulation” of active stem cells, whereas quiescent stem cells function as a reserve subpopulation that responds to injury. These cells are in adjoining locations and are presumably maintained by the secretion of specific proteins generated in a unique microenvironment or stem cell niche surrounding each population<sup>99</sup>. The relationship between these two populations, as well as the cellular sources and composition of the surrounding environment/niche, remains to be defined<sup>100</sup>. The progeny of stem cells migrate in precise patterns; infact, absorptive, enteroendocrine, goblet cells migrate toward the villus, while Paneth cells occupy the bottom of the crypts; the process in which these cells migrate up towards the tip of the villi, the





**Figure 7.** Distribution of epithelial cell types in the mammalian small intestine. a) A villus with one of the crypts that contribute to renewal of its epithelium. b) The four classes of terminally differentiated cells.  
(From Nature Review Genetics, 2006).

consequent apoptosis and the leakage into the lumen of the intestine is called “exfoliation”. In the adult small intestine, it is generally known that the microenvironment around the stem cells, called the “niche”, plays important roles in the epithelial cell renewal<sup>101</sup>. Structurally, the stem cell niche mainly consists of subepithelial myofibroblasts, which secrete various factors including extracellular matrix components, growth factors, cytokines, Wnt and epimorphin. Recent studies identified a number of signaling molecules whose localization is associated with the crypt-villus axis; although their functions have not yet been clarified enough, a growing body of evidence has shown that the Wnt and Notch signaling pathways play important roles in the epithelial cell renewal. In addition, it has long been known that the modelling of the intestinal epithelium depends on epithelial-mesenchymal interactions, and recent data have identified the hedgehog, platelet-derived growth factor (PDGF) and BMP signalling pathways as key mediators of these two-way communications; infact, mutations in these pathways derange the construction of crypts and villi. Moreover, within the epithelium, cells signal to one another through the Wnt, Notch and Eph/ephrin pathways: mutations that affect these pathways cause marked changes in the distribution of cell types along the crypt-villus axis. The challenge is to understand not just the action of each type of signal individually, but how the whole set of signals operates as a system to organize the crypt-villus architecture and to control the patterning and renewal of the gut epithelium<sup>102</sup>.

### ***Signaling pathways regulating intestinal homeostasis***

#### ***- Wnt pathway***

The Wnt pathway is certainly one of the best studied signalling in the intestine due to its relevance to gut biology and cancer. Infact, in the intestine, the canonical Wnt signaling cascade plays a crucial role in driving the proliferation of epithelial cells.

Wnts are secreted glycoproteins that mediate cell-to-cell communication during development. They bind to the family of Frizzled receptors that are 7 transmembrane molecules in a complex with the Low-density lipoprotein receptor related proteins (LRP-5 and -6)<sup>103-104</sup>. Activation of these receptor complexes results in stabilization and accumulation of a protein called  $\beta$ -catenin. In the absence of Wnt signaling,  $\beta$ -catenin is retained in the cytoplasm in a multi-protein complex comprising the tumor suppressor APC (Adenomatous Polyposis Coli), the scaffold protein Axin, CK-1 (Casein Kinase-1) and GSK-3b (Glycogen Synthase Kinase-3b). In this complex  $\beta$ -catenin is sequentially phosphorylated by CK-1 and GSK3-b and thereby tagged for ubiquitination and proteosomal degradation. Upon activation of the Wnt pathway, GSK3-b is inhibited by Dishevelled (Dsh) and  $\beta$ -catenin can no longer be phosphorylated at its N-terminus. Unphosphorylated  $\beta$ -catenin is more stable so it accumulates in the cytoplasm and translocates to the nucleus where it binds transcription factors of the TCF/LEF family thereby activating transcription of downstream target genes.

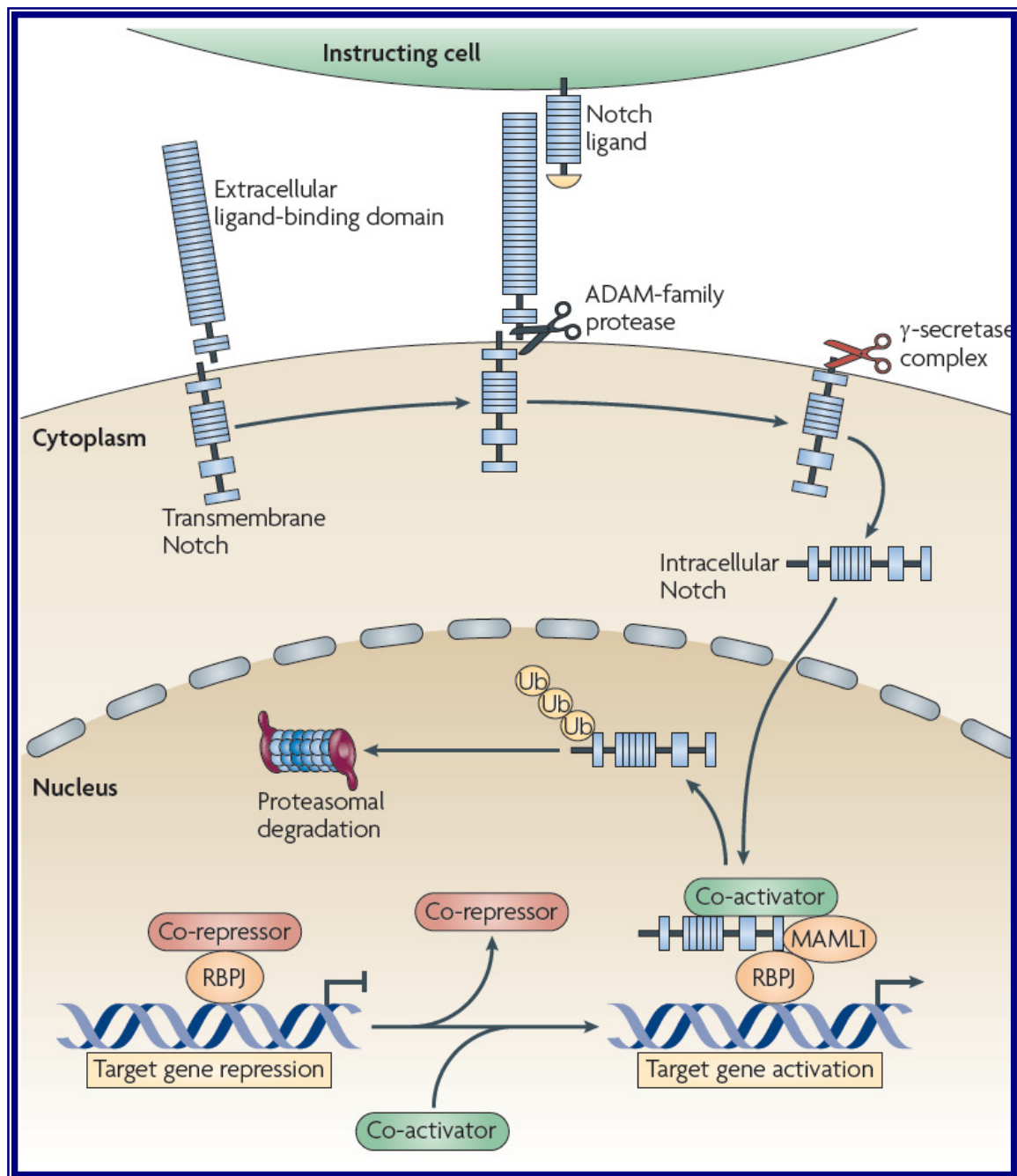
A large body of evidence shows that activation of the canonical Wnt pathway is essential to maintain the crypt cell population in a proliferative state in the intestine<sup>105</sup>; infact, the nuclear accumulation of  $\beta$ -catenin is preferentially observed in cells located at the base of crypts and decreases as cells move toward the top of the crypts<sup>106</sup>. This clearly indicates that the transit-amplifying progenitors are under the influence of the Wnt signal, whereas terminally differentiated cells, other than Paneth cells, are in areas where the canonical Wnt signal is inactive.

Consistently, it has been shown that aberrant activation of Wnt signaling, by mutations in *APC* or  $\beta$ -catenin, is strongly associated with the development of colorectal cancer because many of the epithelial cells enter into the proliferative state and display a failure of the differentiation programs<sup>107</sup>. Conversely, blockage of canonical Wnt signals in the intestine, either through deletion of Transcription Factor 4 (TCF4) or overexpression of the Wnt antagonist Dickkopf (Dkk)-1, results in arrested epithelial cell proliferation<sup>107-110</sup>; in fact, TCF4 knockout mice die soon after birth; at the time when the gut epithelium is normally in a highly proliferative phase, these mice lacked any proliferative epithelial compartments, and the neonatal epithelium was composed exclusively of differentiated, nondividing villous-type cells. TCF4 thus appears to have a critical role in maintaining intestinal crypt stem cells and its mutation has dramatic consequences for intestinal morphogenesis. All these data suggest that the Wnt signalling pathway is required for the maintenance of the crypt progenitor phenotype<sup>111</sup>; briefly, when the pathway is overactivated, crypts enlarge and when the pathway is blocked, they disappear. In addition, Wnt signaling is necessary for positioning Paneth cells near the base of the crypts, and for separating proliferating and differentiated cells; in fact, the Wnt controls expression of the Ephrin sorting receptors and ligands (EphB/ephrinB) that are TCF4 target genes. These receptors allow the correct positioning of epithelial cells in a Wnt gradient along the crypt-villus axis as well as the positioning of postmitotic Paneth cells at the bottom of the crypt<sup>112-113</sup>. More recent evidence has shown that Wnt may also stimulate cellular responses independently of  $\beta$ -catenin and TCF. Examples of these so-called “non canonical pathways” involve either the intracellular release of calcium ions and activation of  $\text{Ca}^{2+}$ -dependent kinases or morphogenic changes dependent on RhoA and Jun kinase stimulation, also termed the “planar cell polarity pathway”<sup>114</sup>. Finally,  $\beta$ -catenin has an additional role as a component of adherens junctions as it binds to the cytoplasmic tail of cadherin proteins thereby linking them to the cytoskeleton of epithelial cells. The mechanisms controlling whether  $\beta$ -catenin joins the signaling pool or is part of adherens junctions is currently unknown.

#### - **Notch pathway**

The Notch pathway plays a central role in intestinal homeostasis because it is involved in the specification of cell fate in a wide variety of cell types and is perhaps instructive for the generation of all cells in invertebrates and vertebrates; in fact, it is highly conserved in evolution and is found in organisms as diverse as worms and humans<sup>97</sup>. Depending on the context, Notch signaling may result in diametrically different outcomes, from inhibition or promotion of differentiation to proliferation or apoptosis. For this reason, it can interact with a variety of the important signaling networks. Key pathways where interactions with Notch have emerged are the fibroblast growth factor (FGF) pathway, the transforming growth factor- $\beta$  (TGF $\beta$ ) and bone morphogenetic protein (BMP) pathway, the nuclear factor  $\kappa$ B (NF- $\kappa$ B), Wnt, Ras, and signal transducer and activation of transcription (STAT) signaling pathway. All these pathways are involved at some stage in gut function and, with the widespread expression of Notch in the gut, potentially herald its extensive involvement in diverse cellular processes. The Notch genes encode single pass transmembrane receptors that interact with transmembrane ligands on adjacent cells. The mammalian genome encodes four receptors (Notch 1-4) and five ligands (Delta-like-1, -3, -4 and Jagged-1 and -2). Ligand engagement initiates a process called “regulated intramembrane proteolysis” (RIP), in which the Notch receptor is first cleaved at a juxtamembrane extracellular site by a metalloprotease of the ADAM (a

disintegrin and metalloprotease) family. This cleavage renders the truncated receptor sensitive to subsequent intramembrane cleavage(s) by the  $\gamma$ -secretase multiprotein enzyme complex. Processing by  $\gamma$ -secretase releases the Notch intracellular domain (NICD) from the membrane, allowing it to translocate into the nucleus where it assembles into a transcriptional activation complex that permits the expression of Notch-responsive genes such as *Hes*. Components of this complex are DNA-binding transcription factor CSL (C-promoter-binding factor, also known as RBP-J) and co-activator such as protein of the Mastermind (MAM) family (Figure 8). NICD comprises several functional regions, including an N-terminal RBP-Jk (recombination binding protein-jk-associated molecule) domain, a unique negative regulatory region (NRR), an ANK (ankyrin repeat) domain and less-conserved regions including a variable transactivation domain and a C-terminal PEST (Pro-Glu-Ser-Thr) sequence; the ANK domain is essential for the folding and for the function of NICD and it can render CSL competent for MAM loading; the RAM region is necessary to recruit NICD and the PEST domain is involved in NICD turnover. The extracellular domain (ECD) of Notch, contains between 29 and 36 tandem repeats of EGF domains (epidermal growth factor-like domains), that can be modified by three different types of O-linked glycosylation: O-fucosylation, O-glucosylation, and O-GlcNAcylation<sup>115-116</sup>. The best characterized modification is the O-fucosylation, that is catalyzed by an O-fucosyltransferase (Pofut1 in mammals and Ofut1 in *Drosophila*) that uses GDP-fucose as a substrate. There are two models proposed to elucidate the role of Ofut1: the first model proposes that Ofut1 acts in the endoplasmic reticulum, where it performs two separable functions: to O-fucosylate Notch, thereby modulating Notch-ligand interaction, and to promote the correct folding of the extracellular domain of Notch (a non-catalytic function). The second model proposes that Ofut1 is required for secretion of Notch<sup>117</sup>. The O-fucose residue added by Pofut1 can be further elongated by the addition of an N-acetylglucosamine by Fringe, an EGF-O-fucose  $\beta$ 1.3 N acetylglucosaminyltransferase. The Notch signaling requires regulation by Fringe glycosyltransferases that determine which ligands can bind to activate the receptor. In fact, Fringe potentiates the activation of Notch by Delta and inhibits activation by Serrate in a cell-autonomous manner<sup>118</sup>. This ligand specificity switch is employed in stage- and tissue-specific regulation of Notch signaling to establish tissue boundaries during animal development<sup>119</sup>. Particularly, in mammals, elucidation of the effects of Fringe on Notch activity is complicated by the presence of multiple receptors, ligands, and Fringe proteins (Lfng, Lunatic fringe; Mfng, Manic fringe and Rfng, Radical fringe). Notch signaling can be regulated by endocytosis; NICD becomes monoubiquitinated (Ub), targeting the receptor to the lysosome for degradation. The first evidence that Notch signaling plays a role in cell-type specification in the intestine was reported in *Hes1* knockout mice<sup>120</sup>. The deletion of the *Hes1* gene resulted in the generation of excessive numbers of goblet cells, enteroendocrine cells, and Paneth cells, all of which possess a secretory character<sup>121</sup>. Subsequently, it was shown that the gene encoding another bHLH factor, *Math1* (mouse atonal homolog1), one of the genes repressed by *Hes1*, is required for the differentiation into the three secretory lineages, because the intestinal epithelium of *Math1*-mutant mice is populated only by absorptive cells<sup>121</sup>. These data suggest that the choice between the absorptive or secretory fate might be the first decision made by each progenitor cell, and that *Hes1* and *Math1* play opposite roles in this decision making<sup>105,121-122</sup>. While the specification of precursors into enterocyte fate is determined by *Hes1*, the differentiation of secretory precursors into goblet or endocrine fate is regulated by *Math1*; it has been shown that the choice



**Figure 8.** The canonical Notch signalling pathway.  
(From Nature Review Immunology, 2009).

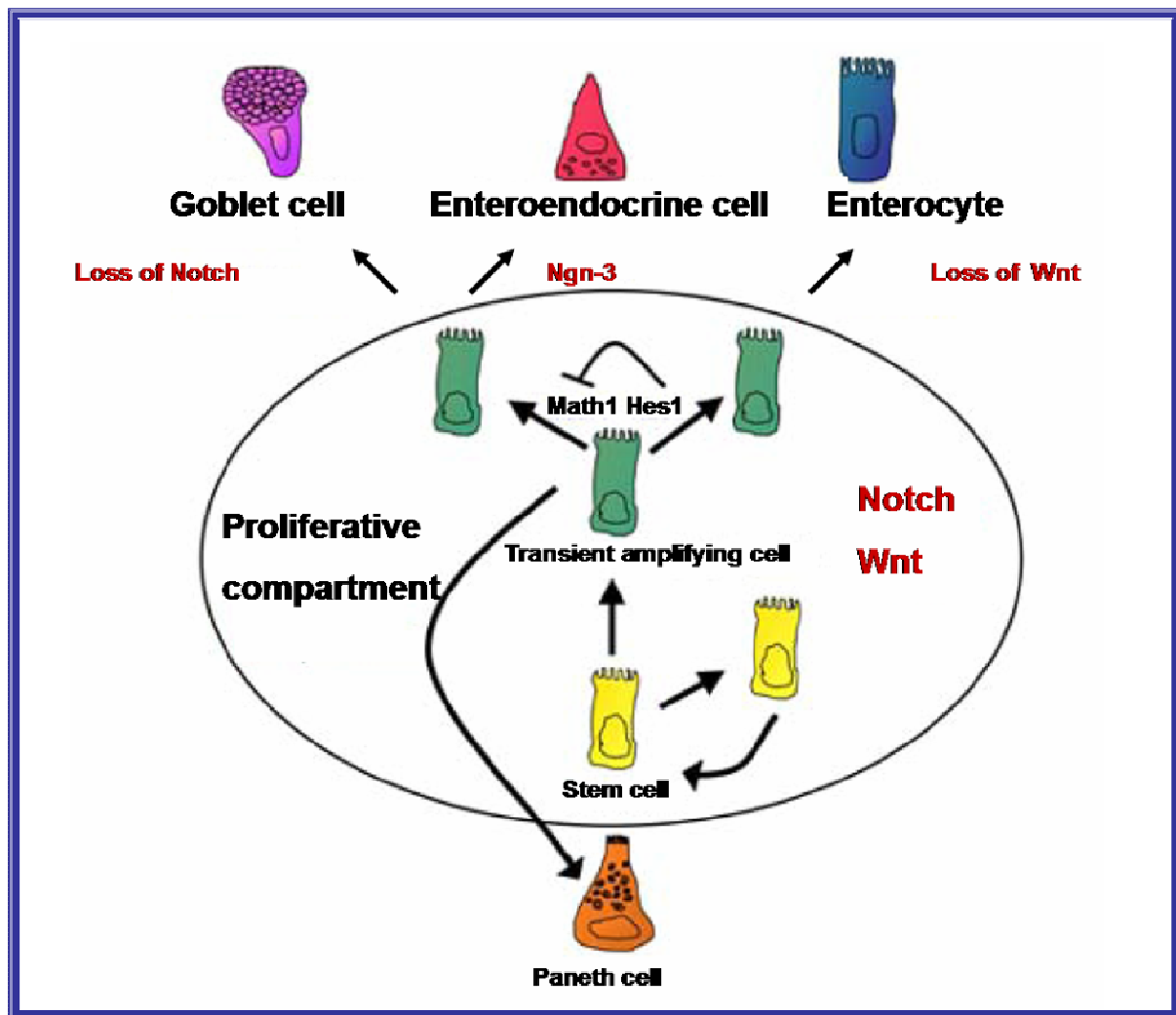


of lineage commitment among the Math1-specified secretory cell types<sup>123</sup> is controlled by several other transcriptional regulators<sup>124</sup> such as NeuroD3 (neurogenin3 for enteroendocrine cell differentiation)<sup>125</sup>, KLF-4 (Kruppel-like factor-4 for goblet cell differentiation)<sup>126</sup> or Gfi 1 (for goblet/Paneth cells differentiation)<sup>127</sup>. These studies are of considerable importance for understanding the stepwise regulation of the differentiation into each lineage of epithelial cells and for clarifying the molecular hierarchy during this process<sup>105</sup>. Another direct genetic evidence showing an essential role of Notch signaling in the intestinal homeostasis, is derived from inducible tissue specific inactivation of CSL/RBP-J. Loss of CSL/RBP-J within the crypt compartment leads to a complete loss of proliferating transient amplifying cells followed by their conversion into postmitotic goblet cells<sup>111</sup>. Conversely, expression of NICD in the gut inhibits differentiation of crypt progenitor cells resulting in an intestine that largely consists of undifferentiated transient amplifying cells<sup>128</sup>. These complementary loss- and gain-of-function studies suggest that Notch signaling is a gatekeeper of the progenitor/stem cell compartment of the gut<sup>97</sup>. In addition, blocking of all Notch/ligand interaction by targeted inactivation of the Pofut1 gene in mouse small intestinal and colonic epithelia enhanced the commitment of crypt progenitor cells toward secretory cell lineages, and leads to hypersecretion of mucus, modification of the gut microbiota and development of intestinal inflammation<sup>122</sup>. Additional evidence is derived from toxicology studies of  $\gamma$ -secretase inhibitors. These small molecules are currently being developed by pharmaceutical companies to inhibit the protease ( $\gamma$ -secretase) activity of presenilins for the treatment of Alzheimer's disease. The primary target of these drugs is the disease causing amyloid precursor protein (APP). The treatment with  $\gamma$ -secretase inhibitors in mouse models for Alzheimer's disease displays unwanted side effects such as a large increase in mucus secreting goblet cells<sup>129</sup> and in Han Wistar rats, goblet cell metaplasia<sup>130</sup> both due to inhibition of Notch signaling. Taken together these results suggest that Notch signaling is essential for maintenance of undifferentiated crypt progenitors. In this respect the Notch and Wnt signaling pathways appear to work hand in hand. Loss of either signaling cascade results in loss of the crypt compartment (Figure 9). In addition to the classic functions of Notch in regulating development and cell fate selection, new insights into an ongoing role of Notch in lymphocyte function are emerging that are relevant to the gut. These studies reveal that Notch signaling can regulate T-cell receptor-mediated T-cell proliferation and apoptosis, development of Th1/Th2 immune responses, and the decision between immunity and tolerance.

#### - ***TGF-/BMP pathway***

The TGF- $\beta$  superfamily consists of a number of secreted cytokines including different TGF- $\beta$  isoforms (TGF $\beta$  1, TGF $\beta$  2, and TGF $\beta$  3), BMPs and activins.

These proteins are known to regulate a broad spectrum of different processes such as embryonic development, differentiation, proliferation, adhesion, wound healing



**Figure 9.** Cell fate specification within the intestine and involvement of Wnt and Notch signalling pathways.  
(From Current Molecular Medicine, 2006)

and inflammation<sup>131-132</sup>. The activated TGF- $\beta$  mediates its effects on cells through heteromeric complexes of TGF- $\beta$  type I and II (T $\beta$ RI and T $\beta$ RII) receptors to activate intracellular signaling pathways, including Smad signaling. The resulting Smad complex then moves into the nucleus, where it interacts in a cell specific manner with various transcription factors to regulate the transcription of many genes<sup>133</sup>. Deregulation of the TGF- $\beta$  signaling can occur at multiple levels of the pathway, and the cancer-related alterations frequently appear to promote progression of tumors. In normal cells, TGF- $\beta$  induces differentiation or promotes apoptosis because it arrests the cell cycle at the G1 stage to inhibit proliferation, acting as a tumor suppressor. The immunosuppressive cytokine TGF- $\beta$  is abundantly expressed in the gut and plays an important role in maintaining immune homeostasis. TGF- $\beta$  is produced by both stroma and T cells in the gut; in contrast to signaling components of Wnt and Notch pathways, which are localized to the undifferentiated crypt, TGF- $\beta$  receptor and ligands, are expressed in the differentiated compartment of the gut. In addition to its immunosuppressive properties, TGF- $\beta$  is also a critical factor for IgA class switching that helps generating the IgA-predominated immunoglobulin milieu characteristic to the intestine. In the intestine, the BMP pathway is seen most strongly in the epithelium of the villi, whereas noggin, the BMP antagonist, is expressed in the neighbourhood of the crypts. When the receptor is knocked out, or the antagonist noggin is overexpressed, excessive quantities of cryptlike structures develop: in the noggin overexpression mutant, these occur on the sides of the villi<sup>134</sup>; in the mouse *Bmpr1a* knockout, there is also a marked increase in the number of crypts in the region within which crypts normally lie<sup>135</sup>. Similar abnormalities are seen in humans with juvenile polyposis syndrome, which can be traced to mutations in *BMPR1A* or *SMAD4* (a key downstream effector of BMP signalling). All this evidence strongly suggests that BMP signalling is a key factor that blocks the formation of ectopic crypts, mediating the action of hedgehog, and that the expression of noggin in the neighbourhood of each crypt base protects the epithelium in this region from the action of BMPs, thereby enabling proliferation to continue<sup>136</sup>.

#### - **Hedgehog pathway**

The *Hedgehog* (Hh) signalling pathway was originally described in the development of *Drosophila melanogaster* as a segment polarity gene required for embryonic patterning. There are 3 vertebrate homologues of Hh: *Sonic hedgehog* (*Shh*), *Indian hedgehog* (*Ihh*) and *Desert hedgehog* (*Dhh*). The Hedgehog signal is transmitted by a seven-span transmembrane receptor Smoothened (Smo). Interestingly, Hedgehogs do not bind to Smo but control the activity of Smo indirectly by binding to a second receptor, Patched (Ptc) that acts in a negative-feedback loop to restrict the range of Hedgehog signaling in a tissue<sup>137</sup>. This pathway is responsible for several relatively common congenital malformations such as tracheoesophageal fistula and anorectal malformations. The Hh signaling pathway has an established role in growth and patterning during embryonic development of the gastrointestinal tract, when both Sonic hedgehog and Indian hedgehog are expressed by the endoderm throughout the gut tube<sup>138</sup>. Infact, in the small intestine, both *Shh* and *Ihh* are produced only in those sites at which proliferation persists: the intervillus pockets and subsequently the bases of the crypts. The suggestion, therefore, is that hedgehog proteins diffuse outwards from these sites and exert their effect at a distance, promoting villous formation and inhibiting crypt-like behaviour in the neighbourhood of each established crypt. In the colon Hh signalling is strongly implicated in directing enterocyte differentiation<sup>139</sup>. Infact, constitutive activation of Hh signaling resulted in



accumulation of myofibroblasts and colonic crypt hypoplasia. A reduction in the number of epithelial precursor cells was observed with premature development into the enterocyte lineage and inhibition of Wnt signaling. Activation of Hh signaling resulted in induction of an increased BMP signaling in the epithelium<sup>138</sup>. Conversely, blocking of the hedgehog signal by strong overexpression of an inhibitor, hedgehog-interacting protein (HHIP), leads to a complete absence of villi and the persistence of a highly proliferative, and sometimes pseudostratified, intestinal epithelium, with increased activation of the Wnt pathway and a deficit of properly differentiated cells<sup>140</sup>. The general conclusion is that hedgehog signalling from epithelium to mesenchyme is required for the formation of villi and the concomitant restriction of proliferation to the intervillus regions within the intestinal epithelium. This process must depend on a feedback loop in which mesenchymal cells respond directly to hedgehog from the epithelium, and deliver a signal back to the epithelium by some other signalling pathway<sup>136</sup>.

## AIMS OF THIS PROJECT

Epigenetics is becoming important in several fields, also in the field of nutritional genomics, which try to fill fundamental gaps in the knowledge of nutrient-genome interactions in health and disease. For example, human epidemiologic studies as well as increasing animal models demonstrate that maternal nutrition can “programme” gene expression patterns in the embryo that persist into adulthood and contribute to metabolic disease<sup>141</sup>. So, epigenetic mechanisms may provide a possible explanation for how environmental influences in early life cause long-term changes in disease susceptibility. Further, among a variety of epigenetic factors, essential nutrients, but also environmental toxins, have been shown to affect epigenetic mechanisms, such as DNA methylation, modification of histone proteins and RNA silencer pathway. It is evident that the potentially reversible epigenetic dysregulations, for example involving miRNAs, may also have an important role in the disease origin, such as CD.

The aim of this project is to perform an extensive study of the different miRNAs expression pattern in intestinal mucosa from celiac patients at different stages of the disease (with active CD and at gluten free diet) and from controls, to investigate the role of these molecules in transcriptional regulation in CD.

The identification of miRNAs differently expressed in CD patients respect to controls will allow to identify their gene target and the metabolic pathways that could be involved in the disease insurgence. Particularly, the identification of miRNAs expressed differently only in active CD vs remitted CD, could identify genes involved in mucosal inflammation; the miRNAs expressed similar in CD and in GFD patients vs controls, could identify genes involved in pathogenesis of CD. So, these results could contribute to explain molecular mechanisms involved in CD pathogenesis.

Deeper understanding of miRNAs mechanism in health and CD, their relationship with the environmental influences and the way they are associated with the disease phenotype, may lead to development of new biomarkers and new appropriate therapeutic strategies. Infact, it can clarify molecular mechanisms involved in pathogenesis of CD and can drive new therapeutical approach based on miRNA-oligonucleotides. For example, miRNAs differently expressed in CD and in GFD patients vs controls, can be used as genetic marker to identified precociously CD in risk subjects (subjects with clinical suspicious of CD, but with normal mucosal architecture, and first grade parents).

## MATERIALS AND METHODS

### ***Patients and controls***

We recruited 20 children with suspected CD, 9 undergoing CD follow-up and 15 controls, among patients consecutively attending the Department of Paediatrics and the European Laboratory for the Investigation of food-induced diseases (ELFID) of the University of Naples “Federico II”. From all patients and controls, we collected a fasting serum sample, a blood sample with EDTA, and a small intestinal bioptic sample.

The general characteristics of the celiac patients and control children are reported in Table 2. The 55% of CD patients were girls and the age at diagnosis was  $4.3 \pm 1.3$  (mean  $\pm$  SEM) years. The 80% of these had gastrointestinal symptoms; the 85% of active CD (17/20) had a villous atrophy classified as TIIIC according to the modified Marsh classification; instead, the remaining 15% (3/20) had a villous atrophy classified as TIIIB. All active CD children were positive for tTG or EMA (IgA); only 1 subject was negative for these antibodies but was positive for both AGA IgG/IgA antibodies. The control patients were 15 and the 45% of these were girls; they were not-CD age-matched because the age at diagnosis was  $6.1 \pm 1.0$  (mean  $\pm$  SEM) years. Control subjects also underwent histological examination of the small intestine, even if they were negative for serum autoimmune markers, in order to confirm the diagnosis or to rule out the silent form of CD. Eleven of the 15 control patients were enrolled in the study with one of the following final diagnoses: *Helicobacter pylori* infection, recurrent vomiting, lack of appetite or reflux esophagitis. The remaining 4 patients were affected by IgA deficit (2 cases), De George syndrome (1 case) and autoimmune thyroiditis (1 case), and were excluded from the study because considered potentially confounding diseases. All control subjects had slight or no abnormalities in the mucosal architecture: in fact, the 64% of these (7/11) has normal mucosal architecture classified as type T0-Marsh stage, but the remaining 36% (4/11) revealed normal mucosal architecture in which the villous epithelium was markedly infiltrated by lymphocytes (T1 Marsh stage). The 9 GFD patients had been on a GFD for at least 2 years; in fact, the histological examination of the small intestine carried out after 2 years of free-gluten diet, revealed normal mucosal architecture; the 55% of these (5/9) were girls and the age at diagnosis was  $7.6 \pm 2.5$  (mean  $\pm$  SEM) years. Written informed consent was obtained from all individuals before their enrollment. The study was conducted according to the Helsinki II declaration and it was approved by the Ethics Committee of our School of Medicine.

### ***Biochemical parameters***

A fasting serum sample was used to evaluate the biochemical parameters. Anti-Endomysium IgA were detected by indirect immunofluorescence microscopy on rhesus monkey esophagus substrate (Eurospital, Trieste, Italy); tTG IgA, anti-gliadin (AGA) IgA/IgG were analyzed by ELISA with human recombinant tTG as antigen (DIA Medix Corp., Miami, FL, USA). IgA deficiency was evaluated using a nephelometric assay (DADE BEHRING, BNProSpec) in all subjects negative for CD antibodies.

**Table 2.** General characteristics of studied celiac patients (active CD and GFD subjects) and control children (CTRL)

Characteristics <sup>a</sup>	Subjects		
	Active CD (n=20)	GFD <sup>b</sup> (n=9)	CTRL (n=11)
<b>Sex Female (%)</b>	55	55	45
<b>Age (years)</b>	4.3 ± 1.3	7.6 ± 2.5	6.1 ± 1.0
<b>Clinical presentation:</b>			
Gastrointestinal symptoms (%)	80	22	82
Villous atrophy % (Marsh stage) <sup>c</sup>	TIIIB 15 TIIIC 85	T0 56 T1 44	T0 64 T1 36
Positive tTG or EMA (IgA) <sup>d</sup>	19	3	0
<b>Familiarity for:</b>			
CD (%)	20	22	0
Other autoimmune diseases (%)	5	11	0

<sup>a</sup> Data are expressed as percentage (%) or as mean ± standard error of the mean (SEM)

<sup>b</sup> At gluten free diet from at least 2 years

<sup>c</sup> According to Marsh classification

<sup>d</sup> Only 1 subject was negative for these antibodies but was positive for both AGA IgG/IgA antibodies

### **DNA extraction**

Genomic DNA was extracted from a blood sample plus EDTA using the Nucleon BACC 2 kit (Amersham Biosciences Europe, Milan, Italy). The DNA was dissolved in water; the final DNA concentration and purity was measured using a NanoDrop ND-1000 spectrophotometer (NanoDrop technologies, Wilmington, DE, USA). The agarose gel electrophoresis was used to determine the success of the extraction and to evaluate the amount of high molecular weight nucleic acids and eventually its degradation (see “**electrophoresis**” section). The purified DNA was storage at -20 °C.

### **HLA typing**

DQ2/DQ8 HLA susceptibility molecules were identified using specific primer-PCR typing of the HLA-DQA1\*/DQB1\*/DRB1\* loci (BAG Health care GmbH, Lich Germany). This kit uses *Sequence Specific Primers* (SSP)-PCR to detect specific HLA genotype. Infact, the kit consists of a 24 wells-plate, in which are deposited the prealiquoted and dried reaction mixtures for both DQ2/DQ8 alleles specific primers and internal control primers (specific for the human G3PDH gene). Ten µl of Master-Mix [consisting of 10X PCR-buffer, 50 µl DNA (50 ng/µl), 1 ul Taq-Polymerase (5U/µl) and H<sub>2</sub>O] were added to pre-dropped reaction mixtures. The plate was put on a thermal cycler and the PCR program was the following: 1x (5'at 96 °C); 5x (20"at 96 °C, 1'at 68 °C); 10x (20"at 96 °C, 50"at 64 °C, 45"at 72 °C); 15x ( 20"at 96 °C, 50"at 61 °C, 45"at 72 °C); 1x (5'at 72 °C). The amplification products were separated by electrophoresis agarose gel and the results were interpreted using the specific software (see “**electrophoresis**” section).

### **Electrophoresis**

Agarose gel electrophoresis is a method that separates a mixed population of nucleic acids (DNA and RNA) fragments by length, to estimate their size. Nucleic acid molecules are separated by applying an electric field to move the negatively charged molecules through an agarose matrix. Shorter molecules move and migrate faster than longer ones because shorter molecules migrate more easily through the pores of the gel. Agar or agar-agar is a gelatinous substance derived from a polysaccharide that accumulates in the cell walls of agarophyte red algae. The gel concentration used to separate SSP-PCR products was 2.0% (2 gr of agarose in 100ml 1X TBE), but to evaluate DNA purity was 1% (1 gr of agarose in 100ml 1X TBE); the agarose solution was boiled to dissolve the agarose and then was added 5 µl ethidium bromide stock (10 mg/ml) for a final concentration of 0.5 µg/ml. The ethidium bromide is a common dye used to make nucleic acids bands visible because it fluoresces under UV light when intercalated into DNA or RNA. The solution was stirred to disperse the ethidium bromide and then it was poured into the gel rack. When the gel has cooled down and become solid, it was puted into a tank with the electrophoresis buffer 0.5X TBE (45 mM of tris, 45 mM of boric acid, 0.5 mM of EDTA). The PCR mixtures were loaded with 2 µl 6X loading buffer (0.25% w/v bromophenol blue, 30% glycerol and 0.25% w/v xylene cianol) in each slot of the gel; in addition, 10 µl of the DNA length standard for size comparison [Marker XVI Roche (0.25-3.090 kbp)] was loaded into a well. Electrophoretic separation was done at 100 V/cm, for 20-40 minutes. After the run has been completed, the DNA bands were visualized on a UV transilluminator. The gel can then be photographed with a polaroid camera. Particularly, to detect the overall quality of an RNA preparation it was preferred the electrophoresis on a denaturing agarose gel: the RNA was denaturated for 5 min at

94°C and then it was loaded on the gel; the electrophoresis was performed at 50 V/cm and then the RNA was visualized on a UV transilluminator.

### ***Histopathological analysis***

Biopsy specimens from the distal duodenum were obtained by upper gastrointestinal endoscopy from all CD patients and controls enrolled in this study; each bioptic sample was divided in two aliquots: one was used to histopathological analysis and the other was frozen in liquid nitrogen to RNA extraction.

### ***RNA extraction***

Total RNA, including miRNAs, was extracted from an aliquot of bioptic samples for each patient, using the Mirvana extraction kit (Applied Biosystems, Foster City, CA, USA). Each frozen biopsy has been removed from -80°C freezer and immediately processed to avoid that ice crystals could rupture cellular compartments, releasing RNases.

The extraction was performed in 3 steps:

- Tissue lysis

The weight of the bioptic sample was measured and then was added 10 volumes of lysis/binding buffer per tissue mass to preserve RNA; then the sample was homogenate using a tissue lyser system (Tissue Lyser II-Retsch Quiagen) using beads of 3 mm diameter, for 2 minutes at 18 oscillations for second

- Organic extraction

At the homogenate sample was added 1/10 volume of miRNA homogenate Additive and then the mixture was leaved on ice for 10 minutes; then it was added a volume of acid-phenol:Chloroform to remove proteins and cellular residues; the mixture was vortexed for 1 minute and then was centrifugated for 5 minutes at 10.000 g at room temperature. The upper phase was transferred into a fresh tube.

- Isolation of total RNA including miRNAs

At the sample was added 1.25 volumes of room temperature 100% ethanol and then it was loaded onto a filter; it was centrifugated for 15 seconds at 10.000 g to pass the mixture through the filter, and then the filter was washed with 2 differently solutions; the filter was trasfered into a fresh tube, it was applyied 100µl of pre-heated water (95°C) and then was centrifugated at 10.000 g for 30 seconds to elute the total RNA. The final RNA concentration was measured using a NanoDrop ND-1000 spectrophotometer (NanoDrop Technologies). The agarose gel electrophoresis was used to evaluate the amount of high molecular weight nucleic acids and eventually its degradation, because the RNA purity and integrity were critical factors for the real-time PCR (see “***electrophoresis***” section). The purified RNA was storage at -80 °C.

### ***TaqMan Low Density Array (TLDA) technology***

The TaqMan Low Density Array (TLDA) is an efficient and flexible technology that enables real-time PCR in a low-density array format and streamline gene expression using ABI PRISM 7900HT Sequence Detection System. TLDA are 384-well micro fluidic cards, pre-loaded with real-time PCR assays available for human, mouse, and rat gene. This technology is used to obtain a miRNA profiling because it selectively detect and quantify the mature, biologically active form of miRNAs; infact, TLDA takes advantage of a novel reverse transcription step utilizing unique hairpin-loop RT primers that are specific to only the mature miRNA species. Infact, the design of a random-primer RT is prohibited for the short length of mature miRNAs (~22 nucleotides), but the stem-loop structure provides specificity for only the mature

miRNA target and forms an RT primer/mature miRNA chimera that extends the 3' end of the miRNA. The resulting longer RT amplicon presents a template amenable to standard real-time PCR using TaqMan Assays. It can also discriminate between highly homologous targets (<http://mirna.appliedbiosystems.com>). The TLDA used (Human MicroRNA Array v1.0) contains 365 different human miRNA assays in addition to two carefully selected small nucleolar RNAs (snoRNAs: RNU48 and RNU44) that function as endogenous controls for data normalization (Figure 10). Usually, the amplification of an endogenous control is performed to standardize the amount of RNA sample. Our normalization was performed using as endogenous control the RNU48 because its expression was relatively constant in all studied intestinal samples.

The TaqMan Array is designed for two-step RT-PCR:

- 1) In the reverse-transcription (RT) step, cDNA is reverse transcribed from total RNA samples (800 ng).
- 2) In the PCR step, PCR products are amplified from cDNA.

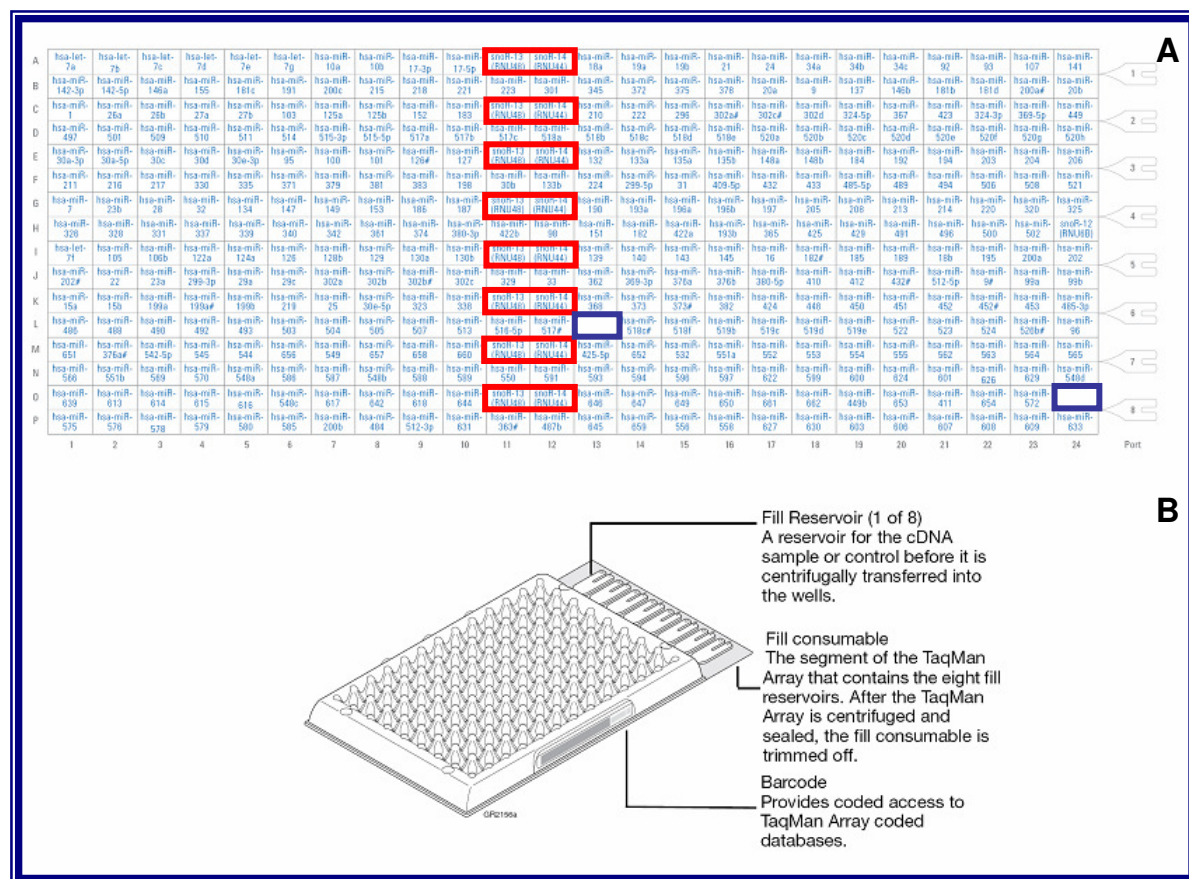
Particularly, an equivalent amount of RNA into RT reaction and an equivalent volume of the cDNA for the real-time PCR reactions were used. All 365 miRNA targets were reverse transcribed in eight separate RT reactions for the 8 fill ports present in each card; the components used to prepare the RT-Mix are described in Table 3 and the thermal cycler' program used for RT-PCR are reported in Table 4. The eight predefined RT primer pools were a mixture containing up to 48 RT primers each. A total of eight independent RT reactions were run for sample. After the RT-PCR, the 8 RT reactions were diluted and 8 RT Master Mix was prepared as described in Table 5. Each RT Master Mix was pipetted into the corresponding filling ports on the TaqMan Array. The loaded card was centrifugated 2 times at 1200 rpm for 1 min to obtain a complete distribution of PCR reaction mix in the multiple wells; then it was sealed to prevent well-to-well contamination and the fill consumable was trimmed off. Finally, the cards were processed on an ABI Prism 7900 HT apparatus (Applied Biosystems) using the thermal cycler conditions described in Table 6.

### ***SDS Software Plate Documents***

Each TLDA was supplied of SDS plate documents contained information necessary to run the card on the 7900HT instrument because it configured the plate document plate grid and setup table. Then, SDS plate documents stored data collected from a run including sample names and detectors. The fluorescence data generated in this step were elaborated with System Relative Quantitation software 2.3 to determine relative levels of miRNAs expression.

### ***Comparative $C_T$ Method ( $\Delta\Delta C_T$ ) and SDS Version 2.3 Software***

SDS Version 2.3 Software delivers a complete suite of tools to perform the  $\Delta\Delta C_T$  calculations in addition to real-time PCR plate set-up and analysis of gene expression, pathogens, and SNPs ([www.7900ht.com](http://www.7900ht.com)). This software analyzes fluorescence data generated during PCR for each card using Comparative  $C_T$  method ( $\Delta\Delta C_T$ ) that is a convenient method to analyze the relative changes in gene expression. The  $\Delta\Delta C_T$  Method is similar to the Relative Standard Curve Method,



**Figure 10.** TaqMan Low Density Array Human MicroRNA Panel v1.0 (A) Each TLDA Human MicroRNA Panel v1.0 card contains 365 preloaded human miRNA targets, endogenous controls (underlined in red) and negative controls (underlined in blue). (B) Cards structure.



**Table 3.** Components used to prepare the RT-Mix; the final volume for each RT reaction is 10  $\mu$ L.

<i><b>Component</b></i>	<i><b>Volume (<math>\mu</math>L) for 1 RT reaction</b></i>
100 mM dNTPs (with dTTP)	0.20
MultiScribe Reverse Transcriptase, 50 U/mL	2.00
10X Reverse Transcription (RT) Buffer	1.00
RNase Inhibitor, 20 U/ $\mu$ L	0.125
Total RNA (800 ng)	2.00
Multiplex RT Human primer pool	1.00
Nuclease-free water	3.675

**Table 4.** The thermal cycler' program used to Multiplex Reverse Transcription-PCR (RT-PCR).

<i><b>Step Type</b></i>	<i><b>Time (min)</b></i>	<i><b>Temperature (°C)</b></i>
HOLD 1	30	16
HOLD 2	30	42
HOLD 3	5	85
HOLD 4	$\infty$	4

**Table 5.** The components used to prepare the RT Master Mix.

<i><b>Component</b></i>	<i><b>Volume (<math>\mu</math>L) for reaction</b></i>
Diluted RT Reaction (10 $\mu$ L RT-PCR+ 615 $\mu$ L H <sub>2</sub> O)	50.0
TaqMan Universal PCR Master Mix (2X)	50.0
Total Volume	100.0

**Table 6.** Thermal cycler conditions used to process the cards on a 7900HT Fast Real-Time apparatus (Applied Biosystems).

<i><b>Step Type</b></i>	<i><b>Time (min)</b></i>	<i><b>Temperature (°C)</b></i>
HOLD 1	2	50
HOLD 2 (40 cycles)	10	94.5
	0.5	97
	1	60
HOLD 3	$\infty$	4

except it uses arithmetic formulas to achieve the result for relative quantitation. It is possible to eliminate the use of standard curves and to use the  $\Delta\Delta C_T$  Method for relative quantitation as long as the PCR efficiencies between the target(s) and endogenous control(s) are relatively equivalent. This method is useful when a high number of targets and/or number of samples are tested; infact, the standard curves are not required to run on each plate. It requires the assignment of one or more housekeeping genes (endogenous controls), which are assumed to be uniformly and constantly expressed in all samples, as well as one or more reference samples. Because the endogenous control is used to normalize differences in the amount of cDNA that is loaded into PCR reaction wells, endogenous control expression levels must be similar in all samples in the study (while the target's expression levels may vary widely, expression of the endogenous control remains constant). The endogenous sample used in this study was RNU48 because it observed this feature. The fold-differences in miRNAs expression are first determined from the threshold cycle ( $C_T$ ) values normalized to the expression of the reference sample. The  $C_T$  indicates the fractional cycle number at which the amount of amplified target reaches a fixed threshold. The expression of other samples is then compared to that in the reference sample. The amount of target, normalized to an endogenous reference and relative to a calibrator, is given by  $2^{-\Delta\Delta C_T}$ . The  $\Delta C_T$  value is calculated by:  $\Delta C_T = C_T$  target -  $C_T$  reference. The  $\Delta\Delta C_T$  is calculated by:  $\Delta\Delta C_T = \Delta C_T$  test sample -  $\Delta C_T$  calibrator sample. The calibrator is a sample that is used as the basis for comparative expression results. In this study the calibrator was a mean of fluorescence data obtained from controls. So, the RQ of each miRNA calculated with the  $2^{-\Delta\Delta C_T}$  algorithm represented, in this study, the miRNA fold change expression level measured in CD patients vs the mean level obtained in the controls. We considered differently expressed in CD patients vs control, the miRNAs whose mean RQ levels were  $\leq 0.5$  (downregulated) or  $\geq 2.0$  (upregulated) in almost 15/20 active CD (75%) and 7/9 GFD patients (78%). The RQ levels were expressed as mean  $\pm$  standard error of the mean (SEM) between 3 study groups (active CD and GFD patients vs control subjects).

### ***TaqMan chemistry***

After the reverse transcription, the PCR is performed using MGB probe; the TaqMan MGB probes contain a reporter dye (6-FAM<sup>TM</sup> dye) linked to the 5' end of the probe, a minor groove binder (MGB) and a non fluorescent quencher (NFQ) at the 3' end of the probe. MGBs increase the melting temperature ( $T_m$ ) without increasing probe length<sup>142</sup>; they also allow the design of shorter probes.

Briefly, during PCR each TaqMan MGB probe anneals specifically to its complementary sequence between the forward and reverse primer sites; when the oligonucleotide probe is intact, the proximity of the quencher dye to the reporter dye causes the reporter dye signal to be quenched. A DNA polymerase extends the primers bound to the cDNA and (a 5' nuclease) cleaves the probes that are hybridized to the target sequence; when the hybridized probes are cleaved by enzyme, the quencher is separated from the reporter dye, increasing the fluorescence of the reporter dye.

Therefore, the fluorescence signal generated by PCR amplification indicates the gene expression level in the sample.

### ***Bioinformatic prediction of target genes for miRNAs***

Computational algorithms for target prediction in mammals combine base pairing pattern, thermodynamic stability of miRNA-mRNA hybrid, comparative sequence analysis to check conservation and examination of the presence of multiple site, in order to maximize specificity. To determine possible target genes for the differentially expressed miRNAs in celiac disease, we used miRecords (<http://mirecords.biolead.org/>). MiRecords is an integration of 11 established miRNA target prediction programs: DIANA-microT, MicroInspector, miRanda, MirTarget2, miTarget, NBmiRTar, PicTar, PITA, RNA22, RNAhybrid, and TargetScan/TargetScanS. We considered only the target genes predicted by two or more programs of Mirecords; particularly, based on literature data, we considered preferentially, TargetScan, PicTar and Miranda. TargetScan (<http://www.targetscan.org/>) is an algorithm that identified the targets of vertebrate miRNAs. The program integrates thermodynamics-based modeling of miRNA-mRNA interactions and comparative sequence analysis to predict miRNA targets conserved across multiple genomes such as human, mouse and rat. It searches for seed matches in the first organism such as human and expand each seed match with additional base pairings to the miRNA. The thermodynamic properties of miRNA-mRNA duplexes are assessed by calculating free-energy ( $\Delta G$ ) of the putative binding site by using the RNAFold package (<http://www.tbi.univie.ac.at/~ivo/RNA/>) and assign a score to each UTR. This is very important because allow to identify complex RNA secondary structures located in the 3'UTR that may prevent miRNA/mRNA interactions; in fact, a common feature of most validated targets is that miRNAs preferentially target 3'-UTR sites that do not have complex secondary structures and are located in accessible regions of the mRNA based on favourable thermodynamics. Moreover, a low free energy of hybridization does not guarantee accurate prediction of miRNA target genes<sup>143</sup>, thus, it is inevitable to consider additional features such as conservation analysis for reliable prediction of target transcript. Contrary to TargetScan that require a seed match at exactly corresponding positions in a cross-species UTR alignment, PicTar requires binding sites that are coregulated by multiple miRNAs across species; infact, multiple copies of miRNA target sites on the 3' UTR of the gene in question increase the degree of translational suppression and enhance specificity of gene regulation. In detail, PicTar checks the alignments of 3' UTRs for those displaying seed matches to miRNAs, filters the retained alignments based on their thermodynamic stability, and computes a hidden Markov model (HMM) maximum likelihood score (PicTar score) for each predicted target. To filter out false positives, PicTar used statistical tests based on genome-wide alignments of eight vertebrate genomes and considered clustering co-expressed miRNAs and matching miRNAs with putative targets that are expressed in the same context. Finally, MiRanda selected target genes for each miRNA, on the basis of three properties: sequence complementarity using a position-weighted local alignment algorithm, free energies of RNA-RNA duplexes, and conservation of target sites in related genomes. Infact, evolutionary conservation is another important factor to filter out false positive targets and increase specificity. It helps to predict only the target sites which are under selective pressure to preserve their sequence and presumably functionality, across evolution. The genes predicted by two or more programs of Mirecords, were then combined and analyzed using WebGestalt (WEB-based GENE SeT Analysis Toolkit) (<http://bioinfo.vanderbilt.edu/webgestalt/>). It is designed for functional genomic, proteomic and large-scale genetic studies from which large number of gene lists (e.g. differentially expressed gene sets, co-expressed gene sets etc) are

continuously generated. WebGestalt incorporates information from different public resources and provides an easy way to make sense out of gene lists. Particularly, in this study we used Gene Ontology Tree Machine (GOTM) and Kyoto Encyclopedia of Genes and Genomes (KEGG) database. GOTM (<http://bioinfo.vanderbilt.edu/gotm/>) is a web-based tool for the analysis and visualization of sets of interesting genes based on Gene Ontology hierarchies. It provides statistical analysis to indicate GO categories with relatively enriched gene numbers and suggest biological areas that warrant further study. Enriched GO categories can be visualized in Sub-trees or DAGs. Subset of genes can be retrieved by GO term or keyword searching. WebGestalt can organize genes based KEGG database (<http://www.genome.ad.jp/kegg/>) that is an integrated database resource consisting of 16 main databases, broadly categorized into systems, genomic and chemical informations. In detail, it shows KEGG pathways associated with the gene set, the number of genes in each pathway and the Entrez Gene IDs for the genes. It also provides P-values, indicating the significance of enrichment for each KEGG pathway. Each KEGG pathway is hyperlinked to the KEGG Map, in which genes in the gene set are highlighted in red. However, because a perfect seed pairing may not necessarily be a reliable predictor for miRNA interactions<sup>144</sup>, targets for miRNAs remain to be identified or verified experimentally.

### ***The Reverse Transcription-PCR (RT-PCR)***

The RT-PCR was performed for each RNA sample in order to obtain the cDNA to perform a qRT-PCR both for miR-449a and for NOTCH1 and HES1 mRNAs. For the very small quantity of total RNA obtained by little bioptic samples, the RT-PCR was performed using the High Capacity cDNA Reverse Transcription kit (Applied Biosystems) that yields very quantitative amplification of total RNA from 0.02 to 2 µg. Two aliquot of total RNA from each sample were reverse transcribed (RT) both with a miRNA-specific stem-loop primer (for miRNA assay) and with a random primers (for mRNA assay) following the manufacturer's protocol. The RT master mix was prepared in ice, as reported in Table 7 and then loaded into the thermal cycler, using the program reported in Table 8. The cDNA was storage at -20°C and then used to perform the qRT-PCR.

### ***Quantitative real-time polymerase chain reaction (qRT-PCR) of miRNAs and mRNAs***

To confirm the high level of miR-449a in small intestinal biopsy evaluated by TLDA, a qRT-PCR was performed with TaqMan microRNA assays (Assay ID: 001030 Applied Biosystems) in accordance with the manufacturer's instructions. This assay is used to quantify and to detect the profile of a specific miRNA. It can also distinguish between highly homologous targets; infact, because many miRNA family members differ in sequence by as little as one base, real-time PCR using TaqMan helps provide the specificity needed for differentiation. Moreover, it takes advantage of a novel reverse transcription step utilizing unique hairpin-loop RT as described in TLDA section. The mRNA expression levels of NOTCH1 and of HES1 were measured in small intestinal tissues by qRT-PCR using single TaqMan gene expression assays (respectively Assay ID: Hs01062011\_m1 and Hs00172878\_m1 Applied Biosystems) in accordance with the manufacturer's. During the target amplification step, the AmpliTaq Gold DNA polymerase was used to amplify target cDNA synthesized from the RNA sample, using sequence-specific primers both for miR-449a and NOTCH1 and HES1 mRNA. The PCR reaction was prepared in ice (the components are

**Table 7.** Components used to prepare the RT-Master Mix both for mRNA (A) and for miRNA (B) RT-PCR.

<b>Components</b>	<b>(A) Volume (<math>\mu</math>L) for 1 reaction (20<math>\mu</math>L)</b>	<b>(B) Volume (<math>\mu</math>L) for 1 reaction (15<math>\mu</math>L)</b>
10X RT buffer	2.0	1.5
25X dNTP mix (100mM)	0.8	0.15
10X RT Random Primers	2.0	-
5x miRNA primer	-	3.0
MultiScribe Reverse Transcriptase	1.0 (1 U/ $\mu$ l)	1.0 (50 U/ $\mu$ l)
Rnase Inhibitor (1 U/ $\mu$ l)	1.0 (1 U/ $\mu$ l)	0.19 (20 U/ $\mu$ l)
Total RNA	13.2 (264ng)	5 (10ng)
Nuclease-free water	-	4.16

**Table 8.** The thermal cycler' program used to Reverse Transcription-PCR (RT-PCR) both for mRNA (A) and for miRNA (B) RT-PCR.

<b>Step Type</b>	<b>Time (min)</b>	<b>Temperature ( °C)</b>
HOLD 1	10	25
HOLD 2	120	37
HOLD 3	5	85
HOLD 4	$\infty$	4

**A**

<b>Step Type</b>	<b>Time (min)</b>	<b>Temperature ( °C)</b>
HOLD 1	30	16
HOLD 2	30	42
HOLD 3	5	85
HOLD 4	$\infty$	4

**B**

**Table 9.** Components used to prepare the PCR-Master Mix both for mRNA and for miRNA real time assay.

<b>Reagent</b>	<b>Volume for 1 reaction (20<math>\mu</math>l)</b>
TaqManiversal PCR master mix	10.0
Nuclease-free water	7.67
20X TaqMan primer (labeled Real Time)	1.0
cDNA	1.33

reported in Table 9), and then loaded into the thermal cycler, using the following program: 1x (10' at 95°C), 40x (15" at 95°C and 60" at 60°C). All reactions were run in triplicates on ABI Prism 7900HT Sequence Detection System 2.3 software, according to the  $2^{-\Delta\Delta CT}$  algorithm, to quantify the expression levels of miRNAs and mRNAs. The RQ levels were expressed as mean±standard error of the mean (SEM) between 3 study groups (active CD and GFD patients vs control subjects). The levels of miR-449a was normalized to RNU48 (Assay ID: 001006 Applied Biosystems), but the changes in NOTCH1 and HES1 mRNA expression levels were calculated following normalization to housekeeping gene  $\beta$ -actin (Assay ID: Hs99999903\_m1 Applied Biosystems). The  $\beta$ -actin was chosen because several studies in literature used it as good housekeeping gene in the intestinal samples<sup>145-146</sup>. The normalization to endogenous controls was performed to correct for potential RNA input (variation in the amount of starting material, sample collection, RNA preparation and quality) or RT efficiency biases.

### ***Transfection and inhibition experiments***

Once miRNA targets were predicted with bioinformatic algorithms, the next step was to validate the miRNA-target interaction experimentally. Infact, since computational methods are not perfect, and there is a risk of false-positive prediction, target validation in biological system is inevitable to complete the study of target prediction; usually the most common method to check the interaction between miRNA and its target mRNA directly is the "reporter assay". In a standard reporter assay, the putative target sites are fused to a reporter construct (i.e. luciferase, green fluorescence protein or yellow fluorescence protein), and reporter expression is measured in the absence and presence of the miRNA. The plasmids used were pGL3-control encoding for firefly luciferase, a control luciferase reporter plasmid; they were co-transfected with pRL-NOTCH1, coding for *Renilla* luciferase under CMV promoter, including miR-449a binding site. The direction of the inserted 3' UTR region was confirmed by PCR and sequencing after ligation. The cell lines used were human HEK-293 (human embryonic kidney cells) maintained in  $\alpha$ -MEM (minimum essential medium) supplemented with 10% fetal bovine serum and glutamine. HEK-293 cells were seeded in 24-well plates with 500  $\mu$ l of antibiotic-free medium the day before transfection to allow adherence and to reach 70-90% confluence at the time of transfection. The standard co-transfection mix was prepared for triplicate samples by adding 10 ng pGL3-control, 500 ng pRL-NOTCH1 and pre-miR in 150  $\mu$ l of Opti-MEM I (Invitrogen); 3  $\mu$ l lipofectamine 2000 (Invitrogen) were added separately in 150  $\mu$ l Opti-MEM I. The two solutions were mixed and incubated at room temperature for 20'-30', after which, 100  $\mu$ l of the mix were added to each well. The final volume of medium plus transfection mix was 600  $\mu$ l. Cells were incubated with the transfection mix for 6 h and the medium was then replaced with new fully supplemented culturing medium. Forty-eight hours after transfection, we measured firefly and *Renilla* luciferase activities using a dual luciferase assay according to the manufacturer's instructions (Promega, Naples, Italy).

### ***Immunohistochemistry for NOTCH1, HES1, $\beta$ -catenin and MUC-2.***

The NOTCH1, HES1, MUC-2 and  $\beta$ -catenin proteins were identified by immunohistochemical analysis on formalin-fixed paraffin-embedded small intestinal tissue blocks in CD patients and in controls. We selected randomly, six active CD, six GFD and four controls. Sections 5- $\mu$ m thick were cut from the formalin-fixed tissue blocks, dewaxed in xylene analogs (Bio-Clear Bio-Optica, Milan, Italy) and re-

hydrated with graded ethanol concentrations. The sections were incubated for 45 min at 97°C in retrieval solution pH 9 (DAKO, S2367) (NOTCH1) or in citrate buffer pH 6 (DAKO, S2369) (HES1, MUC-2 and  $\beta$ -catenin) in order to retrieve immunogenicity. Endogenous peroxidase activity was blocked by immersing slides in 3% hydrogen peroxide methanol for 10'. Aspecific antigen sites were blocked by incubating at room temperature for 30' with background reducing components (DAKO). The primary antibodies used in the immunohistochemical staining were anti cleaved NOTCH1 rabbit monoclonal antibody (1:50 Cell Signaling Technology), anti-HES1 rabbit polyclonal antibody (1:1000 Abcam), anti mucin 2 (MUC-2) glycoprotein mouse monoclonal antibody (1:100 Leica Microsystems) and anti- $\beta$ -catenin mouse monoclonal antibody (1:200 BD Transduction Laboratories). Tissue sections were incubated at room temperature for 1 h with primary antibodies. Staining was carried out with LSAB+System-HRP (DAKO); the signal was developed using diaminobenzidine (DAB) chromogen as substrate (DAKO). The tissue sections were then lightly counterstained with Mayer's hematoxylin and cover-slipped. Because the MUC-2 staining of goblet cells was patchy, we picked ten crypts from each slide and manually counted the number of goblet cells stained in each crypt. We also evaluated MUC-2 staining of villi, when possible, in GFD patients and controls.

### ***Scanning and automated image analysis of NOTCH1, HES1 and $\beta$ -catenin proteins***

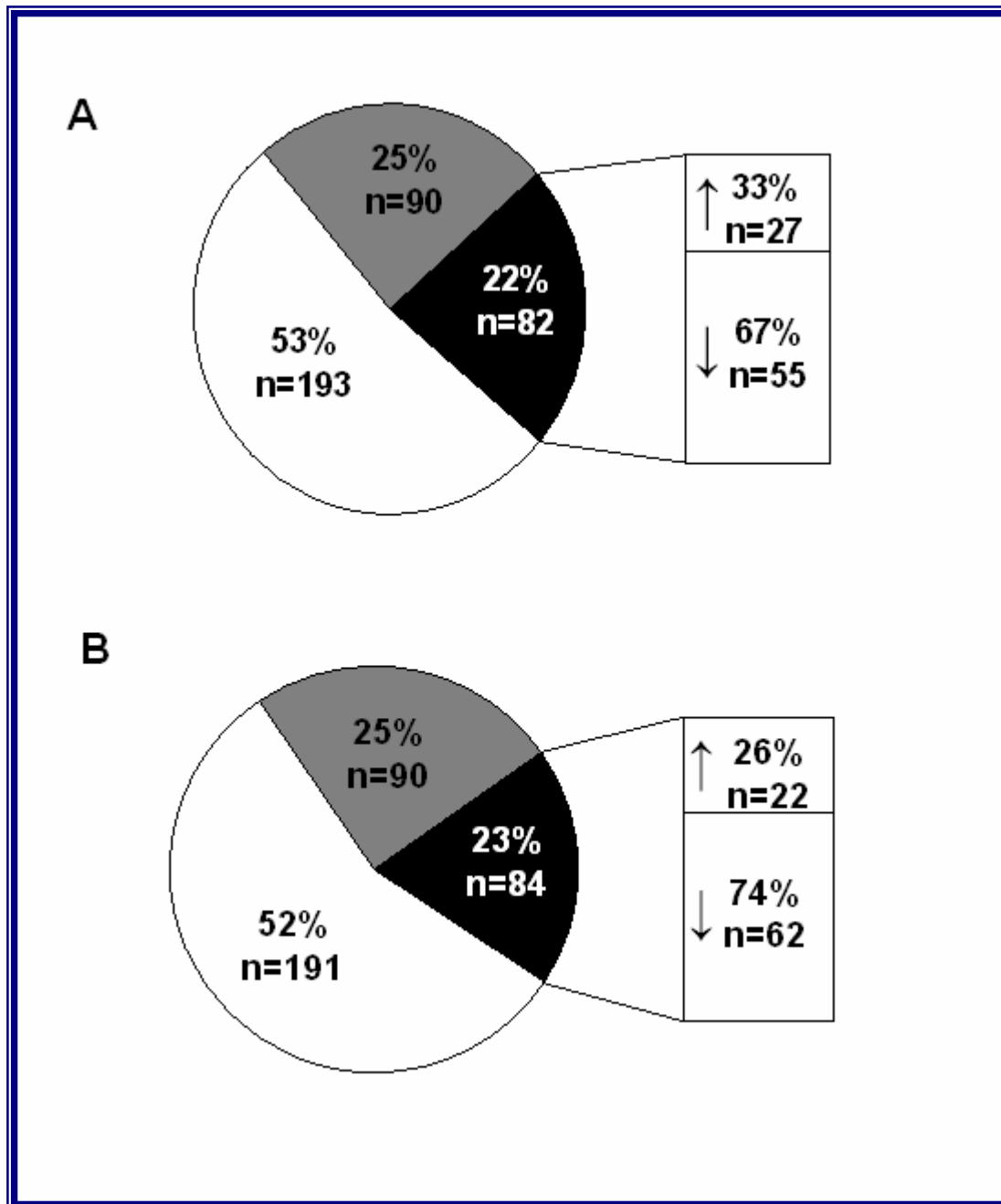
We automated the quantification of the immunohistochemical signal because counting labeled cells was rather subjective. Thus, subjects sections of the small intestine were scanned with the NanoZoomer 2.0 system (Hamamatsu, Japan). The scanner was equipped with a 20x, 0.7 Numerical Aperture Plan-Apochromat lens. Digitization was performed with a lens (pixel size of 0.23  $\mu$ m). The images were compressed into jpeg files by the NanoZoomer software. The files were transferred to the Definiens Analyst LS5.0 system (Definiens AG, Germany) that counted the NOTCH1, HES1 and  $\beta$ -catenin-positive and -negative cells and quantified the staining signal. The Definiens Analyst software (Definiens AG, Germany) is based on cognition network technology that is a semantic network of objects and their mutual relationships. Two rule sets, using cognition network language, were specifically written for this evaluation to automatically detect and measure the small intestinal area and to count positive and negative crypt cells. The signal was classified as intensely stained, low/moderate stained and unstained. Thus, both the percentage and intensity of labeled cells were taken into account. The detection and exclusion of areas not belonging to crypt was visually checked for all image files. The resulting data were transferred to an Excel sheet. Statistical differences in the immunohistochemical analysis between the study groups were evaluated using GraphPad Prism software. The Student "t" test was used for group comparisons and  $p < 0.05$  were considered statistically significant.

## RESULTS

### ***Identification of miRNAs on small intestine through TLDA methodology***

In order to identify miRNAs differently expressed in small intestine that might be relevant in the development of celiac disease, 3 different groups of children were enrolled. The first group was composed of 17 patients with active CD; the second group was composed of 9 GFD celiac patients at gluten free-diet from at least 2 years; the third group was composed of 11 control children negative for serum autoimmune markers and with normal intestinal architecture. For each patient a fasting serum sample was collected to test biochemical parameters and the presence of DQ2/DQ8 HLA susceptibility molecules. From all children enrolled in this study, a biopsy specimen from the distal duodenum was obtained; it was then divided in two aliquots: one was used for histopathological analysis and the other was liquid nitrogen frozen for the RNA extraction. The histopathological analysis of small intestine from all patients confirmed the CD diagnosis by showing the typical architectural abnormalities (intraepithelial lymphocytosis, or crypt hyperplasia and/or various degree of villous atrophy, according to Marsh IIB or IIC classification); whereas, in GFD patients and in controls, the mucosa was normal or showed a slight lymphocytosis (Marsh I classification). Total RNA was extracted from samples of small intestinal tissues from each subject. It was purified and quantified and only high quality RNA was used for the screening. The RNA was used to analyze miRNAs expression profile by TLDA. Generally, TLDA is a new methodology designed for analyzing gene expression patterns in many samples across a defined set of gene targets. TLDA consist of 384-well micro fluidic cards, pre-loaded with real-time PCR assays available for 365 human mature miRNAs; in literature, many groups used this card to study miRNAs profile in several tissues as brain<sup>147</sup>, blood<sup>148</sup>, breast cancer<sup>149</sup> and in acute leukemias<sup>91</sup>. To determine relative levels of miRNAs expression, the fluorescence data generated by TLDA for each card were elaborated with SDS software 2.3 using  $\Delta\Delta C_t$  method. This method was used to calculate the changes in miRNA expression of all samples respect to a non-disease sample (calibrator). Assay normalization was performed versus the constitutively expressed RNU-48 (endogenous control). MiRNAs whose mean RQ levels were  $\leq 0.5$  in almost CD patients [15/20 active CD (75%) and 7/9 GFD patients (78%)] were considered downregulated; miRNAs whose mean RQ levels were  $\geq 2.0$  in almost CD patients were considered upregulated. Expression profiling revealed that a large set of miRNAs were expressed in small intestine. Infact, Figure 11 showed the miRNA expression profile in the small intestine of children with active CD (panel A) and in children on a GFD (panel B). Ninety of the 365 (25%) miRNAs tested were not expressed in small intestine. Over 50% of miRNAs were expressed at similar levels in the two groups of CD patients and in control patients. On the contrary, the expression levels of about 20% of miRNAs (22% in active CD and 23% in GFD) were different between CD patients and controls. In detail, 33% (27 miRNAs) of these differently expressed miRNAs were upregulated ( $RQ \geq 2.0$ ) in the active CD in comparison to controls and the 67% (55 miRNAs) remaining were downregulated ( $RQ \leq 0.5$ ); they were listed in Table 10. Instead, in the GFD patients, 26% (22 miRNAs) of these differently expressed miRNAs were up-regulated ( $RQ \geq 2.0$ ) and 74% (62 miRNAs) were down-regulated ( $RQ \leq 0.5$ ) in comparison to controls; they were listed in Table 11.





**Figure 11.** MiRNA expression pattern in the small intestine of patients with active CD (A) and of CD patients on a GFD (B). Data are expressed as percentage of miRNAs tested (n=365). White areas, miRNAs whose expression levels were similar in the two CD groups and controls; gray areas, miRNAs not expressed; black areas, miRNAs whose expression levels differed between CD patients and controls [upregulated ↑(RQ≥2.0) or downregulated ↓(RQ≤0.5)].

**Table 10.** List of miRNAs differently expressed in active CD patients and controls<sup>a</sup>

<i>Up regulated miRNAs</i>	<i>Down regulated miRNAs</i>
miR-187	miR-133a
miR-18a	miR-135b
miR-196b	miR-139
miR-213	miR-145
miR-223	miR-185
miR-337	miR-192
miR-383	miR-194
miR-424	miR-198
miR-425	miR-199a
miR-432	miR-204
miR-554	miR-217
miR-565	miR-224
miR-575	miR-30a-3p
miR-589	miR-30b
miR-597	miR-30c
miR-630	miR-30e-3p
miR-639	miR-31
miR-656	miR-34b
<b>miR-449a</b>	miR-369-3p
<b>miR-492</b>	miR-422b
<b>miR-644</b>	miR-485-3p
<b>miR-503</b>	miR-509
<b>miR-196a</b>	miR-515-3p
<b>miR-504</b>	miR-520h
<b>miR-500</b>	miR-542-5p
<b>miR-330</b>	miR-548d
<b>miR-182</b>	miR-556
	miR-579
	miR-606
	miR-608
	miR-624
	miR-651
	miR-653
	miR-96
	<b>miR-105</b>
	<b>miR-124a</b>
	<b>miR-135a</b>
	<b>miR-189</b>
	<b>miR-202</b>
	<b>miR-219</b>
	<b>miR-299-5p</b>
	<b>miR-323</b>
	<b>miR-379</b>
	<b>miR-380-5p</b>
	<b>miR-409-5p</b>
	<b>miR-412</b>
	<b>miR-512-3p</b>
	<b>miR-566</b>
	<b>miR-576</b>
	<b>miR-600</b>
	<b>miR-614</b>
	<b>miR-616</b>
	<b>miR-618</b>
	<b>miR-631</b>
	<b>miR-659</b>

<sup>a</sup> In bold are miRNAs similarly expressed both in active CD and in GFD patients.

**Table 11.** List of miRNAs differently expressed in GFD patients and controls<sup>a</sup>

<i>Up regulated miRNAs</i>	<i>Down regulated miRNAs</i>
miR-422a	miR-99a
miR-190	miR-100
miR-422b	miR-125b
miR-489	miR-153
miR-490	miR-203
miR-518d	miR-383
miR-184	miR-432
miR-193b	miR-433
miR-338	miR-518b
miR-524	miR-622
miR-627	miR-639
miR-591	miR-132
miR-205	miR-411
<b>miR-449a</b>	miR-376a
<b>miR-492</b>	miR-410
<b>miR-644</b>	miR-630
<b>miR-503</b>	miR-99b
<b>miR-196a</b>	miR-105
<b>miR-504</b>	miR-125a
<b>miR-500</b>	miR-130a
<b>miR-330</b>	miR-133b
<b>miR-182</b>	miR-143
	miR-148a
	miR-17-3p
	miR-193a
	miR-27b
	miR-589
	miR-650
	miR-422a
	miR-190
	miR-422b
	miR-489
	miR-490
	miR-518d
	miR-184
	miR-193b
	miR-338
	miR-524
	miR-627
	miR-591
	miR-205
	<b>miR-105</b>
	<b>miR-124a</b>
	<b>miR-135a</b>
	<b>miR-189</b>
	<b>miR-202</b>
	<b>miR-219</b>
	<b>miR-299-5p</b>
	<b>miR-323</b>
	<b>miR-379</b>
	<b>miR-380-5p</b>
	<b>miR-409-5p</b>
	<b>miR-412</b>
	<b>miR-512-3p</b>
	<b>miR-566</b>
	<b>miR-576</b>
	<b>miR-600</b>
	<b>miR-614</b>
	<b>miR-616</b>
	<b>miR-618</b>
	<b>miR-631</b>
	<b>miR-659</b>

<sup>a</sup> In bold are miRNAs similarly expressed both in active CD and in GFD patients.

The comparison between these two tables, evidenced 30 miRNAs with similar expression levels in both CD patients vs controls; particularly, 9 were upregulated and 21 were downregulated (Table 12). It's interesting to notice that miR-124a, miR-189, miR-299-5p and miR-379, downregulated in CD patients, were described in literature in association with autoimmune disorders. Particularly, miR-124a levels were significantly decreased in rheumatoid arthritis<sup>150</sup>; miR-189 and miR-379 levels were decreased in idiopathic thrombocytopenic purpura<sup>151</sup>; miR-299-5p levels "were increased" in primary biliary cirrhosis<sup>152</sup>. Among the miRNAs upregulated both in active CD and in GFD patients, miR-449a was expressed at very high levels: in all active CD the mean RQ $\pm$ SEM was 55.18 $\pm$ 16.45; in GFD children the mean RQ $\pm$ SEM was 15.43 $\pm$ 7.69.

### ***qRT- PCR for miR-449a***

The successive step was to perform a qRT-PCR in order to confirm the high level of miR-449a. Real-Time PCR assay is a highly sensitive approach for identifying miRNA profiles<sup>153</sup>. For this assay, we used stem-loop RT primers rather than conventional linear ones, because of their higher specificity and sensitivity likely due to the base stacking and spatial constraint of the stem-loop structure. The assays target only mature miRNAs, not their precursors, ensuring biologically relevant results. In almost all patients, miRNA profiling data correlated with qRT-PCR data; in fact, the expression levels of miR-449a were confirmed in active CD patients with a mean RQ $\pm$ SEM of 2.8 $\pm$ 0.9.

### ***Identification of miRNA targets***

Next, a bioinformatic approach was performed to select target genes of the miR449a. A gene target of miRNA regulation, might be predicted by various computational algorithms which utilize distinct parameters (such as base pairing pattern, thermodynamic stability of miRNA-mRNA hybrid, comparative sequence analysis to check conservation and examination of the presence of multiple site) to predict the probability of a functional miRNA binding site within a given mRNA target. Infact, computational algorithms have been the major driving force in predicting miRNA targets<sup>154</sup>. We used the bioinformatic algorithm Mirecords. Mirecords, for miR-449a, gave a list of 32936 predicted gene targets. So, to further reduce the number of putative repressed gene targets by miR-449a, they were selected if predicted by two or more programs and on basis of the best score allocated by different algorithms. In detail, six of the 11 programs of mirecords [Target Scan 5.1, PicTar, Miranda 1.9, MirTarget2 (v2.0), PITA (Catalog version 3) and RNAhybrid (V2.2)] identified several proteins that are present in relevant biological pathways.

### ***Identification of interesting pathways***

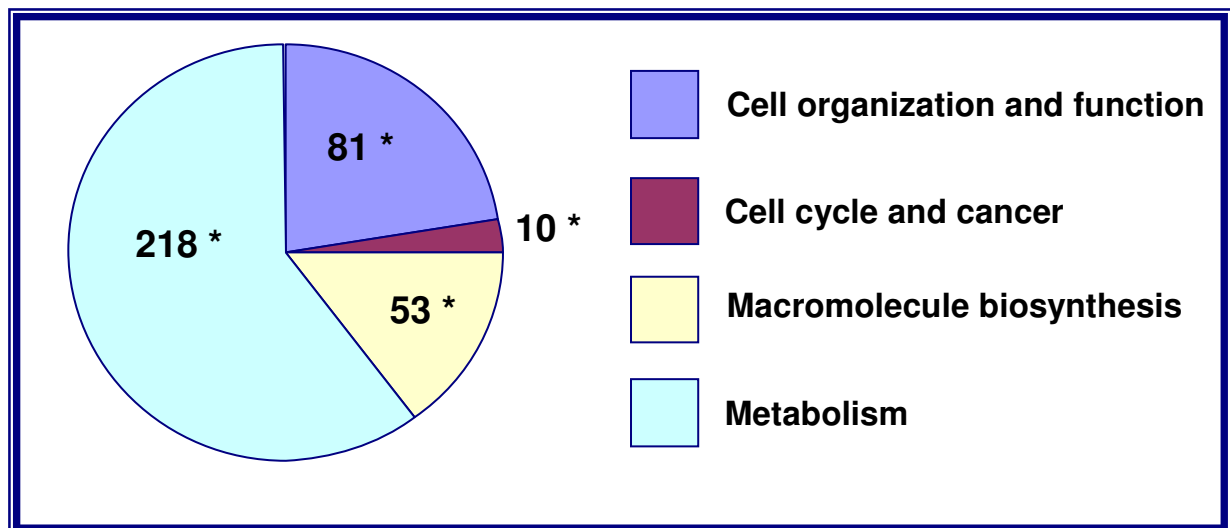
The list of these good gene targets was then combined and analyzed using WebGestalt to detect probably pathways in which they were involved. Particularly, GOTM and KEGG database were used to identify the biological pathways predicted to be deregulated by miR-449a; they were then sorted in functional groups and were reported in Figure 12. The functional groups selected were:

- cell organization and function
- cell cycle and cancer
- macromolecules biosynthesis
- metabolism

**Table 12.** List of miRNAs (n=30) differently expressed in CD patients and controls but with similar expression levels both in active CD and GFD children. Data are reported as RQ<sup>a</sup> levels (mean  $\pm$  SEM)

<i>miRNA</i>	<i>Active CD</i>	<i>GFD</i>
miR-449a	55.18 $\pm$ 16.45	15.43 $\pm$ 7.69
miR-492	48.88 $\pm$ 14.56	26.86 $\pm$ 9.00
miR-644	47.80 $\pm$ 8.80	37.53 $\pm$ 18.85
miR-503	19.84 $\pm$ 2.36	20.55 $\pm$ 8.07
miR-196a	11.06 $\pm$ 2.84	8.45 $\pm$ 1.01
miR-504	5.54 $\pm$ 0.83	8.02 $\pm$ 2.86
miR-500	5.49 $\pm$ 0.70	7.88 $\pm$ 1.56
miR-330	3.84 $\pm$ 0.45	2.48 $\pm$ 0.11
miR-182	2.95 $\pm$ 0.42	2.75 $\pm$ 0.13
miR-105	0.37 $\pm$ 0.03	0.25 $\pm$ 0.03
miR-124a	0.20 $\pm$ 0.02	0.21 $\pm$ 0.05
miR-135a	0.21 $\pm$ 0.05	0.38 $\pm$ 0.05
miR-189	0.15 $\pm$ 0.05	0.21 $\pm$ 0.06
miR-202	0.12 $\pm$ 0.06	0.17 $\pm$ 0.08
miR-219	0.10 $\pm$ 0.01	0.27 $\pm$ 0.08
miR-299-5p	0.11 $\pm$ 0.006	0.15 $\pm$ 0.05
miR-323	0.11 $\pm$ 0.01	0.23 $\pm$ 0.08
miR-379	0.30 $\pm$ 0.05	0.23 $\pm$ 0.1
miR-380-5p	0.25 $\pm$ 0.03	0.28 $\pm$ 0.04
miR-409-5p	0.35 $\pm$ 0.04	0.31 $\pm$ 0.05
miR-412	0.13 $\pm$ 0.03	0.18 $\pm$ 0.01
miR-512-3p	0.27 $\pm$ 0.03	0.26 $\pm$ 0.04
miR-566	0.29 $\pm$ 0.02	0.23 $\pm$ 0.03
miR-576	0.15 $\pm$ 0.04	0.4 $\pm$ 0.1
miR-600	0.19 $\pm$ 0.02	0.22 $\pm$ 0.06
miR-614	0.26 $\pm$ 0.02	0.21 $\pm$ 0.02
miR-616	0.17 $\pm$ 0.04	0.11 $\pm$ 0.03
miR-618	0.18 $\pm$ 0.03	0.32 $\pm$ 0.07
miR-631	0.34 $\pm$ 0.03	0.27 $\pm$ 0.04
miR-659	0.33 $\pm$ 0.03	0.30 $\pm$ 0.05

<sup>a</sup>RQ=2<sup>- $\Delta\Delta$ CT</sup> represents miRNA fold change in CD patients vs mean value obtained in control patients.



**Figure 12.** Functional pathways predicted for miR-449a. Genes with most favorable context score, obtained using TargetScan 5.1 program, were sorted into pathways using GOTM and then combined into functional groups. The number of genes for each functional group was reported.

\*: presence of NOTCH1 gene in the functional group

It was interesting to notice that several genes were redundant in these groups and belonging to Notch pathway; they were:

- NOTCH1 (neurogenic locus notch homolog protein 1)
- KLF-4 (Krueppel-like factor-4)
- DLL (delta-like 1)
- LEF1 (lymphoid enhancer-binding factor 1)
- NUMBL (numb homolog-like)

### ***Luciferase assay and validation of the interaction between miR-449a and NOTCH1 3'UTR***

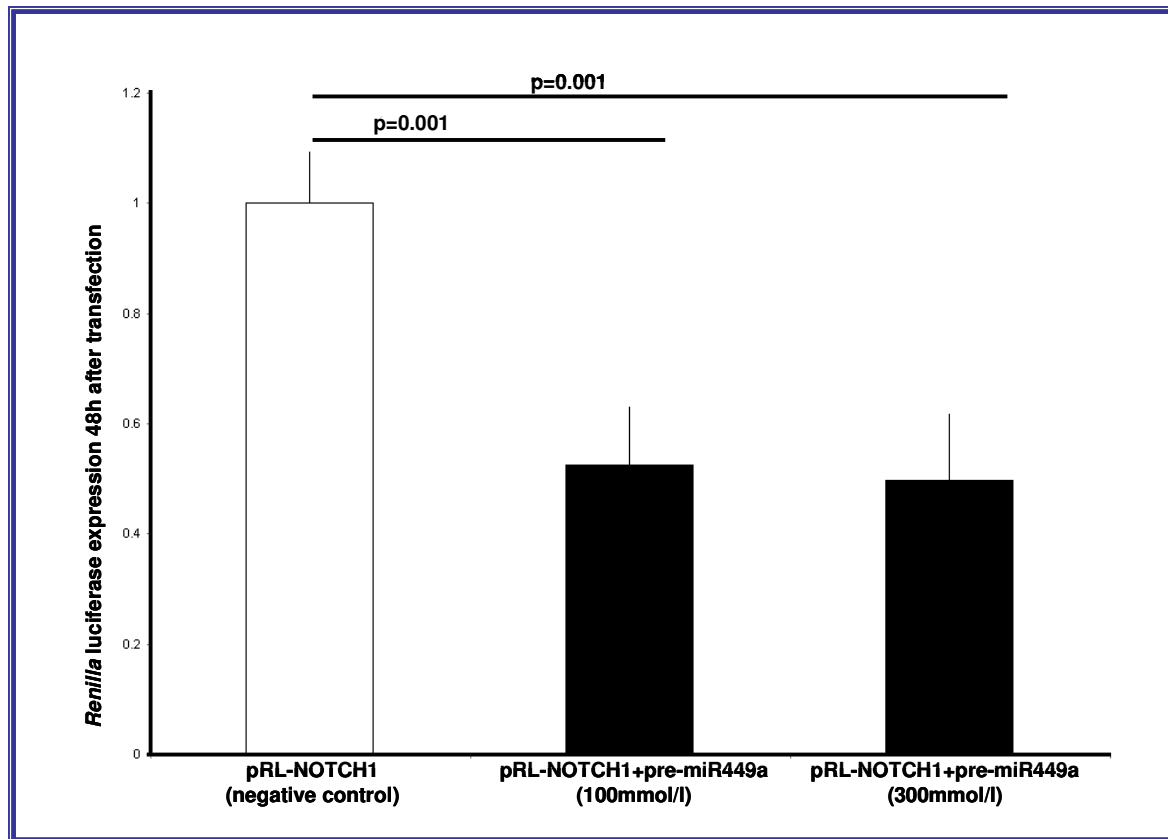
The interaction between miR-449a and the 3' UTR of NOTCH1 was validated using the luciferase reporter assay. The luciferase reporter assays is common experimental reporter system able to verify predicted miRNA/mRNA pairings on the basis that the binding of a given miRNA to its specific mRNA target site will repress reporter protein production thereby reducing activity/expression that could be measured and compared to a control. In cells co-transfected with pRL-NOTCH1 vector and pre-miR-449a, a pre-miR-449a concentration of 100 nmol/L was sufficient to significantly reduce ( $p=0.001$ ) *Renilla* luciferase activity vs control values after 48h (Figure 13). This finding confirmed that there is an effective, specific and functional interaction between miR-449a and the 3' UTR of NOTCH1. It suggests that changes in miR-449a levels may effectively modulate the expression of NOTCH1.

### ***qRT-PCR for NOTCH1 and HES1***

To confirm the expression of NOTCH1 and HES1 mRNA in small intestine of CD patients vs controls, we performed the qRT-PCR; the data were normalized vs the house-keeping gene  $\beta$ -actin. In CD patients vs controls, the levels of NOTCH1 and HES1 mRNAs (expressed as  $RQ \pm SEM$ ) were  $3.4 \pm 1.3$  and  $2.2 \pm 0.6$  respectively; in GFD patients were  $RQ \pm SEM$ :  $6.5 \pm 4.7$  and  $4.2 \pm 2.9$ , respectively. These data confirm that NOTCH1 and HES1 are expressed in small intestine and their levels are up-regulated in CD patients respect to controls.

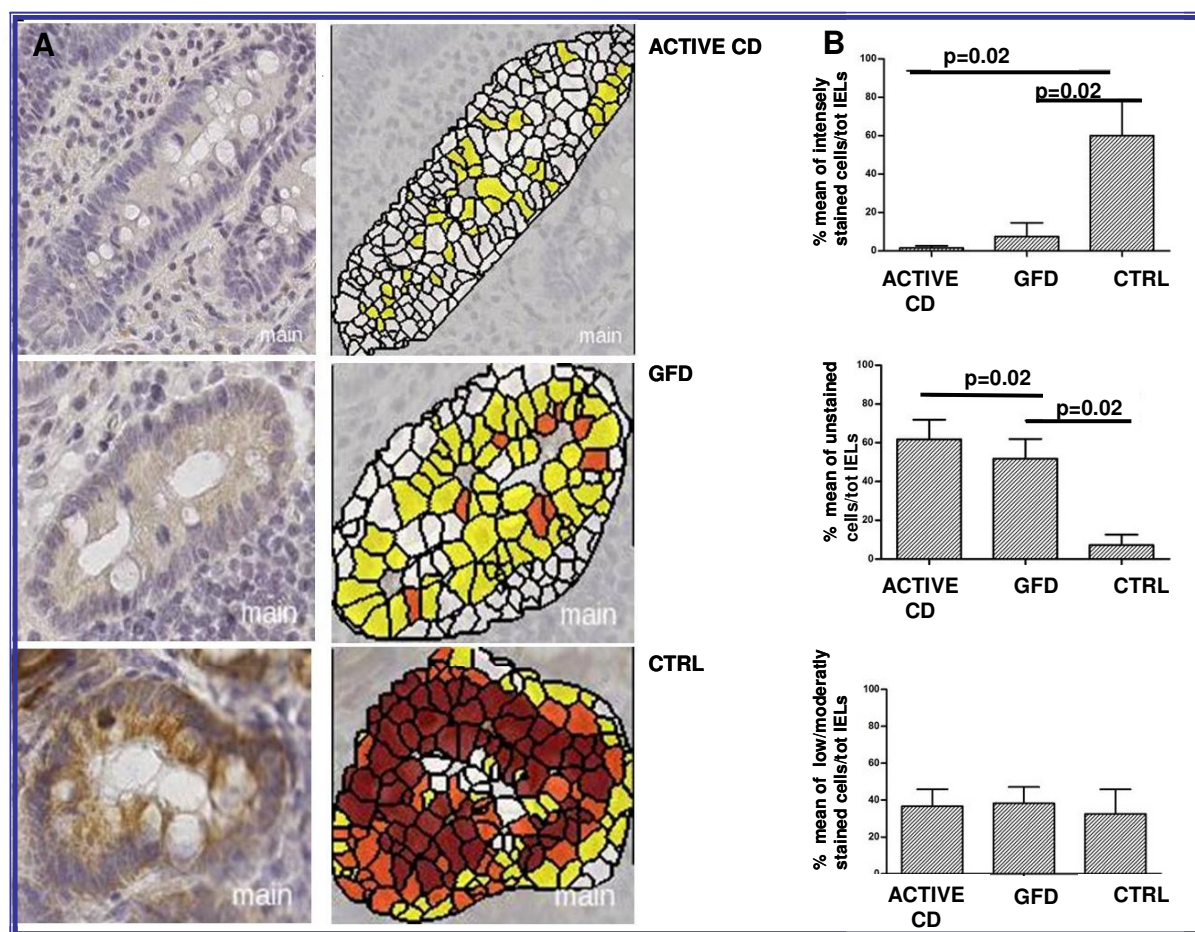
### ***Immunohistochemistry for NOTCH1 and HES1***

We next investigated the protein expression of NOTCH1 and of HES1, in small intestinal biopsies from CD patients and controls. Figure 14 shows an example of the results obtained for NOTCH1. NOTCH1 was more homogeneously distributed and expressed at a higher level in the intestinal crypts of controls than in crypts of CD patients (panel A, left). On the right are the images converted for automated analysis (white: unstained cells, yellow/orange: low/moderately stained cells, brown: intensely stained cells). Significantly more intensely stained and less unstained cells ( $p=0.02$ ) were detected in controls than in the two groups of CD patients (Figure 14, panel B). These results indicate that NOTCH1 is less expressed in the small intestine of CD patients than in controls.



**Figure 13.** Validation of miR-449a and 3'-UTR NOTCH1 mRNA interaction. miR-449a binds and functionally interacts with NOTCH1 3' UTR. Pre-miR-449a inhibited the expression of a *Renilla* luciferase-expressing construct that contains the 3' UTR region of the NOTCH1 gene harboring miR-449a putative binding sites after 48 h.



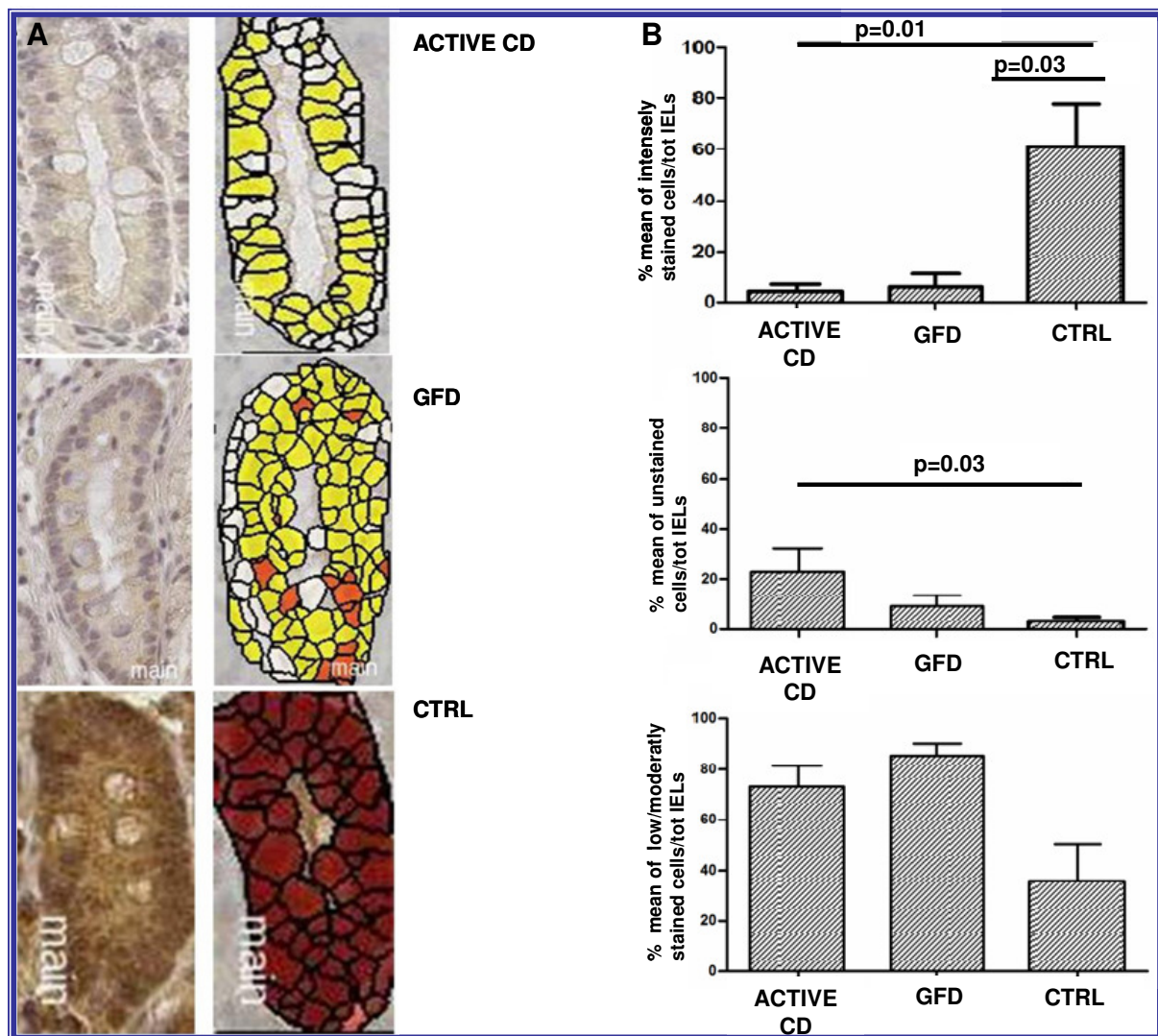


**Figure 14.** Immunohistochemistry of NOTCH1 in small intestine. A. Left, an example of NOTCH1 staining; Right, images converted for automated analysis (white: unstained cells, yellow/orange: low/moderately stained cells, brown: intensely stained cells). (Original magnification 40X). B. Evaluation of NOTCH1 stained/unstained cells in CD patients (6 active CD and 6 GFD patients) and in controls (n=4). Data are expressed as mean percent of intensely stained, low-moderately stained and unstained cells of the total intraepithelial cells (IECs).

Because in CD patients, the NOTCH1 mRNA levels were high and the protein levels were down, it may suggest a regulation post-transcriptional of this receptor. Figure 15 shows an example of the results obtained for HES1. HES1 was more homogeneously distributed and expressed at a higher level in the intestinal crypts of controls than in those of active CD and GFD patients (panel A). On the right are the images converted for automated analysis. Significantly more intensely stained cells were detected in controls than in CD patients ( $p=0.02$ ) and significantly less unstained cells were detected in controls than in active CD patients ( $p=0.03$ ) (Figure 15B). These results indicate that HES1 is less expressed in the small intestine of CD patients respect to controls. Because HES1 is a well know target gene of NOTCH1, it is possible that NOTCH1 signaling is altered in patients affected by CD.

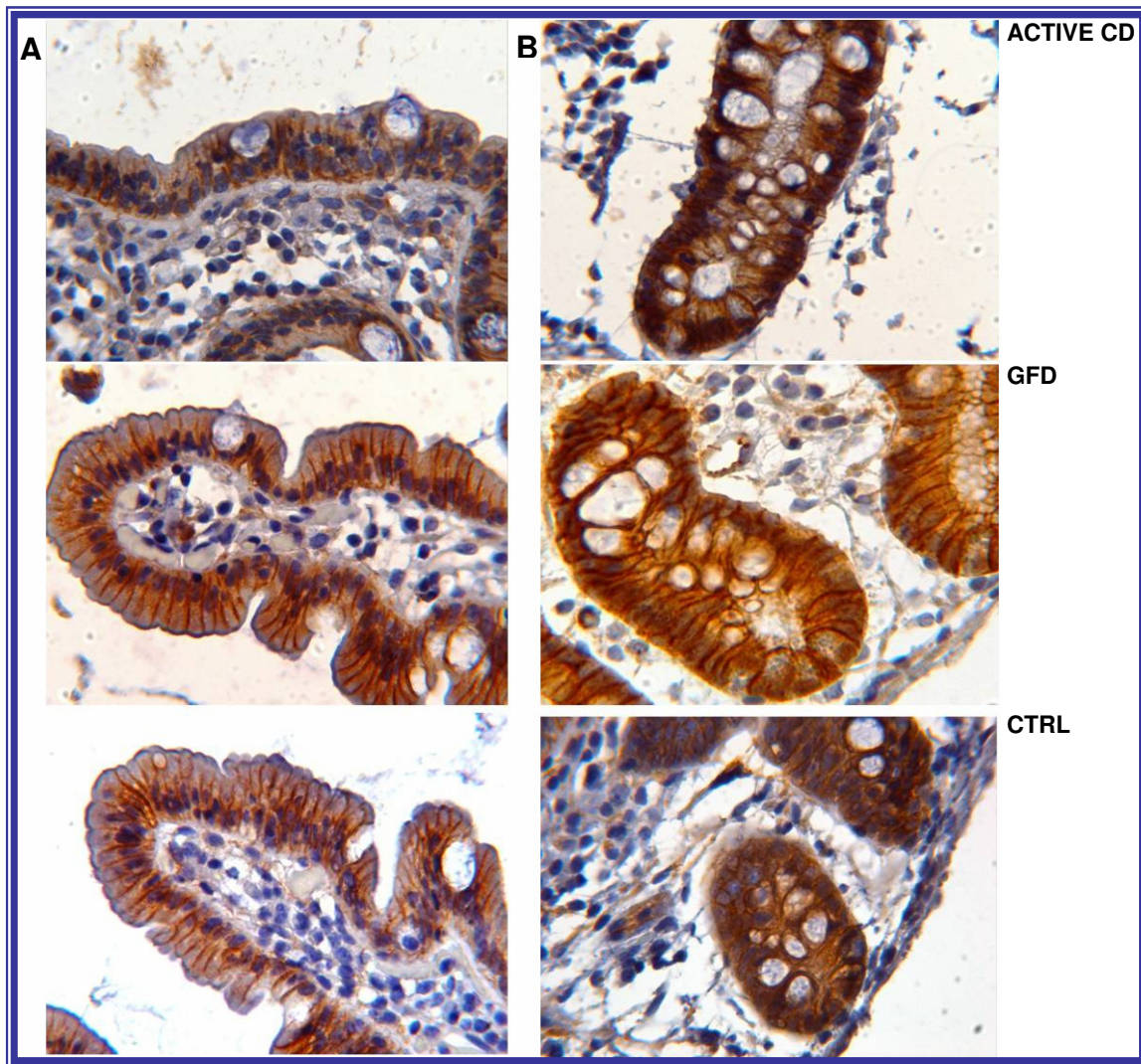
### ***Immunohistochemistry for $\beta$ -catenin and MUC-2***

Because NOTCH1 signals interact with the Wnt pathway to influence the intestinal stem cell fate, we investigated both the Wnt pathway (using  $\beta$ -catenin antibodies) and secretory goblet cells (using anti- MUC-2 antibodies). The Figure 16 shows a similar  $\beta$ -catenin expression in CD and control children, suggesting that this pathway not is altered in CD. Further, the Figure 17A and B show a statistically fewer MUC-2-stained cells in the crypts of CD patients; infact in active CD patients the goblet cells number was  $18 \pm 1.6$ , in GFD patients was  $15 \pm 3$  and in controls was  $35.0 \pm 7.7$  (mean number of cells  $\pm$  SD) ( $p=0.04$ ). In confirmation of this data, the number of goblet cells in the villi of GFD patients and controls was  $7.0 \pm 1.8$  and  $20.0 \pm 4.9$  respectively ( $p=0.04$ ).

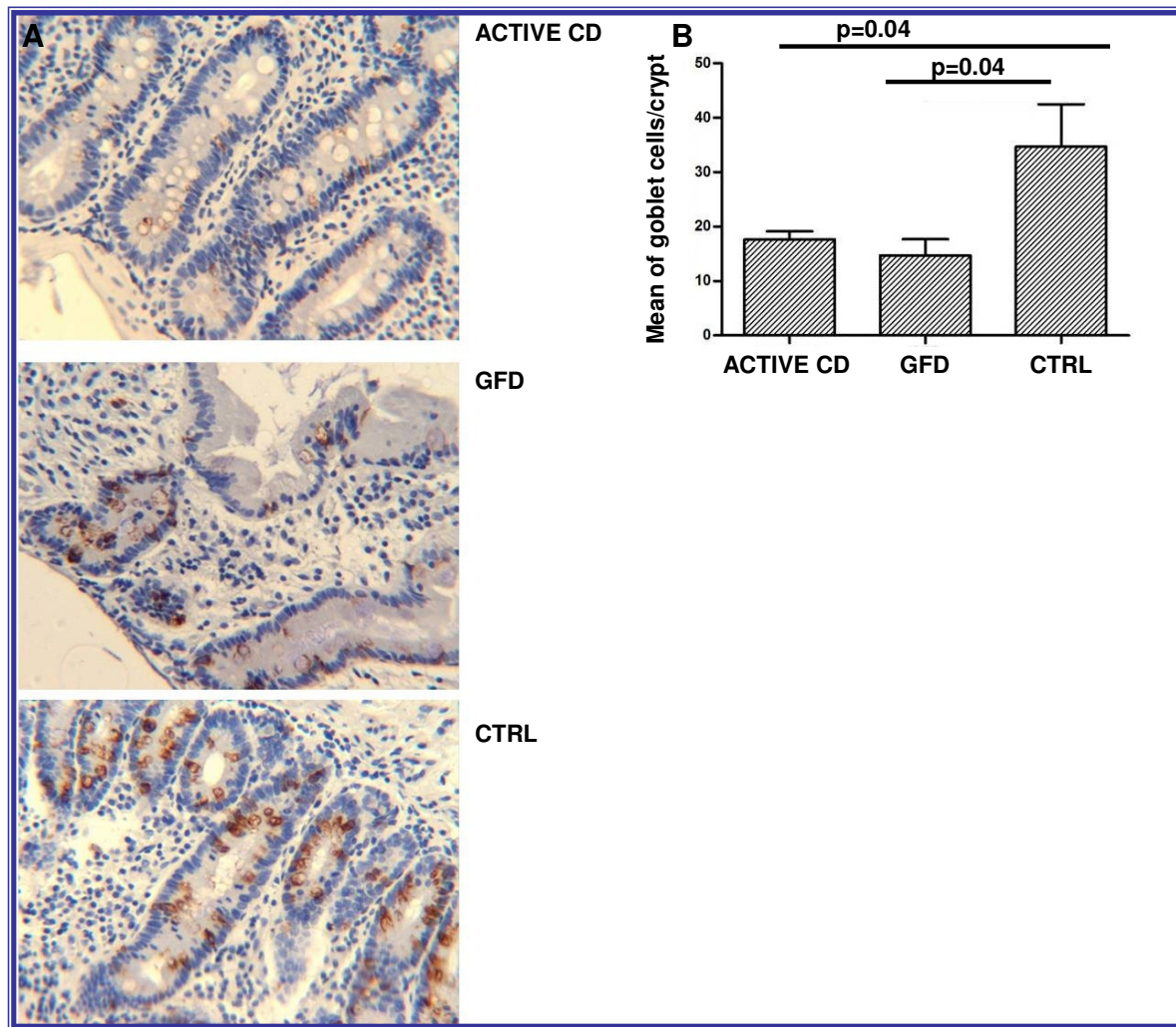


**Figure 15.** Immunohistochemistry of HES1 in small intestine. A. Left, an example of HES1 staining. Right, images converted for automated analysis (white: unstained cells, yellow/orange: low/moderately stained cells, brown: intensely stained cells). (Original magnification 40X). B. HES1 stained/unstained cells evaluated in CD patients (6 active CD and 6 GFD patients) and in controls ( $n=4$ ). Data are expressed as mean percent of intensely stained, low-moderately stained and unstained cells of the total intraepithelial cells (IELs).





**Figure 16.** Immunostainings with  $\beta$ -catenin in small intestinal crypts from active CD, GFD and controls (Original magnification 40X).



**Figure 17.** Immunohistochemistry of goblet cells in small intestine. A. An example of staining for MUC-2 shows fewer MUC-2 stained cells in active CD and in GFD patients than in controls (CTRL). (Original magnification 20X). B. MUC2 stained cells evaluated in CD patients (6 active CD and 6 GFD patients) and in controls (n=4). Data are expressed as mean of the number of goblet cells/crypt measured in 10 crypts/children.

## DISCUSSION

During the last decades, several evidences suggested that microRNAs regulate basic cellular functions including proliferation, differentiation and death<sup>155</sup>; dysregulation of miRNA expression has been extensively described in a variety of diseases, including cancer, obesity, diabetes and schizophrenia; therefore, it is important to identify and validate miRNA/mRNA target pairs. This study identified for the first time, both miRNAs expression pattern in human small intestine and miRNAs differently expressed in the jejunal biopsies of CD children vs control by TLDA. Some miRNAs, such as miR-124a, miR-189, miR-299-5p and miR-379, precedently described in association with autoimmune disorders<sup>156</sup>, are downregulated in both active CD and GFD patients, suggesting that they are involved also in celiac disease, whether the disease is active or not. About 20% of miRNAs differs between patients and controls. A global analysis of these miRNAs, identified miR-449a as microRNA probably involved in CD pathogenesis. Infact, miR-449a has the highest level among miRNAs upregulated in active CD patients. The most obvious function of miR-449a, in analogy with miR-34, is induction of apoptosis; infact, the levels of miR-449a are reported to be lower in various tumor-derived cell lines (lung adenocarcinoma, osteosarcoma, testicular cancer, colorectal cancer) than in the respective normal human tissues, which suggests that miR-449a exerts tumor suppressor activity<sup>93,157</sup>. The bioinformatic analysis showed that, among several targets predicted for miR-449a, there were most redundant and with favourite score, genes belonging to the Notch pathway: NOTCH1, KLF-4, DLL1, LEF1 and NUMBL. Using a qRT-PCR, we confirmed that NOTCH1 and HES1 mRNA are expressed in small intestine of both CD patients and controls; in addition, we observed that these mRNAs are higher expressed in celiac small intestine than in the controls. After verifying the interaction between miR-449a, we evaluated the NOTCH1 protein in small intestinal biopsies of CD children. NOTCH1-positive cells were significantly fewer in biopsies from CD patients vs controls. Similar results were obtained with HES1, a target gene of NOTCH1<sup>158</sup>. In fact, HES1-stained cells were fewer in CD patients than in controls. Globally, these data indicate that the Notch pathway is dysregulated in CD children, irrespective of whether the disease is active or not, and that this alteration correlates with the very high miR-449a expression levels. Because NOTCH1 protein was less present in CD than in controls, but mRNA levels were similar, we speculated that this receptor could be regulated at post-transcriptional level. Data in literature showed that the Notch deactivation in intestine, leads to a complete loss of proliferating transient amplifying cells followed by their conversion into postmitotic goblet cells<sup>113</sup>; conversely its constitutive expression in the gut inhibited differentiation of crypt progenitor cells resulting in an intestine that largely consists of undifferentiated transient amplifying cells<sup>128</sup>. These complementary loss- and gain-of-function studies suggest that Notch signaling is a gatekeeper of the progenitor/stem cell compartment of the gut<sup>97</sup>. This study shows that in celiac small intestine, in association with high levels of miR-449a and with an altered NOTCH1 signals, the number of goblet cells were lower compared with controls. Ciacci et colleagues, in according with these data, reported fewer goblet cells/mm<sup>2</sup> in untreated (29.1) and in treated CD patients (42.2) than in controls (50.5), although the differences were statistically significant only in untreated patients ( $p < 0.02$ )<sup>159</sup>. Conversely, in a mouse model, activation of NOTCH1 resulted in a reduction of goblet cells<sup>128</sup>. The differences between mouse and human models and the more complex effect exerted by miRNAs on many gene targets, respect to knock out a single gene, could explain these apparently contrasting

results. In literature it is shown that the Notch pathway plays a central role in intestinal homeostasis in cooperation with other pathways, such as Wnt signals<sup>128</sup>; moreover, experimental data obtained in double transgenic mice indicated that the effect of Notch activation on goblet cell differentiation is independent of Wnt signals<sup>128</sup>. The Wnt pathway in the small intestine of our CD patients, evaluated through  $\beta$ -catenin, did not differ from that of control children. This result is in agreement with the western blot data obtained by Ciccocioppo<sup>160</sup> and by Juuti-Uusitalo<sup>161</sup>. However, the nuclear localization of  $\beta$ -catenin that is more evident in the small intestinal crypts from our active CD and GFD patients than in controls is a sign of activation of the Wnt pathway, in agreement with a previous report. In addition, it is interesting to note that besides NOTCH1, KLF-4 is also a target gene of miR-449a. KLF-4 has been reported to be a master regulator of goblet cell differentiation in the colon<sup>126</sup>. In fact, in *KLF-4*<sup>-/-</sup> mice, goblet cells were depleted by 90% in the colon, and the expression of MUC-2 was patchy throughout the colonic epithelium, indicating that KLF-4 is required for correct goblet cell maturation<sup>162</sup>. Given KLF-4's regulation of goblet cell differentiation in the colon<sup>126</sup>, it is possible to speculate that also in small intestine KLF-4 inhibition, by upregulated miR-449a, could thereby contribute to the depletion of goblet cells observed in our CD patients. Obviously, further experiments are necessary to verify this hypothesis. In conclusion, the maintenance of a correct number of functional goblet cells is required for the homeostasis of the intestinal mucosal environment, and deficiencies in the mucin composition renders the mucosa more susceptible to damaging agents in the lumen<sup>162-164</sup>. In fact, loss of goblet cell function leads to spontaneous colitis in mice<sup>165</sup> and an altered mucous layer, increased rod-shaped bacteria and INF- $\gamma$  mRNA levels were found in intestine from CD patients<sup>166</sup>. These findings globally, indicate that the small intestinal epithelium of CD patients is different from that of control subjects. In fact, as it is well known, the active celiac disease is characterized by an inversion of the differentiation/proliferation program of the intestine with a reduction in the differentiated compartment, up to complete villi atrophy, and an increase of proliferative compartment, with crypt hyperplasia<sup>167,161</sup>. Furthermore, although GFD intestinal mucosa is characterized by an apparently normal mucosal architecture, it can also be associated with increased crypt cell proliferation (Barone M.V. et al., personal communication). Interestingly, in our study the number of goblet cells was significantly lower in the two CD groups than in control children. Based on these experimental data, we suggest that the altered mucus layer observed in our CD children could derange the protective function of the mucosal barrier that interfaces with the environment, thereby resulting in the altered permeability that has been reported in treated and untreated CD patients<sup>164,168</sup>. This alteration is not related to inflammation; in fact, it occurred in both the active CD and GFD patients. In conclusion, we investigated miRNA expression in celiac small intestine and detected very high miR-449a expression levels in both active CD and GFD patients. This finding could represent an epigenetic mechanism of gene regulation that is associated with a reduced NOTCH1 pathway and with decrease of differentiation of intestinal cells towards the secretory goblet cell lineage.

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- M. Capuano, C. Garcia-Herrero, N. Tinto, V. Capobianco, A. Franzese, M.A. Navas, L. Sacchetti. “**Caratterizzazione funzionale di mutazioni nel gene GCK associate al MODY2, identificate in pazienti della regione Campania**”. Roma, Italia, 5-8/10/2010.
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- Capuano M, Iaffaldano L, Tinto N, Montanaro D, Capobianco V, Izzo V, Tucci F, Troncone G, Greco L. and Sacchetti L.. “**MicroRNA-449a overexpression is associated with weak NOTCH1 signals and scarce goblet cells in celiac small intestine**” paper in submission.
- Tinto N, Zagari A, Capuano M, De Simone A, Capobianco V, Daniele G, Giugliano M, Spadaro R, Franzese A, Sacchetti L.. “**Glucokinase gene mutations: structural and genotype-phenotype analyses in MODY children from South Italy**” PLoS ONE. 2008 Apr 2;3(4):e1870.

### INTERNATIONAL RESEARCH EXPERIENCE

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Project: Functional characterization of GCK gene mutations in MODY2 patients of Campania. *Supervisor*: Prof. M.A. Navas

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# Glucokinase Gene Mutations: Structural and Genotype-Phenotype Analyses in MODY Children from South Italy

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## Abstract

**Background:** Maturity onset diabetes of the young type 2 (or GCK MODY) is a genetic form of diabetes mellitus provoked by mutations in the glucokinase gene (*GCK*).

**Methodology/Principal Findings:** We screened the *GCK* gene by direct sequencing in 30 patients from South Italy with suspected MODY. The mutation-induced structural alterations in the protein were analyzed by molecular modeling. The patients' biochemical, clinical and anamnestic data were obtained. Mutations were detected in 16/30 patients (53%); 9 of the 12 mutations identified were novel (p.Glu70Asp, p.Phe123Leu, p.Asp132Asn, p.His137Asp, p.Gly162Asp, p.Thr168Ala, p.Arg392Ser, p.Glu290X, p.Gln106\_Met107delinsLeu) and are in regions involved in structural rearrangements required for catalysis. The prevalence of mutation sites was higher in the small domain (7/12: ~59%) than in the large (4/12: 33%) domain or in the connection (1/12: 8%) region of the protein. Mild diabetic phenotypes were detected in almost all patients [mean (SD) OGTT = 7.8 mMol/L (1.8)] and mean triglyceride levels were lower in mutated than in unmutated *GCK* patients ( $p = 0.04$ ).

**Conclusions:** The prevalence of GCK MODY is high in southern Italy, and the *GCK* small domain is a hot spot for MODY mutations. Both the severity of the *GCK* mutation and the genetic background seem to play a relevant role in the GCK MODY phenotype. Indeed, a partial genotype-phenotype correlation was identified in related patients (3 pairs of siblings) but not in two unrelated children bearing the same mutation. Thus, the molecular approach allows the physician to confirm the diagnosis and to predict severity of the mutation.

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## Introduction

Maturity onset diabetes of the young (MODY; MIM #606391) is a genetically and clinically heterogeneous form of diabetes mellitus, characterized by an early age at onset, a primary defect in beta-cell function and an autosomal dominant inheritance [1]. Among the different types of MODY diabetes described thus far, each of which is due to a different gene mutation (HNF4A, GCK, HNF1A, IPF1, HNF1B, NEUROD1, CEL) [2,3] the GCK MODY form is provoked by mutations in the glucokinase gene (*GCK*; MIM#138079).

The glucokinase gene on chromosome 7p15.3-p15.1 consists of 12 exons that span ~45.169 bp and encode a 465-amino-acid protein [4], and three tissue-specific isoforms are known [5]. Thus far, about 200 *GCK* mutations have been reported and its frequency is higher in European Caucasians, particularly in those from France and Italy [6]. The identification of a *GCK* mutation in subjects whose clinical phenotype is suggestive of MODY usually distinguishes patients with a benign prognosis (GCK

MODY) from those with a severe hyperglycemia (HNF1A MODY and other MODY forms) because the diagnosis cannot be always made on clinical grounds alone.

Glucokinase (also called hexokinase IV) catalyzes the ATP-dependent phosphorylation of glucose to glucose-6-phosphate. It is homologous to hexokinases I, II and III, but its lower affinity for glucose, restricted localization to a few cell types and peculiar kinetic properties, compared to those of the other hexokinases, confer it distinctive properties. Indeed, GCK acts as a glucose sensor in the pancreas and liver, and presents a peculiar sigmoidal glucose saturation curve, which indicates cooperative behaviour.

Elucidation of crystal structure of GCK yielded data that could help to establish structure-function correlations [7]. Indeed, the protein folds into two domains known as the small and the large domain with the glucose binding site in between. An unexpected "super-open" form and a "closed" form of the enzyme were identified [7]; the latter is similar to the form found in the hexokinase I structure [8]. The two forms differ in the relative spatial orientation of the two domains. The catalytic mechanism

requires dramatic GCK conformational changes. In fact, when bound to glucose, the enzyme goes from the super-open inactive form to the closed form. Therefore, the inter-domain motions are crucial for the enzyme activity.

In this study, we report the identification of 9 novel and 3 known mutations of the *GCK* gene in children from south Italy. All mutations co-segregated with the diabetic phenotype in the respective families and resulted in perturbation of the 3D structure of the protein. Our data show that molecular screening is useful in the diagnosis of MODY because it allows to confirm the diagnosis and to predict severity of the mutation.

## Methods

### Subjects

Thirty patients aged 1–14 years, 9 boys and 21 girls whose clinical presentation was suggestive of MODY were selected for *GCK* gene screening from among 240 diabetic children seen in the Paediatric Clinic of our Medical School between 2001 and 2006. Other MODY genes were not investigated. Most patients were unrelated; 6 were except (3 pairs of siblings, 1: M022–M023, 2: M024–M025, 3: M028–M029). Inclusion criteria were: early onset (<25 years) of diabetes, mild hyperglycemia, no autoimmune markers of type I diabetes, without obesity [c.o. body mass index (BMI)  $z$  score >2] and family history of diabetes for at least two consecutive generations [1,9]. No treatment was administered to the patients and no diabetes complications were evident up to diagnosis. A fasting blood sample was drawn from both parents of mutated patients and from 100 unrelated controls, who came from the same geographical area, and used for the GCK molecular characterization. The parents of all subjects gave their written informed consent to the study. The research was conducted according to Helsinki II declaration and approved by the ethics committee of our Faculty.

### Clinical and anamnestic examination

We collected the following data for each patient upon diagnosis: age, birth weight, family history of diabetes and/or other diseases and BMI. The BMI was transformed into BMI- $z$ -score ( $z$ -BMI) based on the Centre for Disease Control normative curves [10,11].

### Biochemical analyses

The following biochemical parameters were measured on fasting blood samples: plasma glucose (FPG) by the enzymatic hexokinase method and triglycerides by the standard enzymatic method (Hitachi Modular, Tokyo, Japan); glycosylated haemoglobin (HbA1c) by HPLC (HLC-723 G7 TOSOH Bioscience Tokyo, Japan); serum insulin by the chemiluminescence method (Immulin 2000; Medical System Genoa, Italy). After an oral glucose dose of 0.75g/kg body weight (maximum 75 g), oral glucose tolerance test (OGTT) was evaluated on blood samples collected every 30 min up to 2 h. The first-phase insulin response (FPIR) was calculated as the sum of T+1 and +3 min serum insulin concentrations, evaluated after an i.v. glucose dose of 0.5 g/kg body weight in 3 min [12].

### DNA extraction

Genomic DNA from patients, parents and controls was extracted from a blood sample plus EDTA using Nucleon BACC 2 kit (Amersham Biosciences Europe, Milan, Italy).

### Sequence analysis

Exons and flanking intron regions of *GCK*, including tissue specific variants of exon 1, were amplified by PCR using previously reported primers (exons 1a, 2, 3, 4) [13] or chosen by the Primer 3 program (exons 1b, 1c, 5, 6, 7, 8, 9 and 10) [14]. The PCR mixture contained in a final volume of 50  $\mu$ l: 20  $\mu$ M each primer, 1x PCR buffer (Applied Biosystems, Foster City, CA, USA), 10  $\mu$ M each deoxynucleotide triphosphate, 2.5 U of *Taq* DNA polymerase (Applied Biosystems) and 200 ng of genomic DNA. Each PCR was performed on Gene-Amp PCR system 9700 thermocycler (Applied Biosystems) and consisted of a initial denaturation step at 95°C for 5 min and a final extension at 72°C for 7min.

The primers and PCR conditions are detailed in table 1. Product sizes were evaluated by agarose gel electrophoresis and amplicons were sequenced in both directions using the Big Dye terminator sequencing kit (Applied Biosystems) on ABI PRISM sequencing apparatus 3730 (Applied Biosystems). We preliminarily analyzed the *GCK* coding sequence of a healthy subject by sequence analysis and verified that it overlapped the wild-type reference sequence (GenBank NM\_000162). All sequences of the

**Table 1.** Primers used for PCR amplification of GCK exons and PCR conditions.

Exons <sup>1</sup>	Forward primer	Reverse primer	PCR annealing temperatures	PCR annealing times	PCR extension times (72°C)	Number of cycles
1a <sup>(2)</sup>	5'-TCCACTCTCAGAAGCCTACTG	5'-TCAGATTCTGAGGCTCAAAC	60°C	30"	60"	35
1b	5'-AGCAGGCAGGAGCATCTCTG	5'-GCTGCTCTCCAGTGCAAAG	62°C	20"	60"	30
1c	5'-GGCCAATGCTACTTGGAAAC	5'-AGGAGGTGAGAAGCCTGGAG	54°C	20"	30"	35
2 <sup>(2)</sup>	5'-TGAGATGCTCTGGTGACAGC	5'-CACAGCTGCTTCTGGATGAG	60°C	15"	30"	30
3 <sup>(2)</sup>	5'-TAATATCCGGCTCAGTCACC	5'-CTGAGATCTGCATGCCTTG	57°C	15"	30"	30
4 <sup>(2)</sup>	5'-TAGCTTGGCTTGAGGCCGTG	5'-TGAAGGCAGAGTTCCTCTGG	62°C	20"	60"	30
5/6	5'-TCTGAGCCTGTTCTCTCAGC	5'-GGCCCTTGAAGCCTGTTGTA	57°C	20"	45"	35
7	5'-CCAGACAAAGCAGAGACAGG	5'-TGCTTTTCCAGAGTTGTT	54°C	20"	45"	35
8	5'-TGGCTCATTAACGAGGGAAG	5'-CTGAGACCAAGTCTGCAGTG	51°C	15"	45"	35
9	5'-CCCTCCCTGGAGAACGAGAG	5'-AATCTTGAGCTTGGGAACC	60°C	20"	45"	35
10	5'-GAGTCTTCTCGACCCCTTG	5'-CACCGAAAACTGAGGGAAG	55°C	20"	45"	35

<sup>1</sup>GenBank: accession n° (AH005826)

<sup>2</sup>Taken from Stoffel M. et al. 1992 Proc Natl Acad Sci USA 89:7698–7702.  
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patients were analyzed and compared with the wild-type published reference sequence with the ABI Seqscape software v2.5 (Applied Biosystems).

All mutations were also validated on a second PCR product. Finally, the children's parents and 100 unrelated healthy individuals were screened for these mutations.

Conservation of residues was evaluated from a multiple sequence alignment of 15 sequences in the PFAM349 and PFAM3724 protein families [15]. The result was validated by a multiple sequence alignment of 341 protein sequences homolog to GCK and extracted from the non redundant sequence database RefSeq, available online [16]. Furthermore, for the 1v4s structure, a multiple sequence alignment of 143 sequences in the ConSurf\_HSSP database [17] was carried out. The outcomes from the three approaches were in accordance.

### Statistical analysis

Continuous variables are reported as mean (SD) and comparisons among variables were made with the *t*-test. Inter-group differences were considered statistically significant at  $p < 0.05$ .

We calculated the distribution in the large domain, in the small domain and in the connection region of the protein of our GCK mutations and of those described in literature.

### Mutations nomenclature

All mutations are described according to the recommended nomenclature available online [18–20]. Nucleotide numbers are derived from cDNA *GCK* sequence (GenBank NM\_000162) considering nucleotide +1 the A of the first ATG translation initiation codon in the reference sequence.

### Molecular modelling

We used molecular modelling to investigate alterations of the GCK 3D structure and dynamics associated to the various mutations. The active form of GCK served as template because it has the highest resolution structure (2.3 Å, PDB code: 1v4s) [7]. Under the assumption that point mutations are likely to preserve the overall fold of the proteins, it follows the procedure for generating models. The first step of this procedure is the prompt substitution of the residues, which we did with the program MODELLER [21]. The program replaces the side chains by selecting the most abundant conformers and performs a simulated annealing procedure to optimize side chain conformations. This was followed by energy minimization in explicit solvent with the GROMACS program [22] using the GROMOS96 force field [23]. Finally, the system was optimized by means of a short molecular dynamics calculation of 1 ns. The calculation was made by restraining the main chain conformations and allowing the side chain dynamics. The notation used for secondary structure was taken from Kamata et al. [7]. Accordingly, sequences 1–64 and 206–439 belong to the large domain, sequences 72–201 and 445–465 belong to the small domain, and sequences 65–71, 202–205 and 440–444 belong to the three loops connecting the domains.

### Results

Table 2 shows the molecular characterization of the 16/30 our diabetic children who had mutations in the *GCK* gene. Ten patients were unrelated (two of these had the same mutation) and 6 were siblings from 3 families. Therefore, GCK MODY diagnosis was confirmed in these 16 patients. All mutations were detected at the heterozygous state, 9 mutations were novel; 8 of them caused variations in amino acid residues well conserved among species, and one produced a truncated protein of 289 amino acids

(p.Glu70Asp, p.Phe123Leu, p.Asp132Asn, p.His137Asp, p.Gly162Asp, p.Thr168Ala, p.Arg392Ser, p.Glu290X, p.Gln106\_Met107delinsLeu). Three other GCK mutations were already known; 2 of them caused truncated proteins (p.Lys39fsX6 and p.Ser453X) [24,25] and 1 was a missense mutation (p.Glu265Lys) (Bellan -Chantelot C et al. Abstract, Diabetologia 1998; 41:A109, 423). The structural analysis of mutation sites indicated 3D clustering. In fact, among the GCK variants in our population, 7/12 (~59%), 4/12 (33%) and 1/12 (8%) were localized in the small domain, in the large domain and in the connecting loops, respectively (Fig. 1). The effects of GCK mutations on the enzyme's 3D structure are reported in Table 2. Figures 2 and 3 show the structural features of some of the new mutations detected in our GCK MODY patients.

Table 3 shows the phenotypic characteristics of our mutated children at diagnosis. All mutations were also present in either the mother or the father of the GCK MODY patients in association with diabetes and mean birth weight did not differ between children who inherited GCK mutations from their father or mother (2.84 kg vs 2.98 kg). Almost but one patient had mild hyperglycemia [mean (range) FPG = 6.60 (5.7–7.9) mmol/L, M013 = 10.0 mmol /L], mildly altered OGTT [mean (SD) 2 h OGTT = 7.8 (1.8) mmol/L] and 2h increment always <2.8 except in two patients: M024 = 3.3 and M005 = 4.9; FPIR values between 24.6 and 156.0 µU/mL and slightly elevated levels of HbA1c [mean (SD) HbA1c = 6.1 (0.4) %]. The mean triglyceride concentration (0.58 mmol/L) was lower in our mutated patients ( $p = 0.04$ ) than in unmutated GCK MODY patients (0.80 mmol/L) and below 50<sup>th</sup> percentile of our laboratory age-related reference range (0.36–1.10 mmol/L).

### Discussion

In our Italian population, *GCK* gene mutations account for 6% (16/240) diabetic children and for 53% (16/30) with suspected MODY. This GCK MODY prevalence is in line with those detected in southern European countries, particularly in France and in Italy, namely between 8% and 56% [25–27]. An analysis of the 3D structure of the protein mutants yielded evidence of structural perturbations, which supports the GCK MODY-causing nature of these mutations. In particular, the p.Glu70Asp mutation (detected in 1 patient) substitutes the highly conserved glutamate with aspartate. These amino acids are polar acidic residues but the binding to lysine 458, in the  $\alpha$ 13 helix, appears to be weakened compared with the wild-type enzyme. This substitution could slightly modify enzymatic activity and/or stability. Substitution of glutamate by lysine is reported, in association with diabetes, to reduce the enzyme's glucose affinity but protein stability is preserved [28].

The p.Gln106\_Met107delinsLeu mutation (detected in 1 patient) altered respectively, a poorly and moderately conserved amino acid. It produces a protein in which residues Gln106 and Met107 are substituted by a leucine. This mutation occurs on an edge strand of the  $\beta$ -sheet of the small domain. The  $\beta$ -sheet encompasses the  $\alpha$ 13 helix in the closed form. Because the conformational features of this region are essential for super-open/closed conversion, the deletion could influence GCK function. A gene deletion encompassing GCK exon 2 (p.Val16\_Glu70del) has recently been described in a UK diabetic patient by multiplex ligation-dependent probe amplification assay and it co-segregated with early onset diabetes within the pedigree [29]. The p.Phe123Leu mutation (detected in 1 patient) altered a highly conserved amino acid. Phe 123 is located on the  $\alpha$ 3 helix within the small domain. Phe 123 is projected into the hydrophobic core

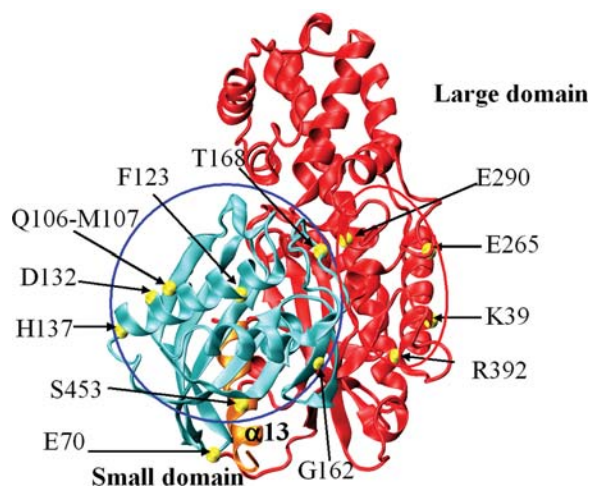
**Table 2.** GCK mutations in children from south Italy affected by GCK MODY

Patient code	Exons <sup>a</sup>	cDNA mutation <sup>b</sup>	AMINOACID CHANGE <sup>c</sup>	Domain localization /Secondary structure	Effect on protein 3D-structure	References
M001	8	c.868G>T	p.Glu290X Stop codon, truncated protein	Large domain/ $\alpha$ 7 helix	Truncated protein	Present study
M006						
M002	2	c.115delA	p.Lys39fsX6 Frameshift and stop codon	Large domain/ $\alpha$ 2 helix	Truncated protein	Prisco et al 2000
M003	9	c.1174C>A	p.Arg392Ser Positively charged Arg $\rightarrow$ polar Ser	Large domain/ $\alpha$ 11 helix	Disruption of H-bond and salt-bridge network	Present study
M005	10	c.1358C>A	p.Ser453X Stop codon	Small domain/ $\alpha$ 13 helix	Truncated protein Loss of C-term segment of $\alpha$ 13 helix	Massa et al 2001
M009	4	c.409C>G	p.His137Asp Polar His $\rightarrow$ negatively charged Asp	Small domain/Last residue of $\alpha$ 13 helix	Loss of $\alpha$ 13 helix capping Variation of local interactions	Present study
M013	5	c.485G>A	p.Gly162Asp Apolar Gly $\rightarrow$ negatively charged Asp	Small domain/ $\beta$ strand 7	Introduction of a negative charge in a hydrophobic environment	Present study
M017	4	c.369C>G	p.Phe123Leu Aromatic hydrophobic Phe $\rightarrow$ hydrophobic Leu	Small domain/ $\beta$ strand 4	Reorganization dictated by an introduction of small cavity into a hydrophobic environment	Present study
M018	3	c.317_319delAGA	p.Gln106_Met107delinsLeu	Small domain/Edge $\beta$ strand 4	Perturbation of the $\beta$ -sheet	Present study
M019	2	c.210A>C	p.Glu70Asp Negatively charged Glu $\rightarrow$ negatively charged Asp	Connection/Loop spatially near $\alpha$ 13 helix	Weakness of salt-link interaction with K458 ( $\alpha$ 13 helix)	Present study
M022 <sup>d</sup>	5	c.502A>G	p.Thr168Ala polar Thr $\rightarrow$ nonpolar Ala	Small domain/Loop	Loss of H-bond between T168 and glucose	Present study
M023 <sup>d</sup>						
M024 <sup>e</sup>	7	c.793G>A	p.Glu265Lys Negatively charged Glu $\rightarrow$ positively charged Lys	Large domain/Loop	E265 is between R36 and R43, positively-charged K, provokes a dramatic rearrangement	Bellane-Chantelot C et al 1998
M025 <sup>e</sup>						
M028 <sup>f</sup>	4	c.394G>A	p.Asp132Asn Negatively charged Asp $\rightarrow$ polar Asn	Small domain/ $\alpha$ 3 helix	Mild structural alterations	Present study
M029 <sup>f</sup>						

<sup>a</sup>GenBank: accession n° (AH005826)<sup>b</sup>The reference cDNA sequence was obtained from GenBank (NM\_000162) and +1 corresponds to the A of the ATG translation initiation codon<sup>c</sup>Swissprot accession n° P35557<sup>d, e, f</sup>Sibling pairs

doi:10.1371/journal.pone.0001870.t002

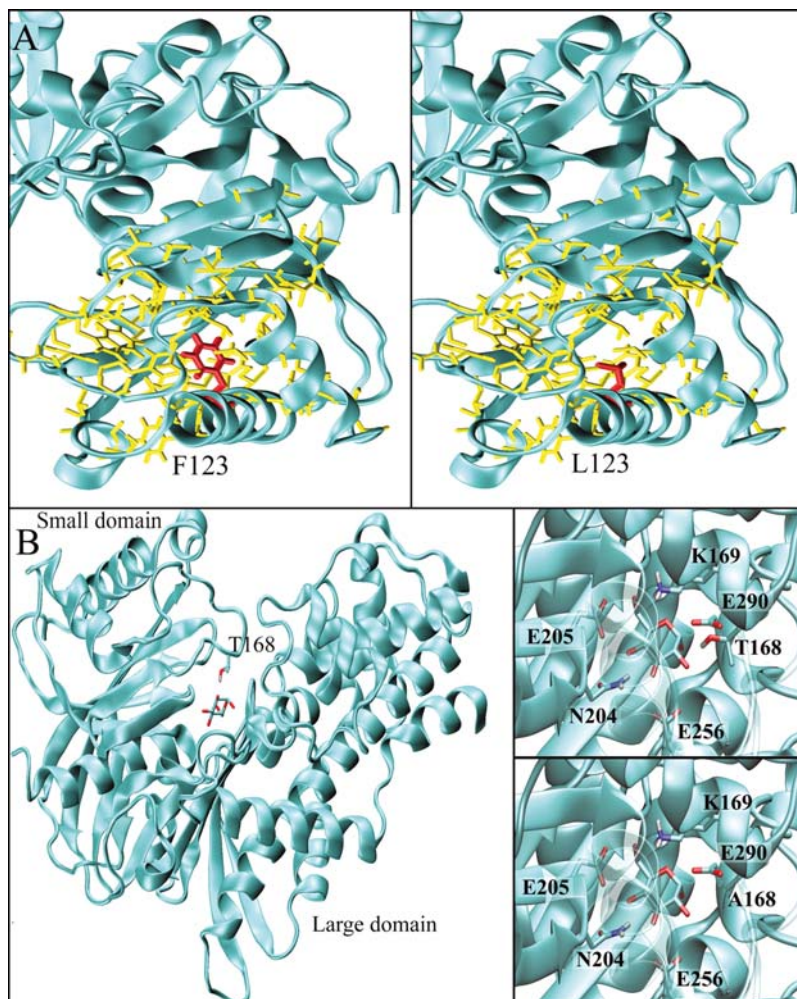




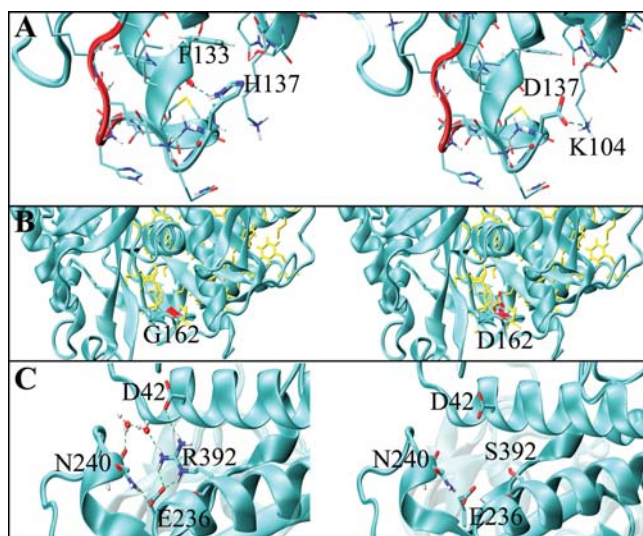
**Figure 1. Distribution of the GCK mutations.** The structure of GCK in the closed form (PDB code: 1v4s) is shown as cyan and red ribbons that represent the small and large domain, respectively. Orange ribbons show the  $\alpha 3$  helix. Yellow spheres are the mutation sites. Red and blue circles indicate clusters of mutations in the large and small domain, respectively. doi:10.1371/journal.pone.0001870.g001

of the domain thereby contributing to its stability. The substitution of a phenylalanine with a leucine is not dramatic in terms of hydrophobicity, however, it introduces a cavity in the hydrophobic core. This may affect inner surface complementarity thereby influencing the structural stability and the dynamical behaviour of the domain with consequences at a functional level.

In mutant p.Asp132Asn (detected in 2 related patients), the acidic negatively charged aspartate, located in the  $\alpha 3$  helix that belongs to the small domain, is changed into the uncharged asparagine. Aspartate 132 is a poorly conserved amino acid and this variant probably provokes only mild structural alterations. In fact, the 2 siblings bearing this mutation had normal glucose tolerance. The p.His137Asp mutation (detected in 1 patient) altered a moderately conserved amino acid. The activity of hepatic GCK is regulated by the glucokinase regulatory protein (GKRP). This would act as an allosteric inhibitor of GCK that specifically binds to the super-open form. Indeed, mutational analyses [30] have shown that two GCK fragments, 51–52 and 141–144, are involved in such interactions. Sequence 141–144 follows the  $\alpha 3$  helix that is terminated by His 137. Histidine, by interacting with the carbonyl of Phe133, is involved in helix capping. Mutation p.His137Asp introduces a negative charge in the region and, in



**Figure 2. Structural features of mutations p.Phe123Leu and p.Asp168Ala GCK.** The structure of GCK in the closed form (PDB code: 1v4s) is represented by cyan ribbons. A) Mutation p.Phe123Leu. Phe123 is structured inside the hydrophobic core of the small domain. Yellow sticks represent hydrophobic residues that constitute the core. Phe123 and Leu123 are represented by red sticks (left and right panel, respectively). B) The sticks represent residue Thr168 and glucose. The right panels show a close-up view of the glucose-binding cleft for the wild-type (top) and for the Ala168 mutant (bottom). doi:10.1371/journal.pone.0001870.g002



**Figure 3. Structural features of mutations p.His137Asp, p.Arg392Ser and p.Gly162Asp GCK.** The structure of GCK in the closed form (PDB code:1v4s) is shown as cyan ribbons. A) p.His137Asp. Loop 141–144, which is involved in GKR binding, is in red. His137 is at the end of the  $\alpha 3$  helix. His137 is a capping residue of the helix, which is terminated by the interaction between side chain of His137 and Phe133. Asp137 (right) is not able to replace His137 interactions but adds a new interaction with Lys104. B) p.Gly162Asp. Yellow sticks represent hydrophobic residues that constitute the core. The location of Gly162 is marked in red (left of the panel). Asp162 is on the right of the panel. C) p.Arg392Ser. Residues Asp42, Glu236, Asn240 and Arg392 are represented by yellow sticks. H-bonds are shown in green. The wild-type enzyme and the p.Arg392Ser mutant are on the left and right of the panel, respectively.

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our simulations, Asp137 does not exert a capping function, but strongly interacts with Lys104 by making a salt bridge. Accordingly, p.His137Asp may affect the conformational properties of fragment 141–144 thereby indirectly influencing the binding with GKR. The p.His137Arg mutation has been described in association with diabetes [31].

The p.Gly162Asp mutation (detected in 1 patient) altered a highly conserved amino acid. Gly162 is located on the  $\beta$ -sheet that encloses the small domain hydrophobic core. p.Gly162Asp is one of the most dramatic mutations we identified because it introduces a negative residue inside the hydrophobic core. p.Gly162Asp very probably influences the stability of the core thereby altering the structure and dynamics of the domain. This scenario is indicative of functional impairment of the enzyme.

The p.Thr168Ala mutation (detected in 2 related patients) affected a conserved amino acid. The glucose-binding cleft is located at the interface between small and large domains. It is constituted by residues Glu256 and Glu290 from the large domain, Thr168 and Lys169 from the small domain, and Asn204 and Asp205 from the interconnecting region. Binding a glucose molecule requires a precise pattern of H-bonds between the substrate and GCK. Thr168 binds glucose, therefore the p.Thr168Ala substitution prevents the formation of the H-bond and probably perturbs the enzyme's binding affinity and efficiency. Mutation p.Thr168Ala has been described in patients affected by diabetes [32]; it greatly increased  $V_{max}$  and resulted in a complete loss of cooperative behaviour associated with glucose binding, the 2 siblings bearing this mutation had normal glucose tolerance and impaired glycosylated hemoglobin. Glutamate 290 is a highly conserved residue involved in glucose binding. The p.Glu290X

mutation (detected in 2 unrelated patients) introduces a stop codon and generates a truncated protein of only 289 amino acids, which is thus unable to function.

The p.Arg392Ser mutation (detected in 1 patient) alters a conserved amino acid. Arg392, is located on the  $\alpha 11$  helix in the large domain and is involved in a local H-bond/salt bridge network. Arg392 is positively charged and makes a salt bridge with the negative residues Asp42 ( $\alpha 2$ ) and Glu236. The H-bond network extends to two water molecules and residue Asn240. These residues, which are far in sequence, are relevant for the tertiary structure of the domain, in fact serine is unable to replace the wild-type Arg392 interactions. The p.Arg392Cys mutation was reported in co-segregation with hyperglycemia in pregnancy [33].

Three patients carried already known GCK mutations: p.Lys39fsX6, p.Ser453X and p.Glu265Lys. All these mutations were described in association with hyperglycemia. In particular, the Ser  $\rightarrow$  Leu mutation at residue 453 was recently found to reduce GCK activity in a GCK MODY patient [34]. In our GCK MODY patients, the distribution of mutation sites in the GCK protein (59%, 33% and 8% in the small domain, large domain and in the connection region, respectively) differed from the distribution observed in European Caucasians and in other ethnic groups (41%, 58% and 1% in the small domain, large domain and in the connection region, respectively) [5,35]. Consequently, the GCK small domain may be a hot spot for MODY mutations typical of Southern Italy. Interestingly, almost all the mutation sites we describe are in regions involved in structural rearrangements required for catalysis. This finding supports the notion that mutations may affect GCK function, which is intimately related to interdomain [7]. Our data confirm the association between low triglyceride values and GCK mutations and support a low rate of cardiovascular complications in GCK MODY diabetes [36]. Interestingly, the two patients (M001 and M013) with the lowest BMI  $z$  scores also had the lowest FPIR values, which is in line with the finding that, at low levels, insulin does not exert an anabolic effect [37].

Massa et al. [25] did not find an association between phenotype and genotype in GCK MODY patients. Two of our unrelated patients, M001 and M006, who both carried the p.Glu290X mutation, had a low birth weight but a different diabetic phenotype as evaluated by OGTT, FPIR tests and triglyceride level. In contrast, among the three pairs of siblings, each with the same mutation, two pairs (M022–M023, M028–M029) had almost identical metabolic phenotypes. In the third pair of siblings (M024–M025, aged 12 and 5 years respectively), the elder child was diabetic and the younger had impaired glucose tolerance. In these two patients, the p.Glu265Lys mutation provoked a dramatic rearrangement of GCK, which indicates a more severe prognosis. Thus, both the severity of the GCK mutation and the genetic background seem to play a relevant role in the GCK MODY phenotype.

In conclusion, all mutations detected in our diabetic children from south Italy co-segregated with the diabetic status in one or two family members of each patient and were not detected during the screening of 200 normal chromosomes. The new deletion and missense mutations produced an amino acid substitution at positions that are well conserved among several species. Finally, our data show that molecular screening is useful in the diagnosis of MODY because it allows one to confirm the diagnosis and to predict the prognosis as well as the clinical course of the patient.

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**Table 3.** Biochemical and phenotypic characteristics of the children affected by GCK MODY

PATIENT CODE	SEX	BIRTH WEIGHT (kg)	AGE AT DIAGNOSIS (years)	BMI <sup>a</sup> z-scores	FPG <sup>b</sup> (mmol/L)	120 min OGTT <sup>c</sup> (mmol/L)	FPIR <sup>d</sup> (μU/ml)	HbA1c <sup>e</sup> (%)	TRIGLYCERIDES (mmol/L)	AFFECTED FAMILY MEMBER
M001	F	1.80	10	-3.59	IFG	NGT	28.4	6.1	0.65	F
M002	F	2.70	1	---	IFG	n.a.	33.4	6.4	2.26 <sup>g</sup>	M
M003	F	3.30	8	0.67	IFG	NGT	112.7	6.7	0.99	M
M005	F	2.95	9	0.26	IFG	DM	88.0	6.5	0.45	F
M006	M	2.05	6	0.72	IFG	IGT	69.1	5.9	0.36	M
M009	M	3.25	6	1.56	DM	NGT	39.4	5.6	0.80	F
M013	M	2.97	7	-1.80	DM	IGT	24.6	5.9	0.64	M
M017	M	3.50	6	-0.59	IFG	NGT	n.a.	n.a.	0.57	M
M018	F	2.80	14	-0.60	IFG	NGT	130.5	6.5	0.49	F
M019	F	3.55	10	1.46	IFG	IGT	140.0	5.9	0.54	M
M022	F	2.95	8	1.60	IFG	NGT	n.a.	6.2	0.66	F
M023	M	3.40	9	0.67	IFG	NGT	156.0	6.4	0.82	F
M024	F	2.75	12	1.29	IFG	DM	104.0	6.5	0.40	F
M025	F	2.50	5	1.56	IFG	IGT	35.5	6.2	0.29	F
M028	F	2.85	12	1.42	IFG	NGT	n.a.	5.4	0.52	M
M029	M	2.91	7	0.74	IFG	NGT	n.a.	5.5	0.56	M

<sup>a</sup>BMI z-scores: (calculated in children aged 2–18 years), see Materials and methods<sup>b</sup>FPG: Fasting plasma glucose; (impaired fasting glucose [IFG] = 5.6–6.9 mmol/L; diabetes mellitus [DM] = ≥7.0 mmol/L)<sup>c</sup>OGTT: Oral glucose tolerance test (normal glucose tolerance [NGT] <7.8 mmol/L; impaired glucose tolerance [IGT] = 7.8–11.0 mmol/L; DM = ≥11.1 mmol/L)<sup>d</sup>FPIR: First phase insulin response (reference value: ≥60.0 μU/ml)<sup>e</sup>HbA1c: glycosylated haemoglobin (reference value: 4.3–5.9%)<sup>f</sup>Not available because patient M002 was <2 years old<sup>g</sup>Not fasting nursing

n.a.: Not available

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## Author Contributions

Conceived and designed the experiments: AD NT LS AZ. Performed the experiments: AD NT LS MC VC GD. Analyzed the data: AD NT LS AZ

MC VC MG RS AF. Contributed reagents/materials/analysis tools: LS. Wrote the paper: NT LS AZ MC MG AF.

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